

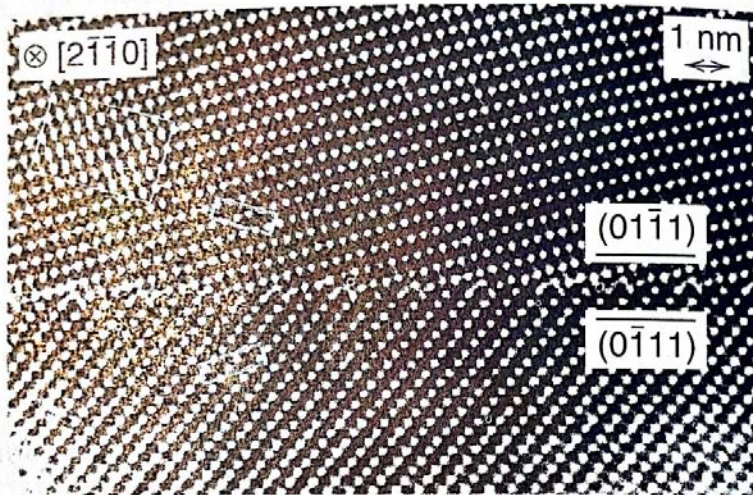
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# Structure and Symmetry

22.14 – Intro to Nuclear Materials  
February 5, 2015

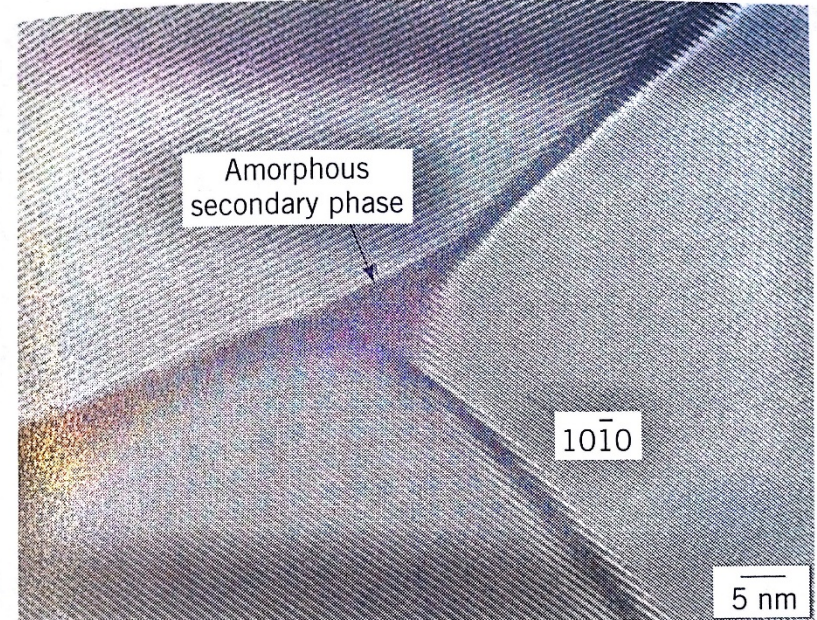
Scanned images, unless cited, are from Allen & Thomas, “The Structure of Materials,” 1999.

# Crystallography – The Common Language of Materials Science



**Figure 5.63** High-resolution transmission electron micrograph showing high-angle grain boundary in alumina,  $\text{Al}_2\text{O}_3$ . This particular boundary is a tilt boundary, with  $35.2^\circ$  misorientation about common  $[2\bar{1}\bar{1}0]$  direction (Kleebe, 1993, p. 365).

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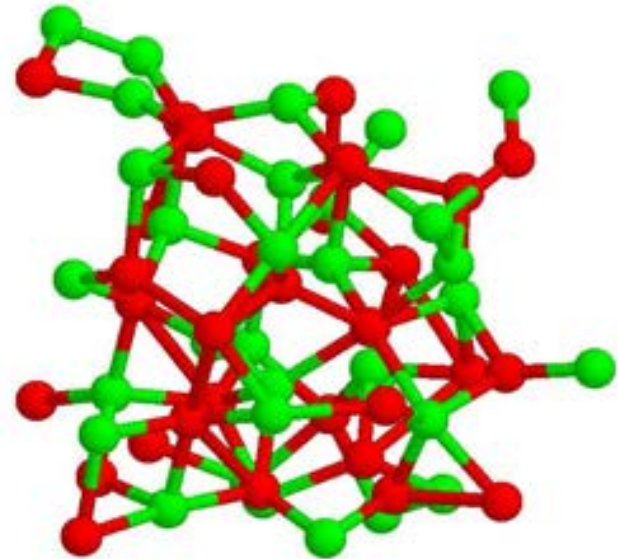
**Figure 5.64** High-resolution transmission electron micrograph of grain edge in sintered, reaction-bonded silicon nitride,  $\text{Si}_3\text{N}_4$ . Grain edge is wetted by amorphous phase (Kleebe, 1993, p. 365).

# Crystalline vs. Amorphous

The difference is long-range order, and *symmetry*



(a) Crystalline InP



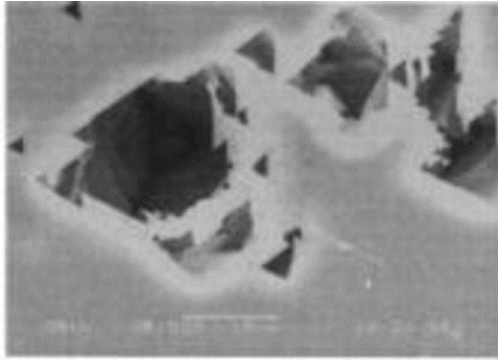
(b) Amorphous InP

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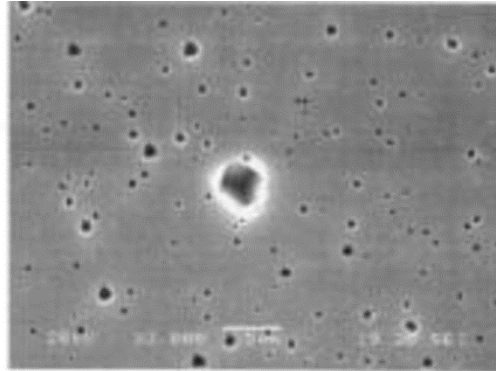
<http://physics.anu.edu.au/eme/research/amorphous.php>



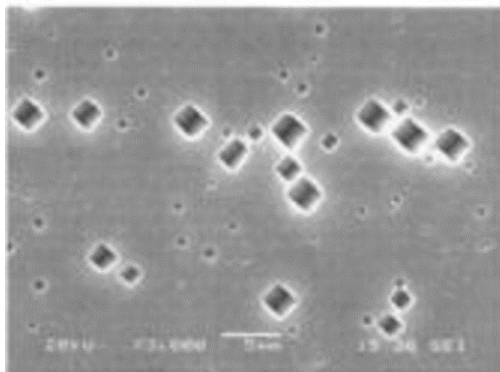
# Symmetry Evident in Materials



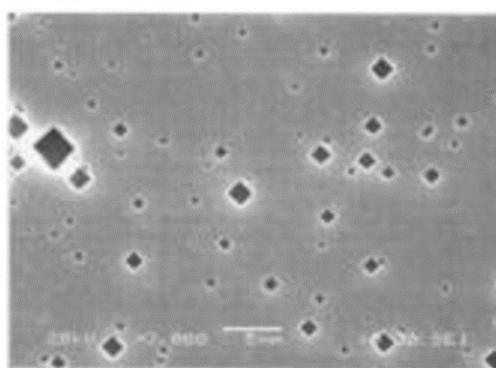
(a)



(b)



(c)



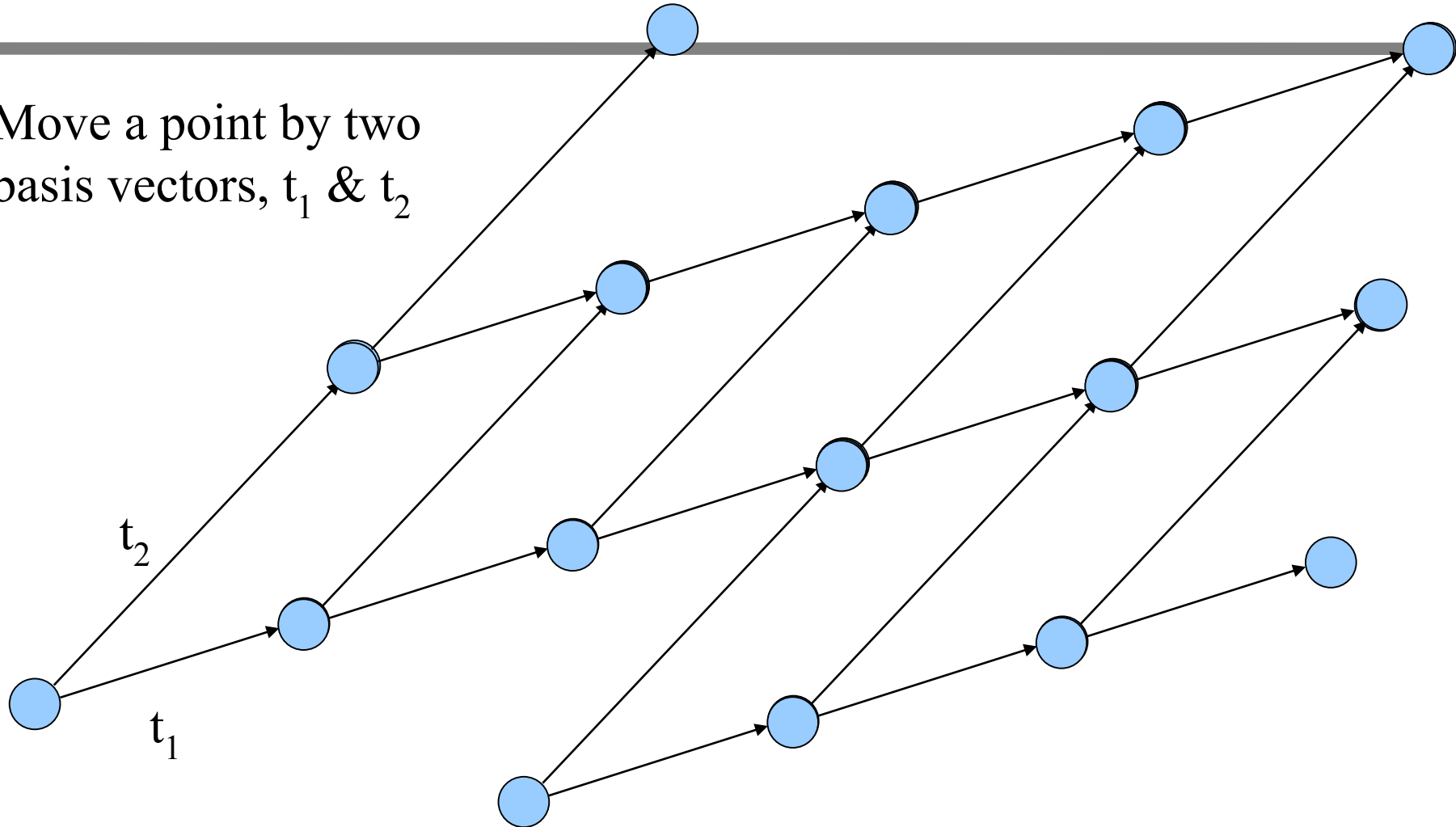
(d)

Etch pits in single crystal aluminum

Source: J. H. Seob, J.-H. Ryuc, D. N. Lee. "Formation of Crystallographic Etch Pits during AC Etching of Aluminum." *J. Electrochem Soc.*, 150(9):B433-B438 (2003).

# Simplest Operation: Translation

Move a point by two  
basis vectors,  $t_1$  &  $t_2$



# Higher Symmetry

---

Place restrictions on  $t_1$  and  $t_2$ , and the angle between them.

How many combinations can you think of?

# Choosing Unit Cells

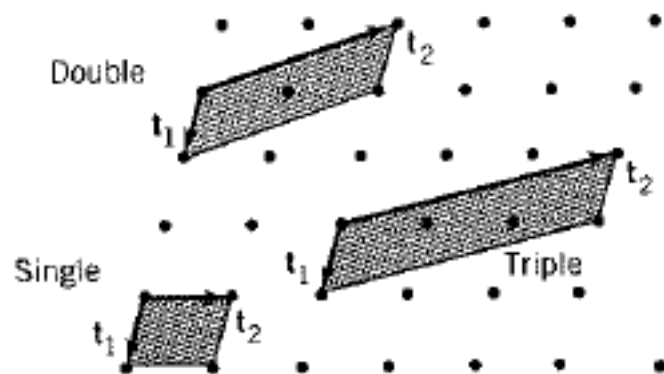
---

Draw a cell that does the following:

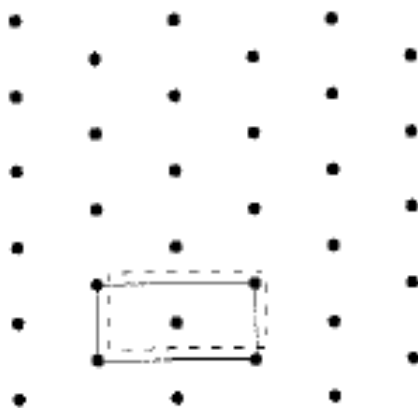
- Contains fewest number of atoms
- Has angles closest to 90 degrees
- Exhibits the most symmetry

Try with different plane groups in class

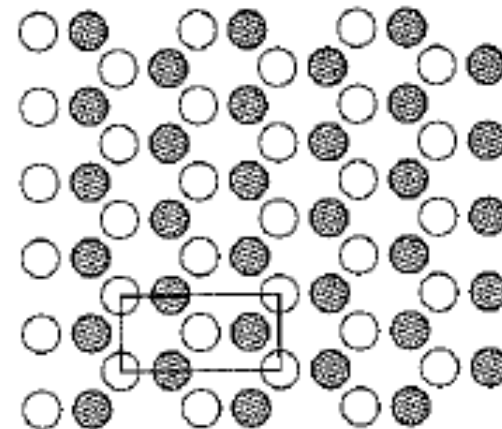
# Choosing Unit Cells Example



**Figure 3.4** Illustration of procedure for outlining primitive, double, and triple cells. Note that basis vectors have been chosen such that angle  $\gamma$  is obtuse.



(a)



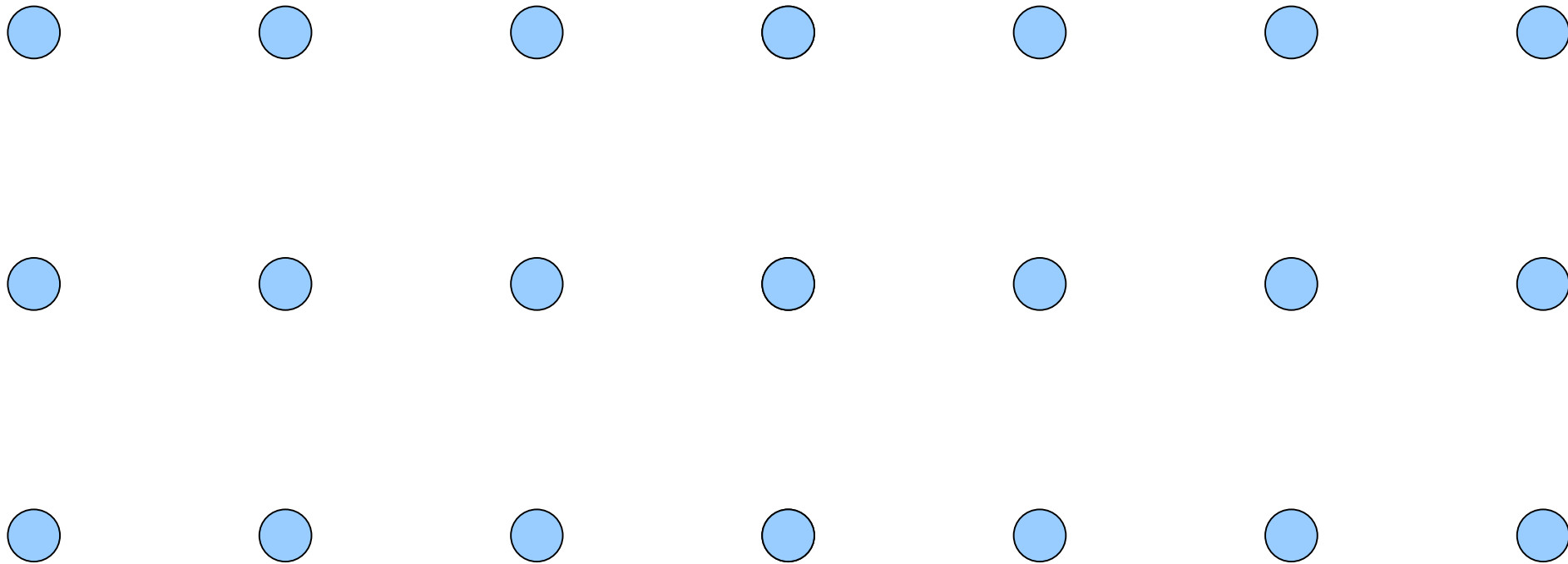
(b)

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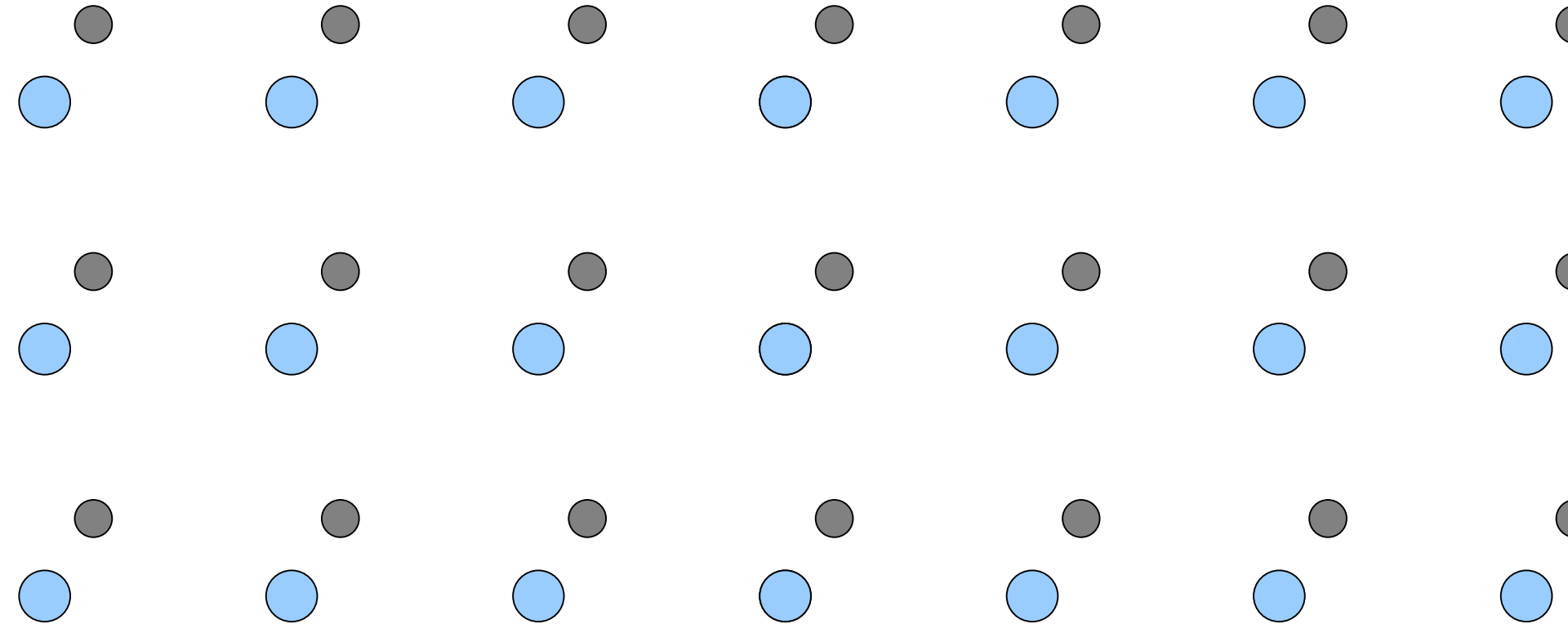
# Choosing Unit Cells

---

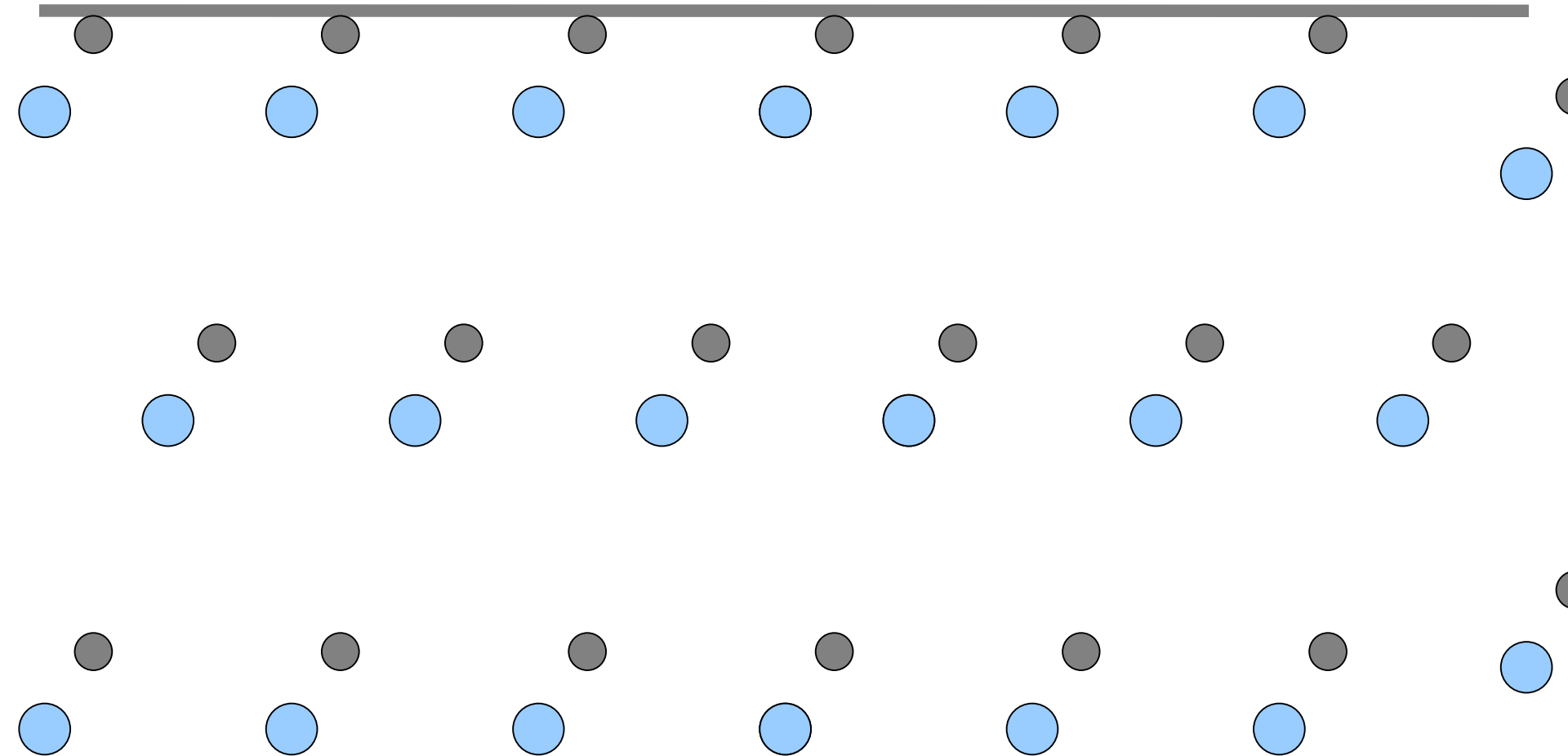


# Choosing Unit Cells

---

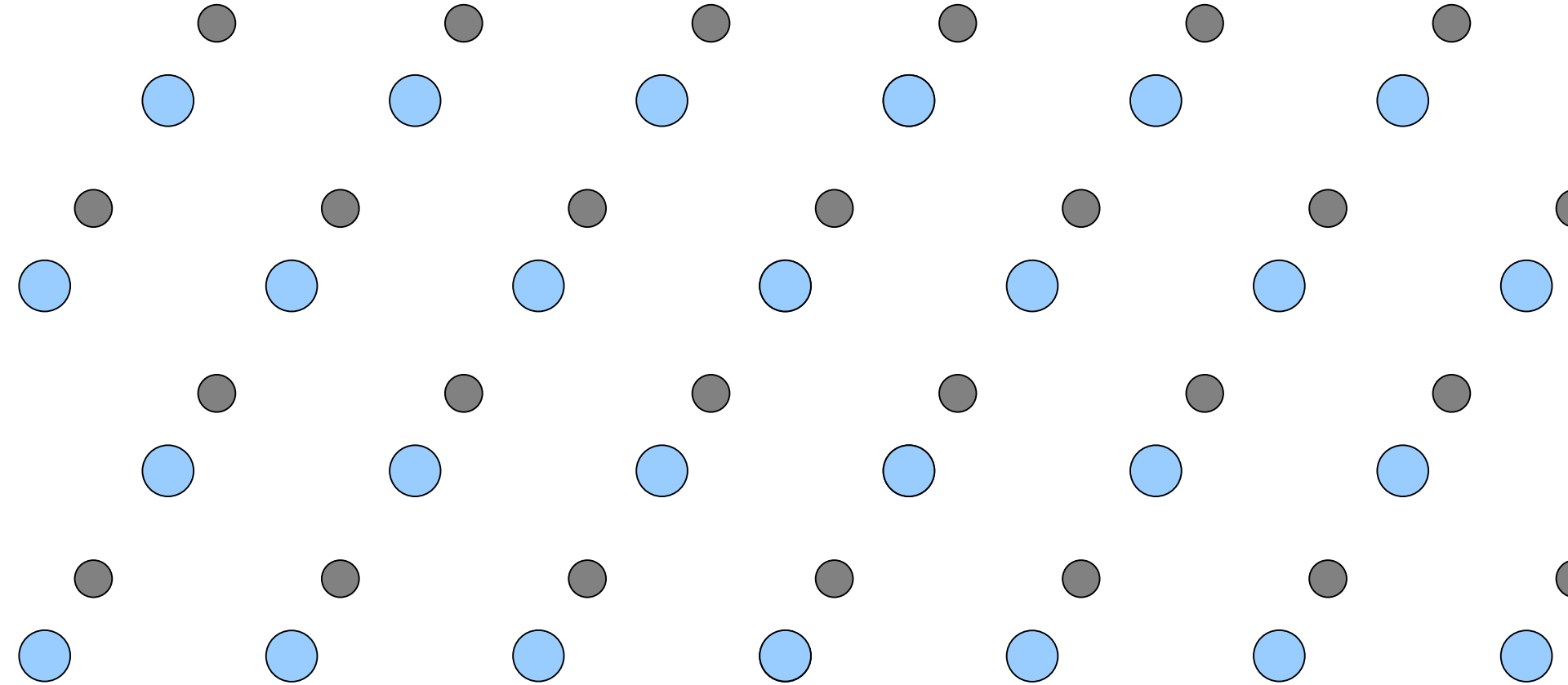


# Choosing Unit Cells



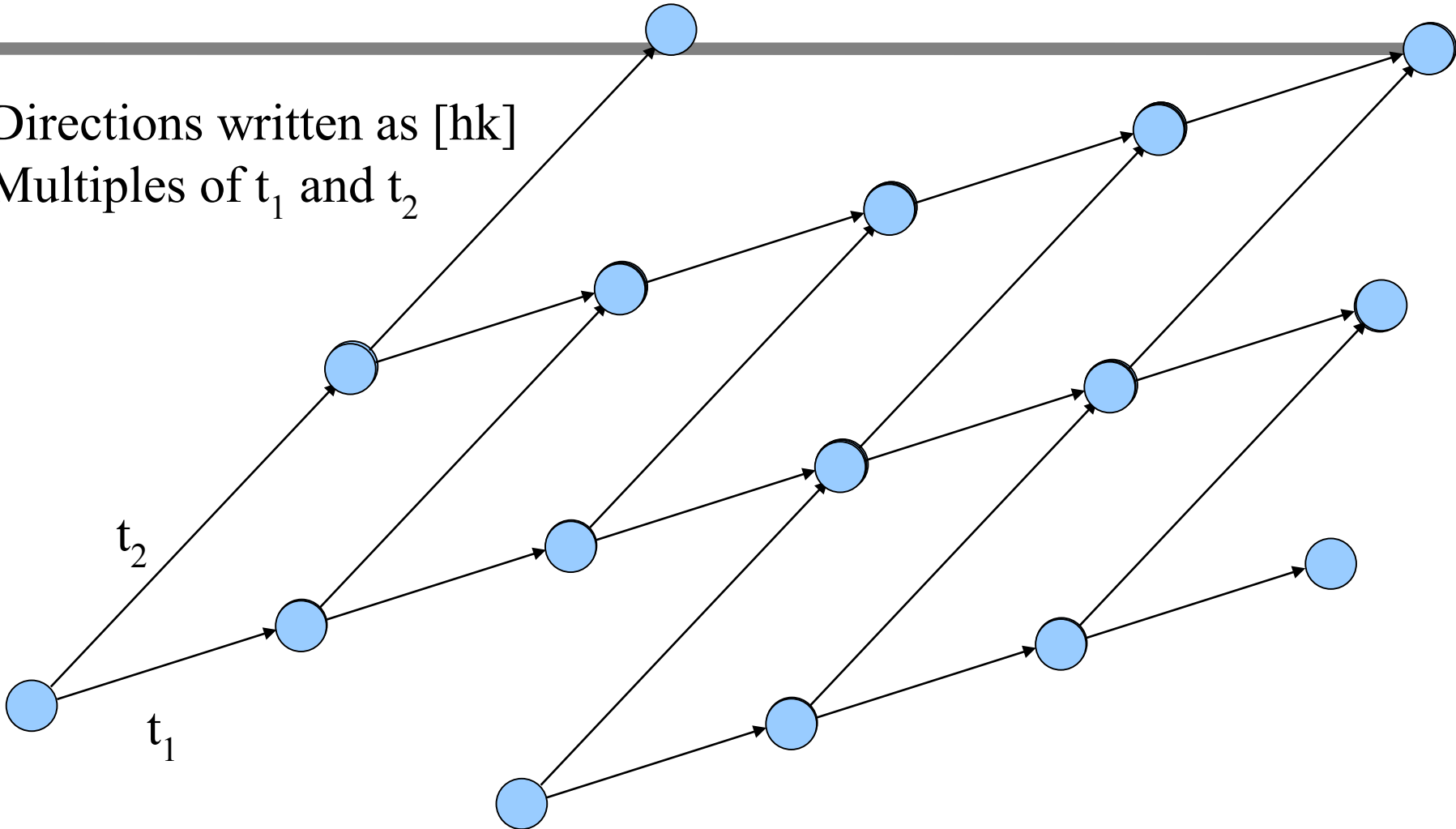
# Choosing Unit Cells

---



# Miller Indices

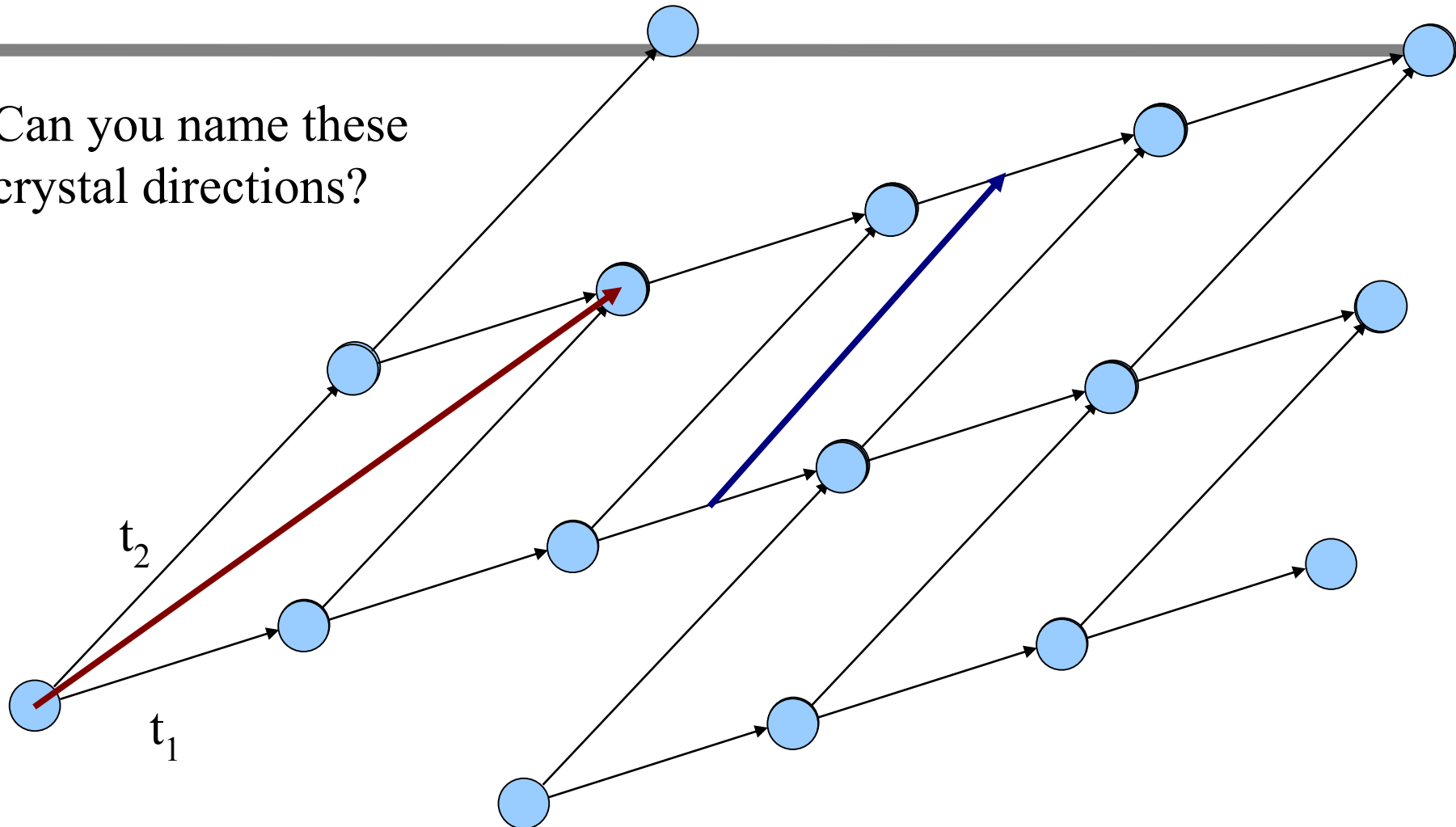
Directions written as  $[hk]$   
Multiples of  $t_1$  and  $t_2$





# Miller Indices

Can you name these  
crystal directions?



# Symmetry Operators in 2D

## Rotational

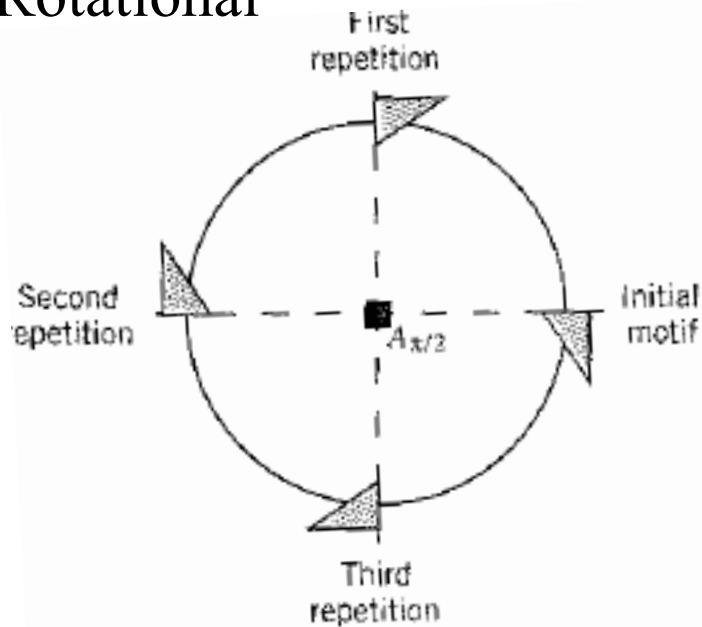


Figure 3.10 Operation of fourfold axis of rotational symmetry  $A_{\pi/2}$ .

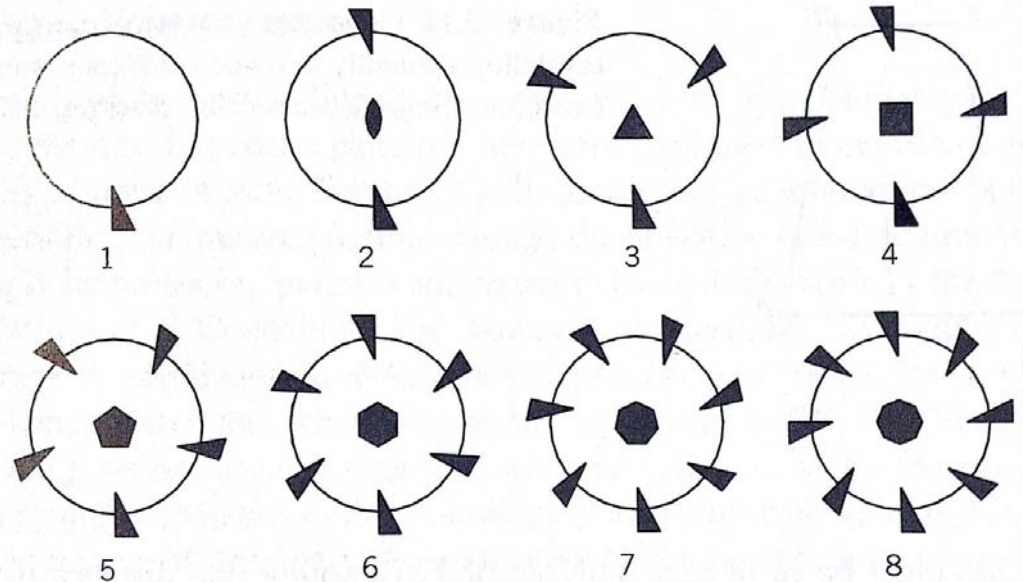


Figure 3.11 Patterns produced by various proper rotation axes.

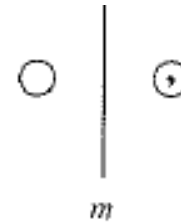
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# Symmetry Operators in 2D

## Mirror

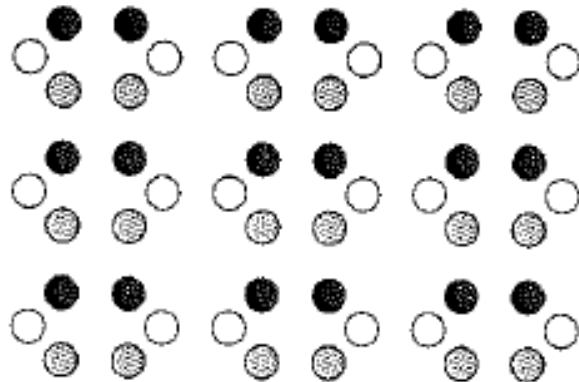


(a)

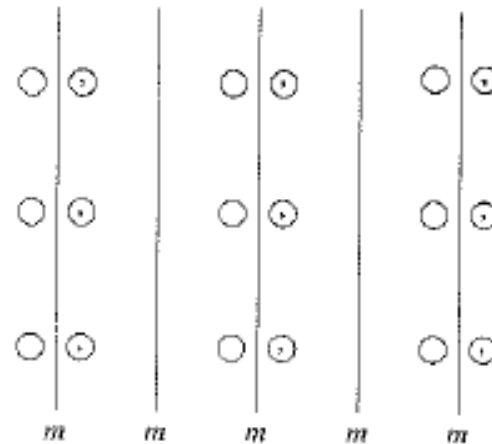


*m*

(b)



(c)



*m*

*m*

*m*

*m*

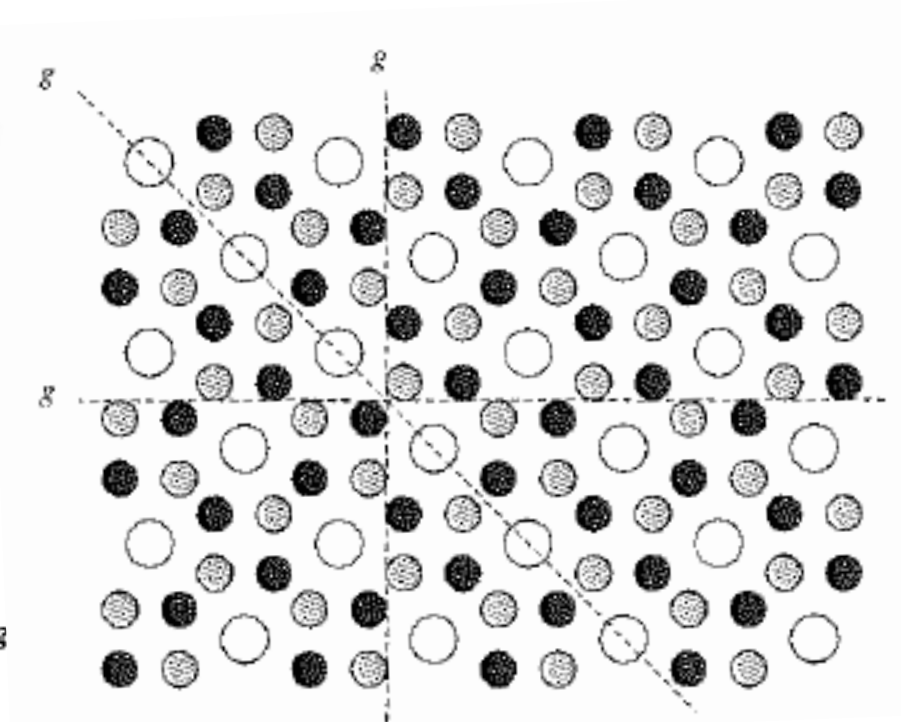
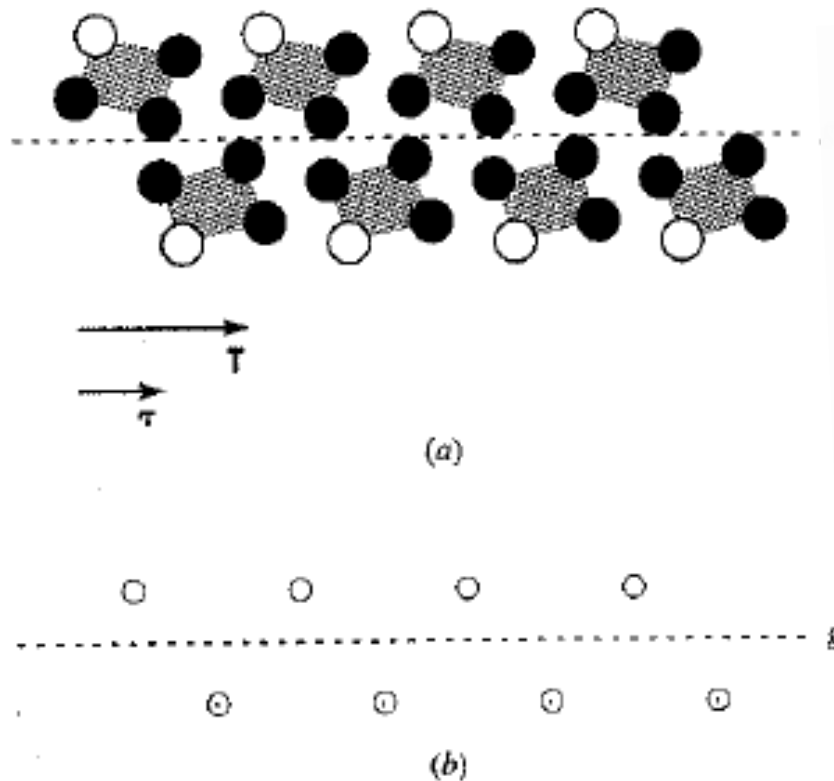
*m*

(d)

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# Symmetry Operators in 2D

## Glide

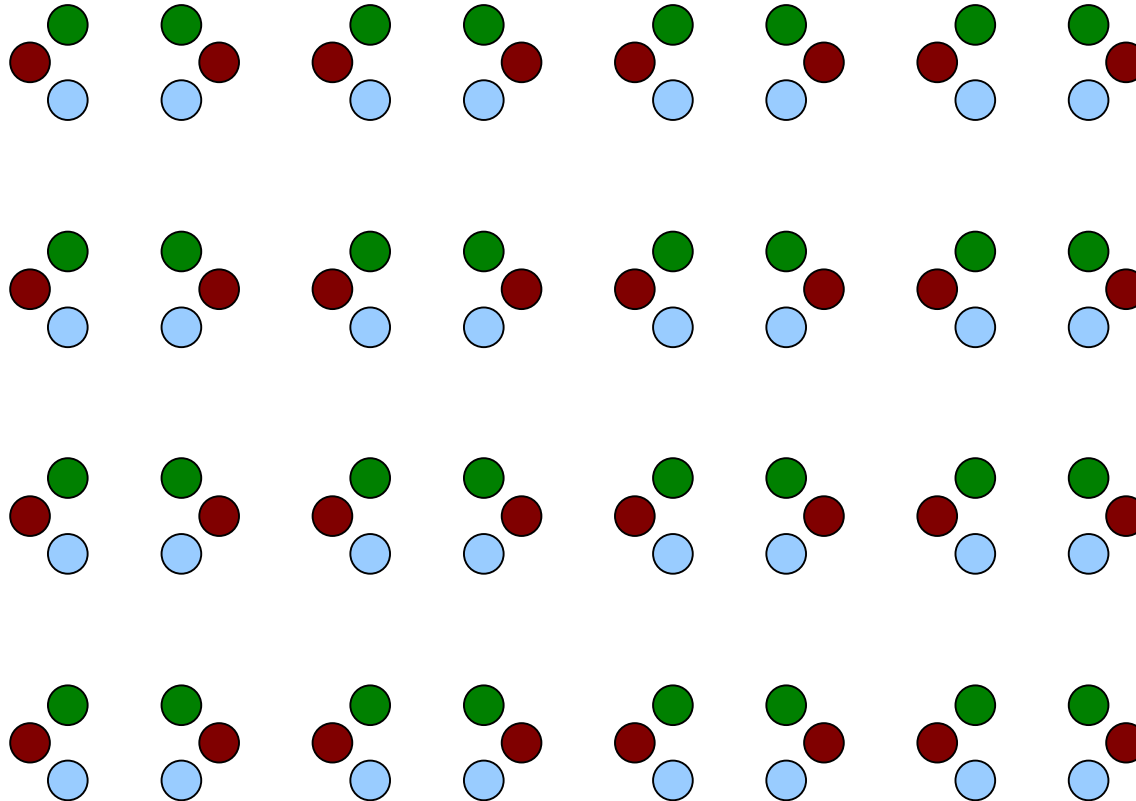


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# Symmetry Operators in 2D

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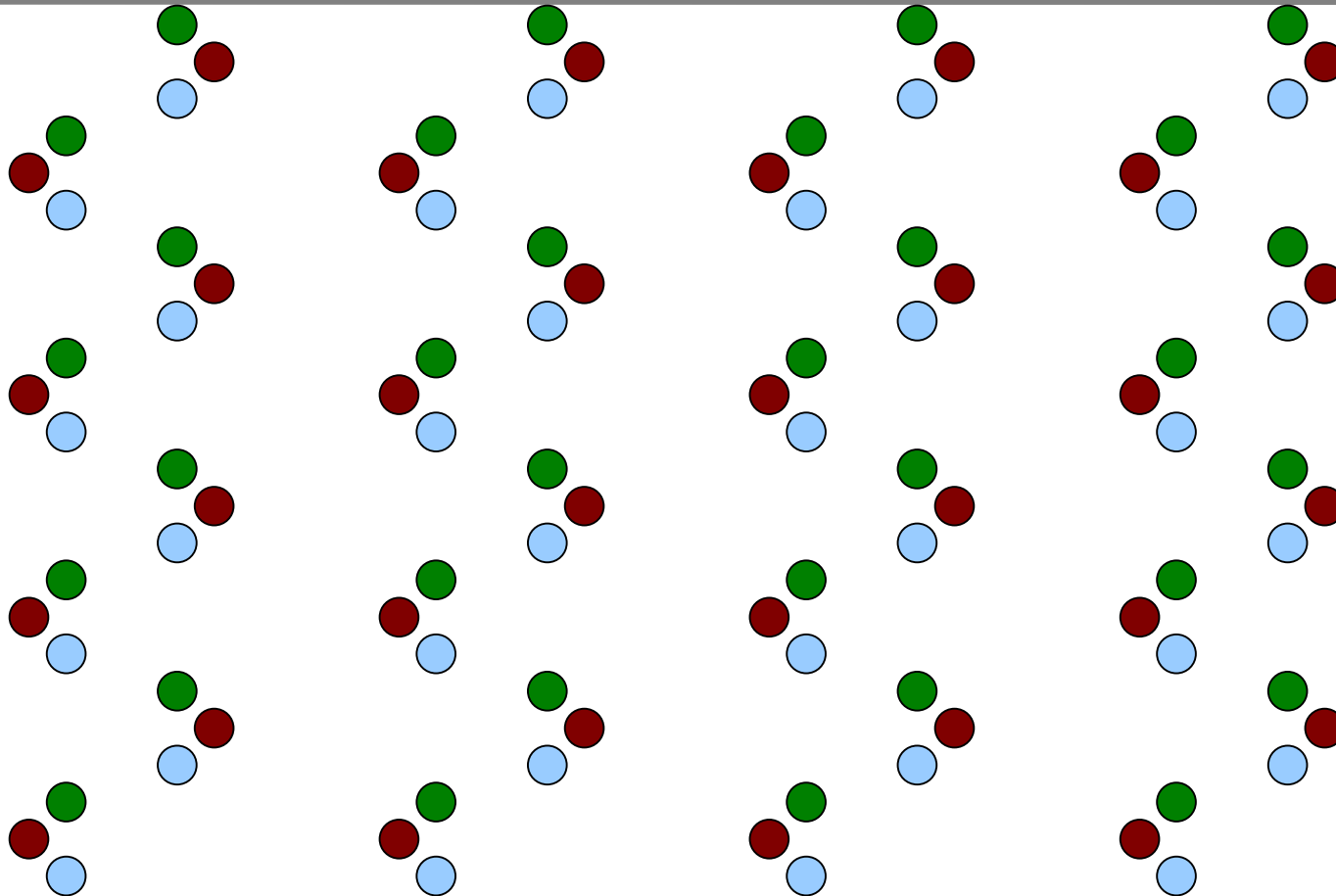
Mirror





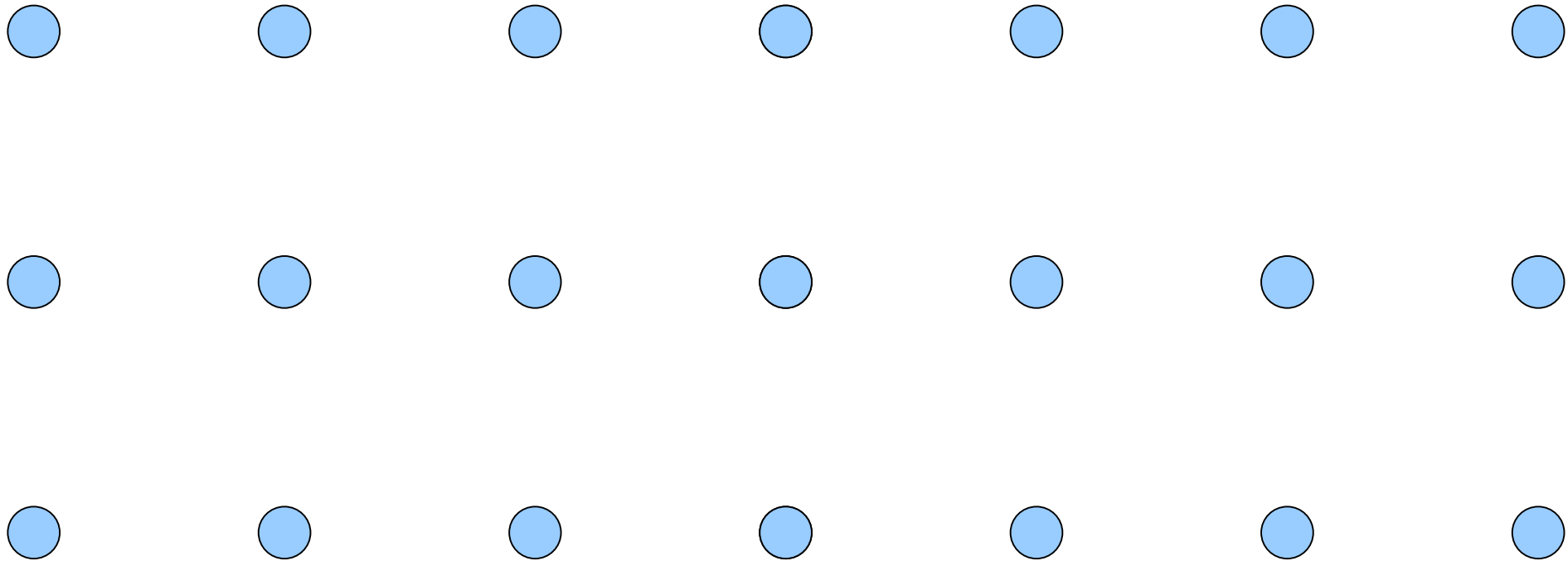
# Symmetry Operators in 2D

Glide



# Square Lattice Symmetry

---



# Moving to 3D

---

## Four new symmetry operators

- Inversion
- Rotoinversion (rotation & inversion)
- Rotoreflexion (rotation & reflection)
- Screw axes (rotation & translation)

# Inversion

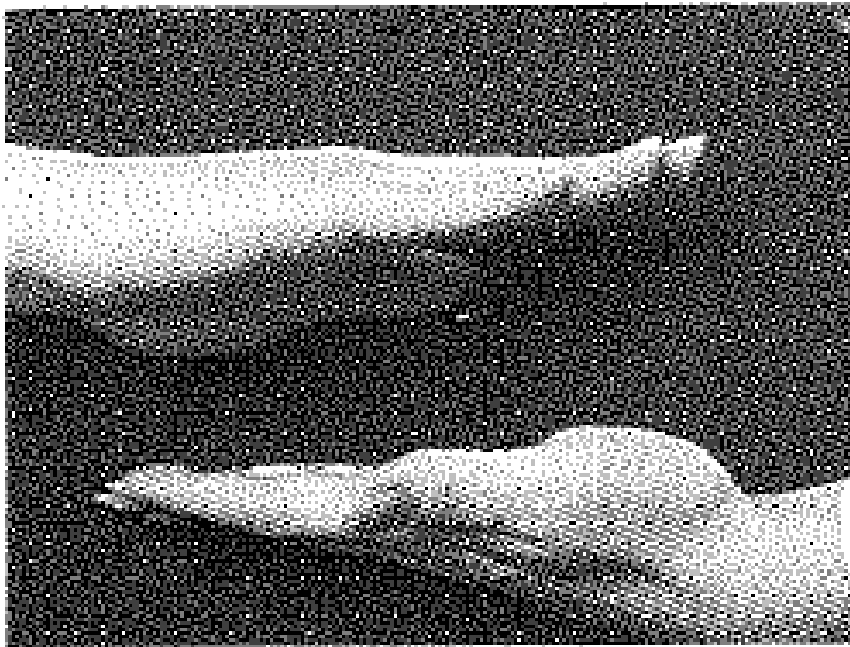


Figure 3.33 An inversion center is created between right and left hands when they are positioned as illustrated.

New coordinates

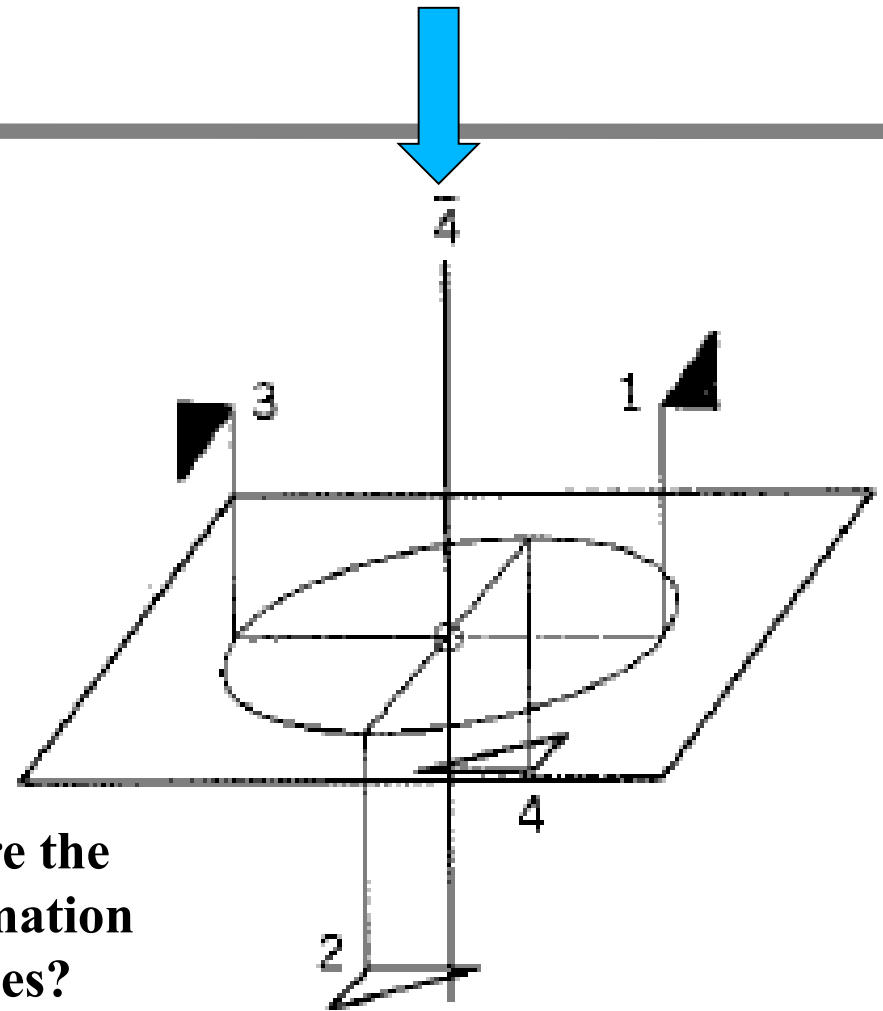
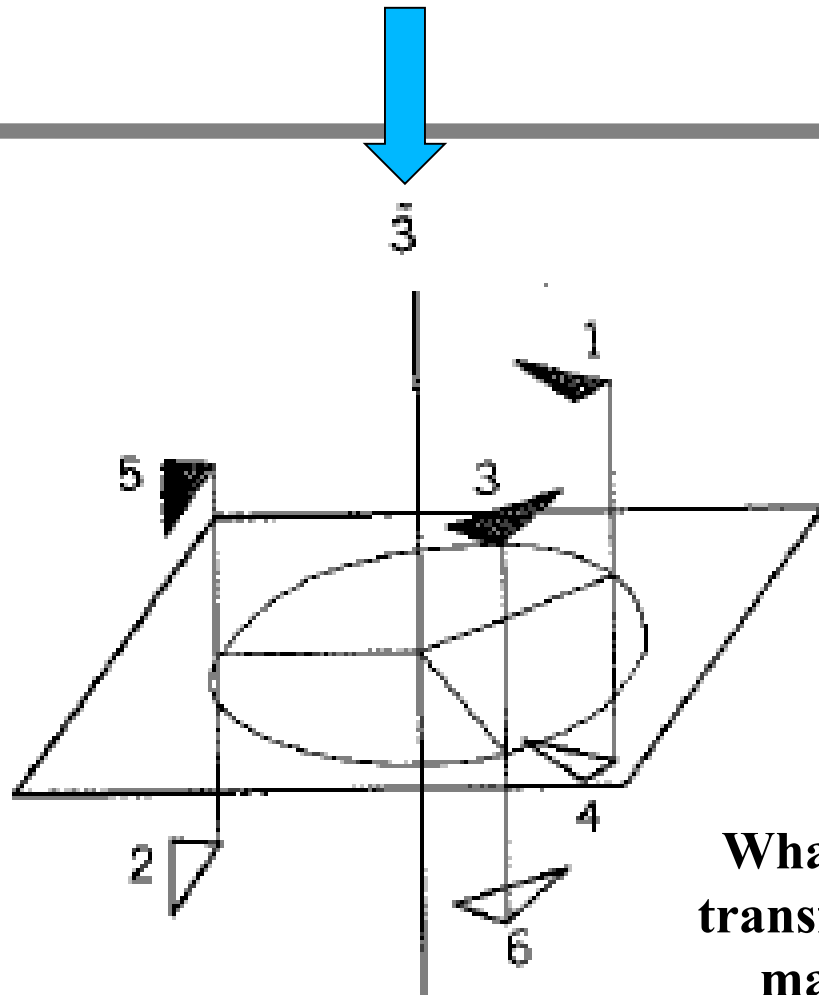
$$\begin{bmatrix} h' \\ k' \\ l' \end{bmatrix} = \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{bmatrix} \begin{bmatrix} h \\ k \\ l \end{bmatrix} = \begin{bmatrix} -h \\ -k \\ -l \end{bmatrix}$$

Transformation  
matrix

Old  
coordinates

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# Rotoreflexion & Rotoinversion



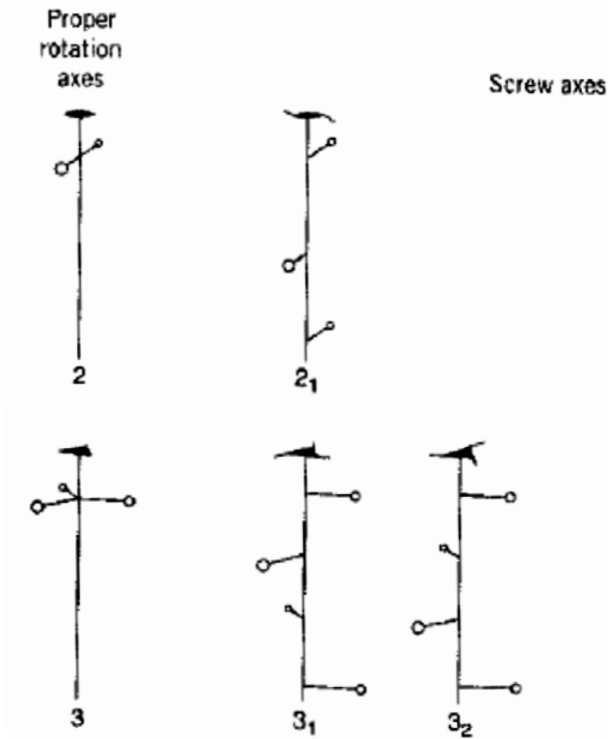
**What are the  
transformation  
matrices?**

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# Screw Axes

## Rotation followed by translation



**Table 3.3** Allowed Crystallographic Screw Axes

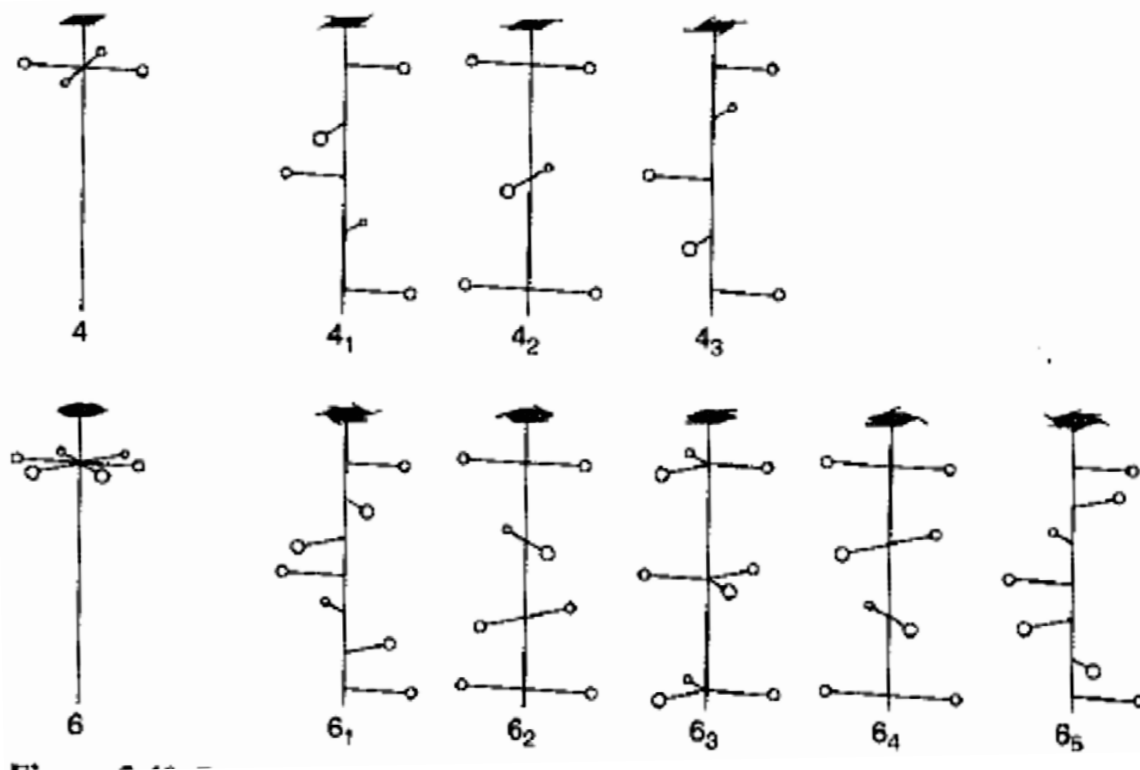
| $n$ | Components                        | Proper Rotation Axes                    | The Eleven Permissible Crystallographic Screw Axes   |
|-----|-----------------------------------|---|--|
| 1   | $\alpha$<br>$\tau$<br>Designation | 0 (or $2\pi$ )<br>0 (or $T_{  }$ )<br>1 |  |
| 2   | $\alpha$<br>$\tau$<br>Designation | $\pi$<br>0<br>2                         | $\pi$<br>$\frac{1}{2}T_{  }$<br>$2_1$  |
| 3   | $\alpha$<br>$\tau$<br>Designation | $\frac{2}{3}\pi$<br>0<br>3              | $\frac{2}{3}\pi$<br>$\frac{1}{3}T_{  }$<br>$3_1$ $\frac{2}{3}\pi$<br>$\frac{2}{3}T_{  }$<br>$3_2$  |
| 4   | $\alpha$<br>$\tau$<br>Designation | $\frac{1}{2}\pi$<br>0<br>4              | $\frac{1}{2}\pi$<br>$\frac{1}{4}T_{  }$<br>$4_1$ $\frac{1}{2}\pi$<br>$\frac{3}{4}T_{  }$<br>$4_2$ $\frac{1}{2}\pi$<br>$\frac{1}{2}T_{  }$<br>$4_3$   |
| 6   | $\alpha$<br>$\tau$<br>Designation | $\frac{1}{3}\pi$<br>0<br>6              | $\frac{1}{3}\pi$<br>$\frac{1}{6}T_{  }$<br>$6_1$ $\frac{1}{3}\pi$<br>$\frac{2}{6}T_{  }$<br>$6_2$ $\frac{1}{3}\pi$<br>$\frac{3}{6}T_{  }$<br>$6_3$ $\frac{1}{3}\pi$<br>$\frac{4}{6}T_{  }$<br>$6_4$ $\frac{1}{3}\pi$<br>$\frac{5}{6}T_{  }$<br>$6_5$ |

Source: Buerger, 1978, p. 204.

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# Screw Axes

Rotation followed by translation



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# Generalized Rotation Matrix

---

$$R = \begin{bmatrix} \cos \theta + u_x^2 (1 - \cos \theta) & u_x u_y (1 - \cos \theta) - u_z \sin \theta & u_x u_z (1 - \cos \theta) + u_y \sin \theta \\ u_y u_x (1 - \cos \theta) + u_z \sin \theta & \cos \theta + u_y^2 (1 - \cos \theta) & u_y u_z (1 - \cos \theta) - u_x \sin \theta \\ u_z u_x (1 - \cos \theta) - u_y \sin \theta & u_z u_y (1 - \cos \theta) + u_x \sin \theta & \cos \theta + u_z^2 (1 - \cos \theta) \end{bmatrix}$$

Or more concisely:

$$R = \cos \theta \mathbf{I} + \sin \theta [\mathbf{u}]_{\times} + (1 - \cos \theta) \mathbf{u} \otimes \mathbf{u},$$

Where  $(u_x, u_y, u_z)$  is a unit vector

# Miller Indices in 3D

---

Directions –  $[hkl]$

Families of directions –  $\langle hkl \rangle$

Planes –  $(hkl)$

Families of planes –  $\{hkl\}$

# Explore Some Examples

---

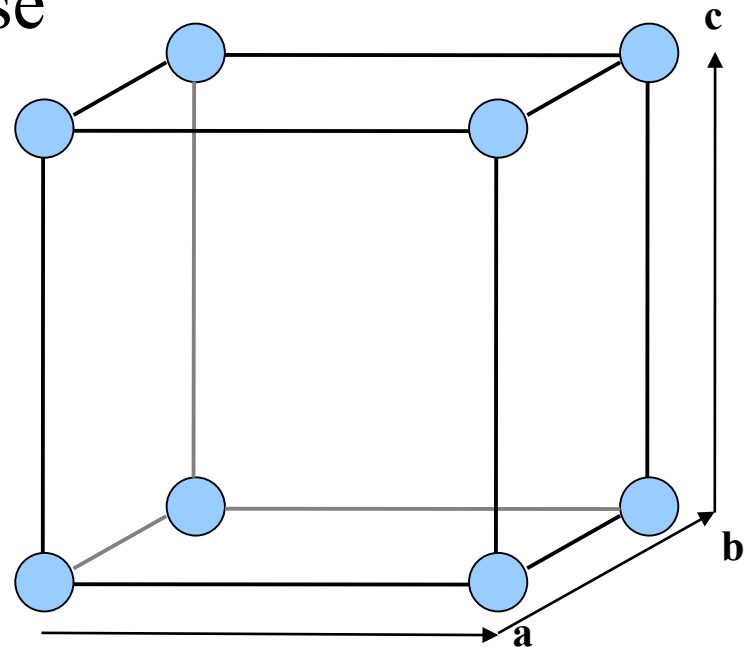
**Done in class, using Crystalmaker**

# Miller Indices – Lattice Parameter

---

Here,  $a=b=c$

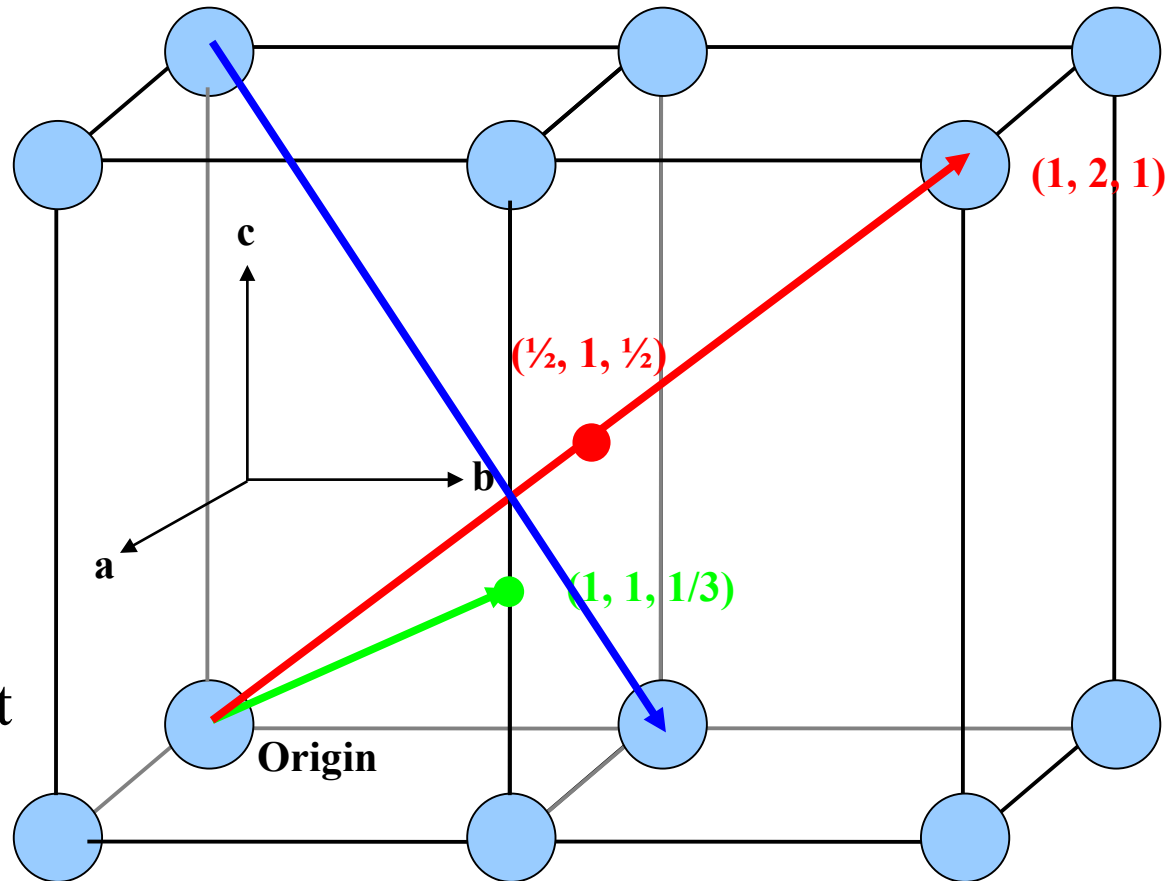
– Not always the case



# Miller Indices – Directions

Drawing directions inside unit cell:

- $[121]$
- $[01\bar{1}]$  (1 means negative)
- $[331]$ 
  - Divide so largest index = 1 to get intercepts



# Miller Indices – Direction Examples

Draw the following directions:

–  $[001]$

–  $[00\bar{1}]$

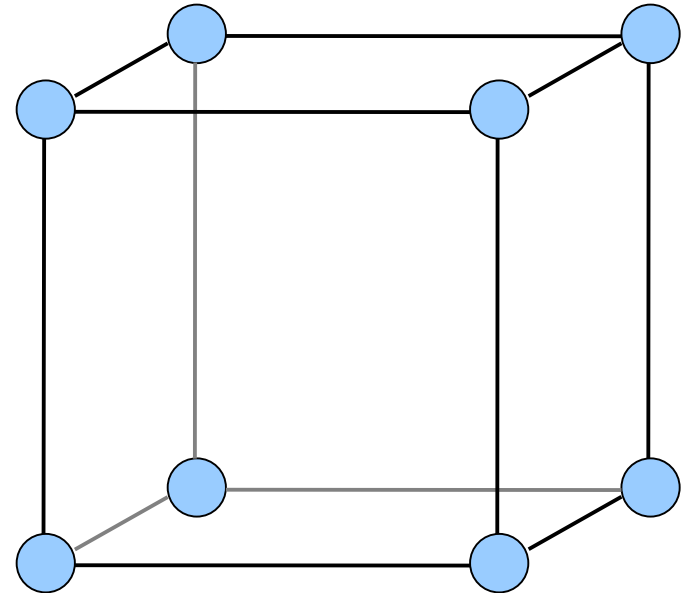
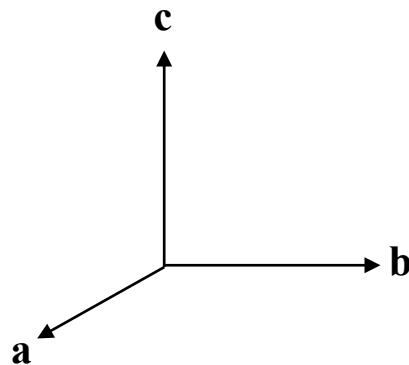
–  $[250]$

–  $[1\bar{1}1]$

–  $[441]$

–  $[632]$

–  $[633]$



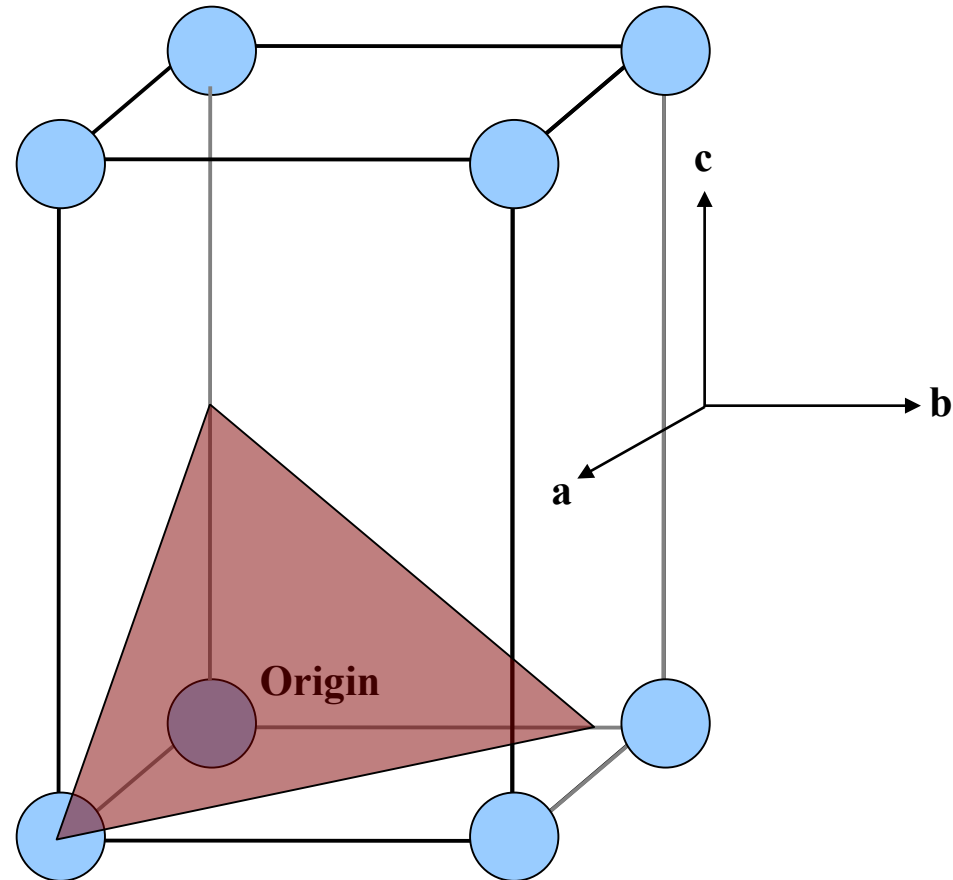


# Miller Indices – Planes

Example:

– (234)

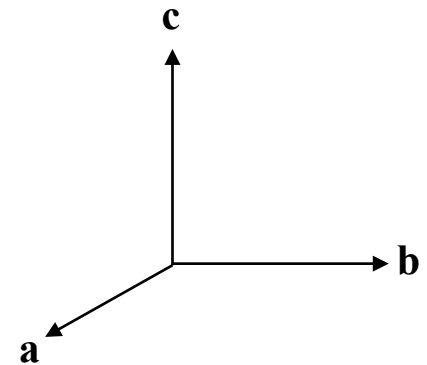
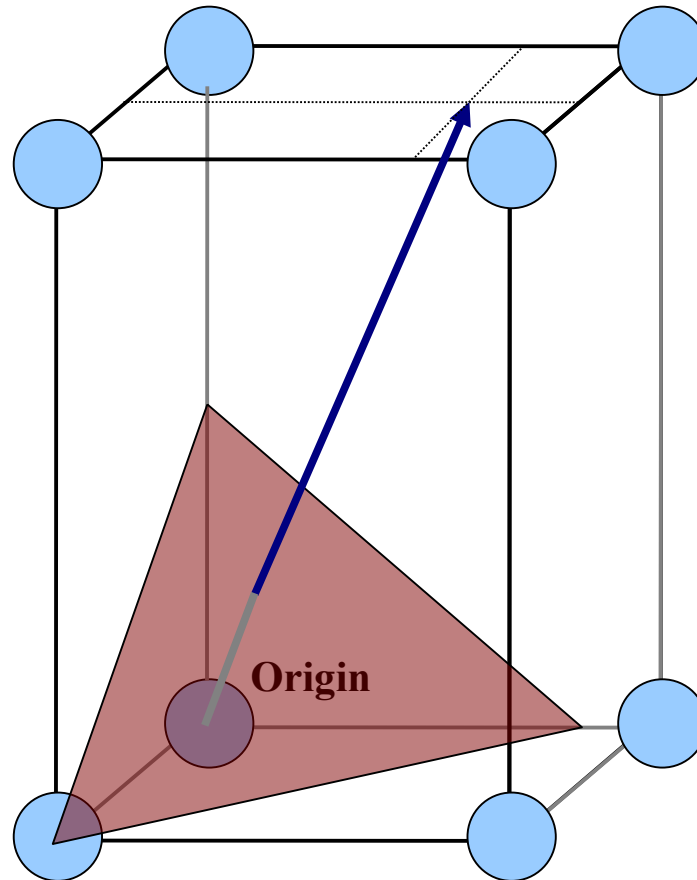
- Take reciprocals of indices ( $\frac{1}{2}$ ,  $\frac{1}{3}$ ,  $\frac{1}{4}$ )
- Multiply so largest index is one ( $1$ ,  $\frac{2}{3}$ ,  $\frac{1}{2}$ )
- These are the plane intercepts on lattice axes



# Miller Indices – Directions and Planes

Example:

- **(234)**
- **[234]**



# Miller Indices – Plane Examples

Draw the following planes:

– (001)

– (00 $\bar{1}$ )

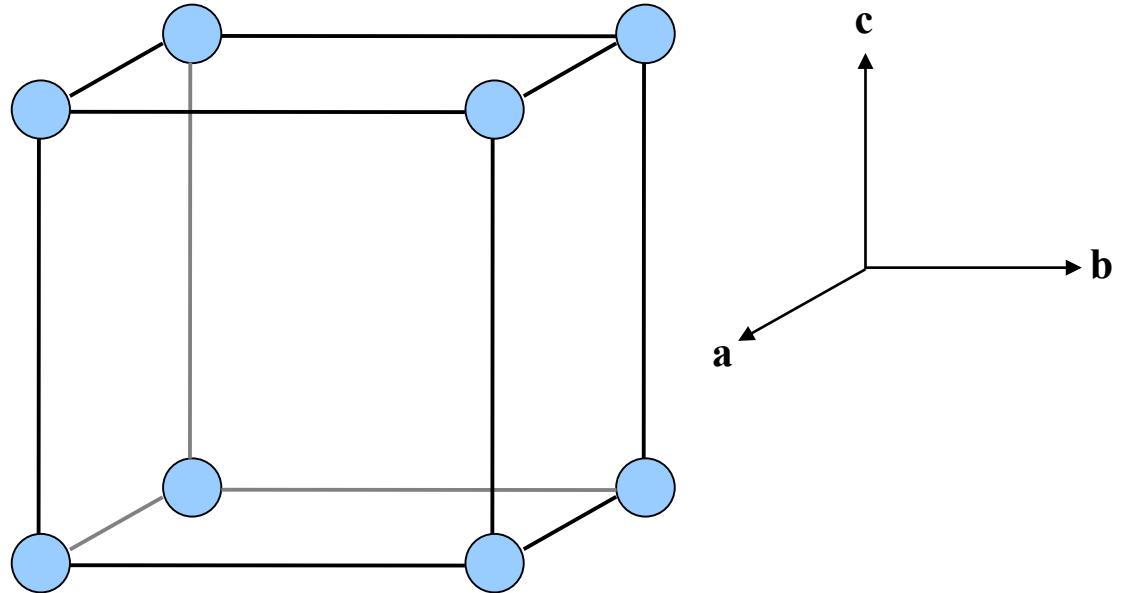
– (251)

– (111)

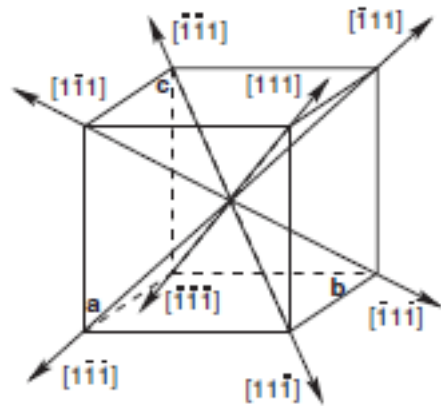
– (441)

– (632)

– (633)



# Families of Directions & Planes



Family of  $[111]$  directions

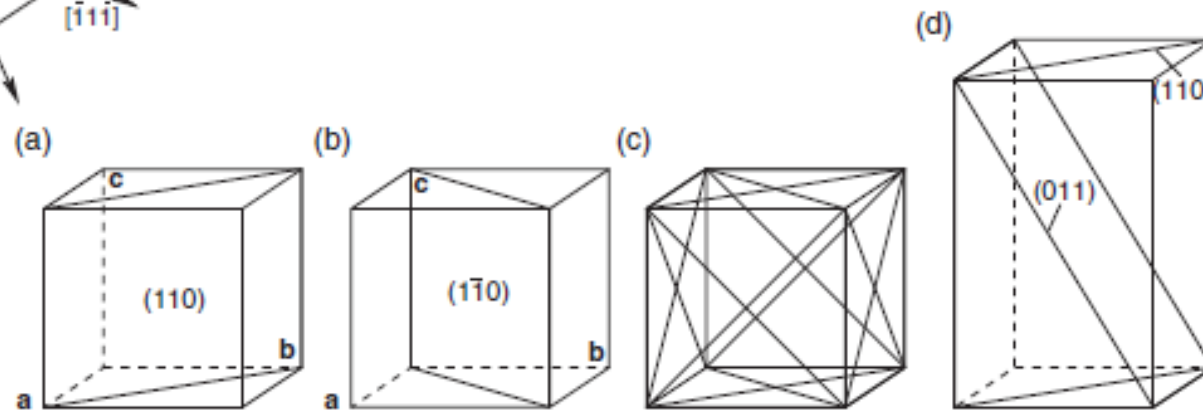


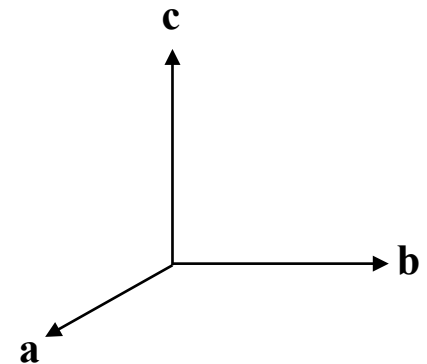
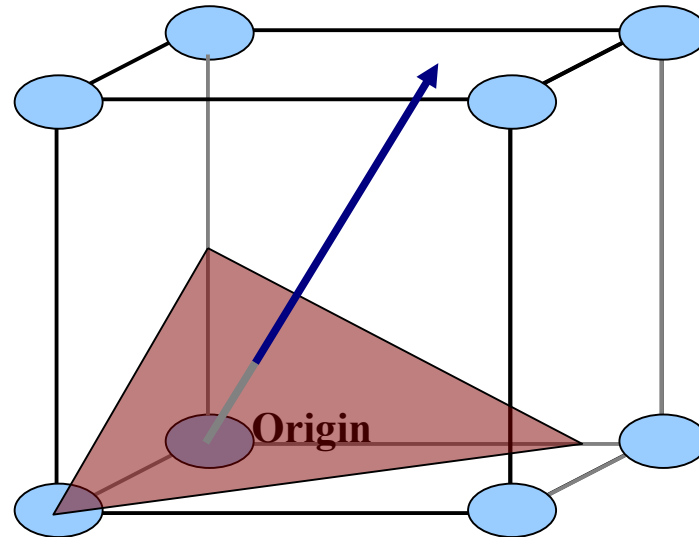
Figure 5.4 Equivalence of the  $\{110\}$  planes in a cubic crystal; in (d) the lattice is tetragonally distorted, and the  $(110)$  and  $(101)$  planes are no longer equivalent.

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# Miller Indices – Directions and Planes

In a cubic lattice  
directions are normal to  
planes. Example:

- $(234)$
- $[234]$



# Miller Indices – Angle Between Planes in a Cubic Lattice

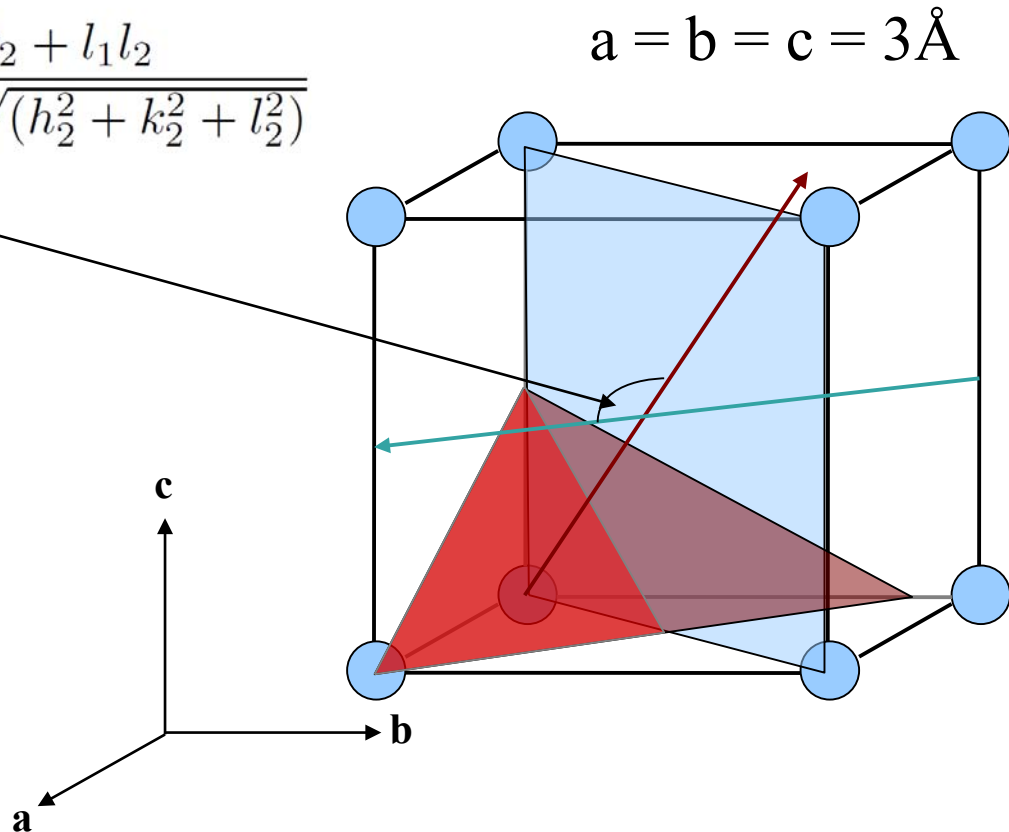
$$\cos(\phi) = \frac{h_1 h_2 + k_1 k_2 + l_1 l_2}{\sqrt{(h_1^2 + k_1^2 + l_1^2)} \sqrt{(h_2^2 + k_2^2 + l_2^2)}}$$

Example:

– **(234)**

– **(110)**

• **97.55 degrees**



# Miller Indices – Angle Between Planes in a Non-Cubic Lattice

Multiply vectors by lattice constants

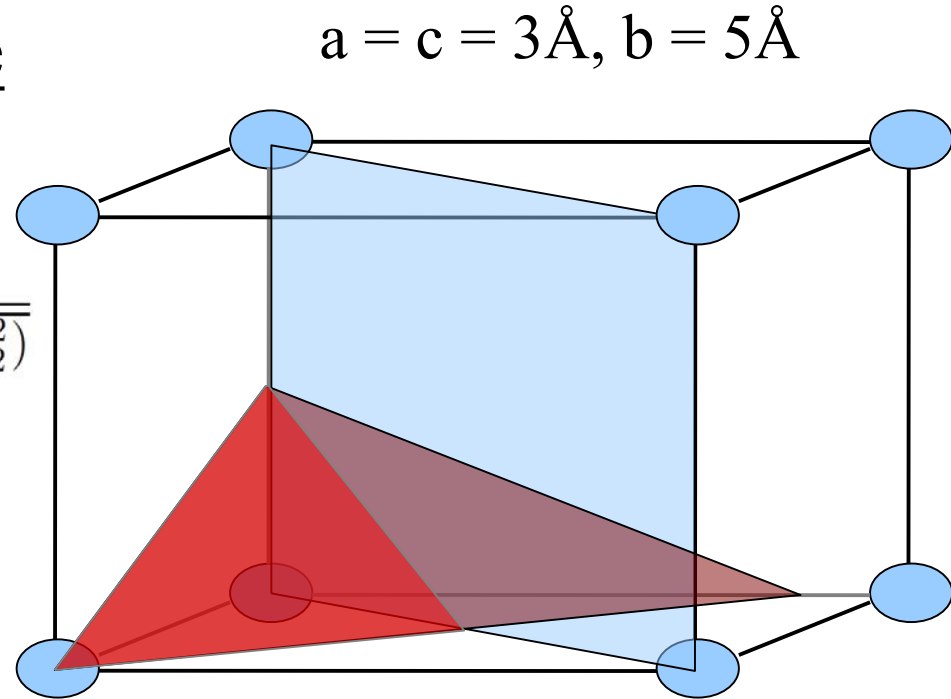
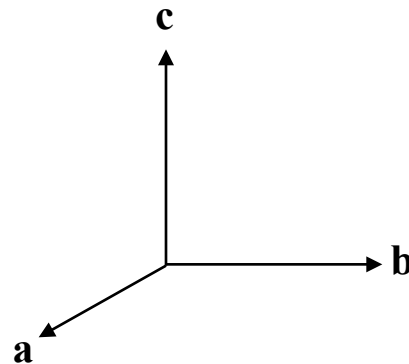
$$\cos(\phi) = \frac{h_1 h_2 + k_1 k_2 + l_1 l_2}{\sqrt{(h_1^2 + k_1^2 + l_1^2)} \sqrt{(h_2^2 + k_2^2 + l_2^2)}}$$

Example:

– (234)

– (110)

• 108.44 degrees



# Miller Indices – Directions Common to Planes

Direction  $[uvw]$  common to planes  
 $(h_1k_1l_1)$  and  $(h_2k_2l_2)$ :

$$u = k_1l_2 - l_1k_2 \quad v = l_1h_2 - h_1l_2 \quad w = h_1k_2 - k_1h_2$$

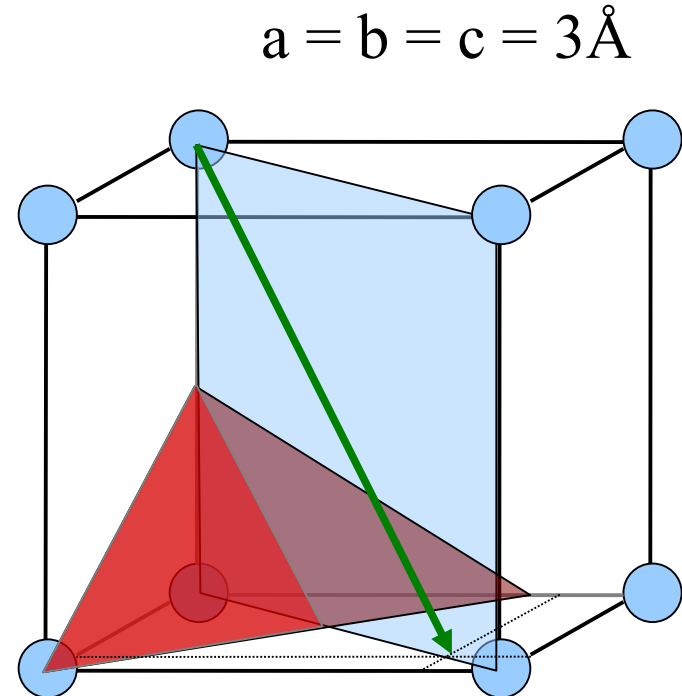
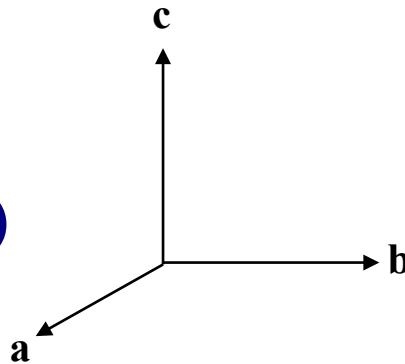
Check the *Weiss Zone Law*:

$$hu + kv + lw = 0$$

Example:

– **(234)** and **(110)**

• **[4,4,5]**





# Bravais Lattices

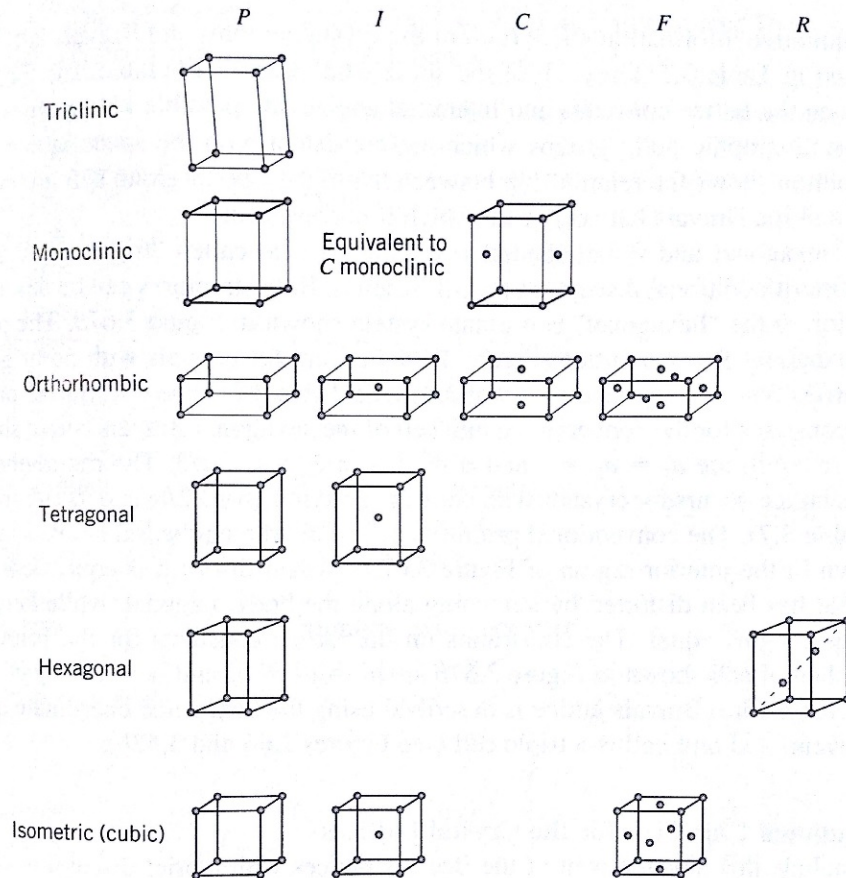


Figure 3.66 The fourteen Bravais lattices and the six crystal systems.

1) Characterize these systems in terms of  $a$ ,  $b$ ,  $c$ , and angles

2) Why is body-centered monoclinic equivalent to base-centered monoclinic?

# Packing Fraction

---

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done in class!

# Space Groups

---

Unique combinations of symmetry, denoted by certain symbols

Find them in:

The Int'l Tables for Crystallography

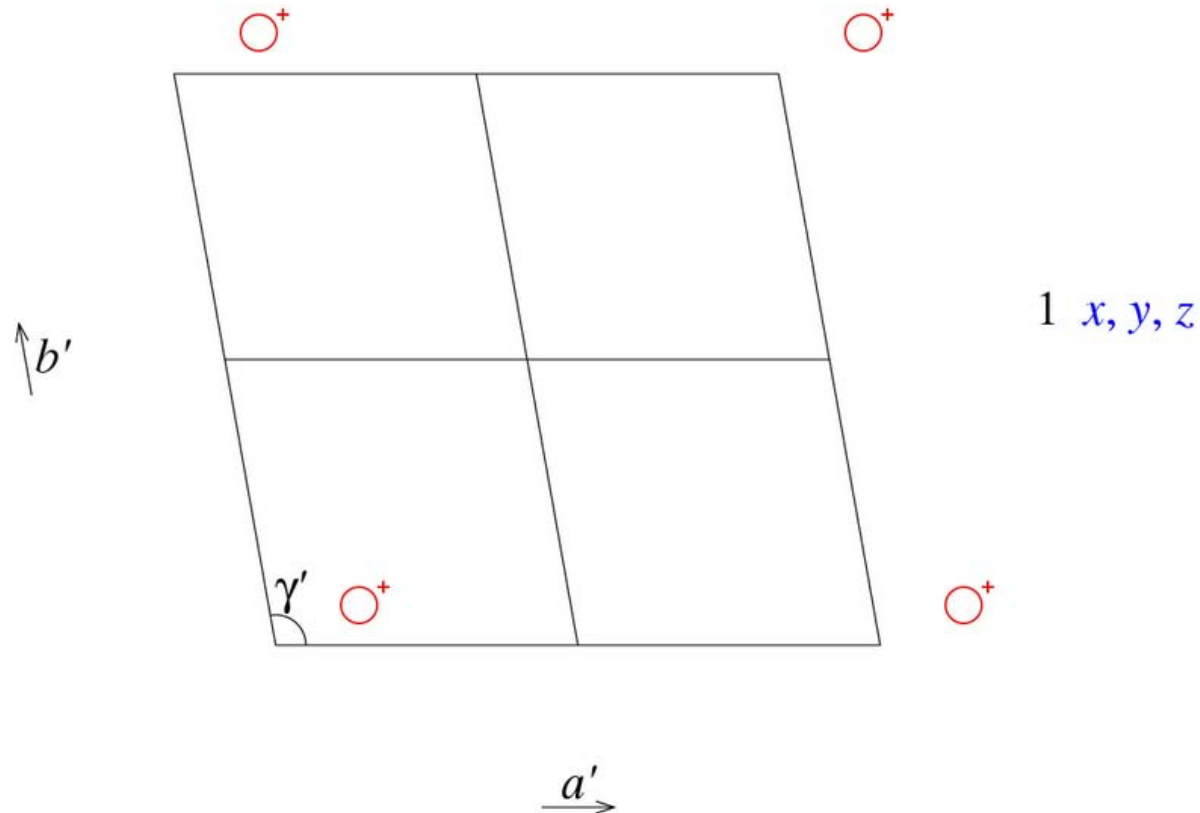
<http://it.iucr.org/>

Or for free at the University College of London:

<http://img.chem.ucl.ac.uk/sgp/large/sgp.htm>

# Example: Triclinic (P1)

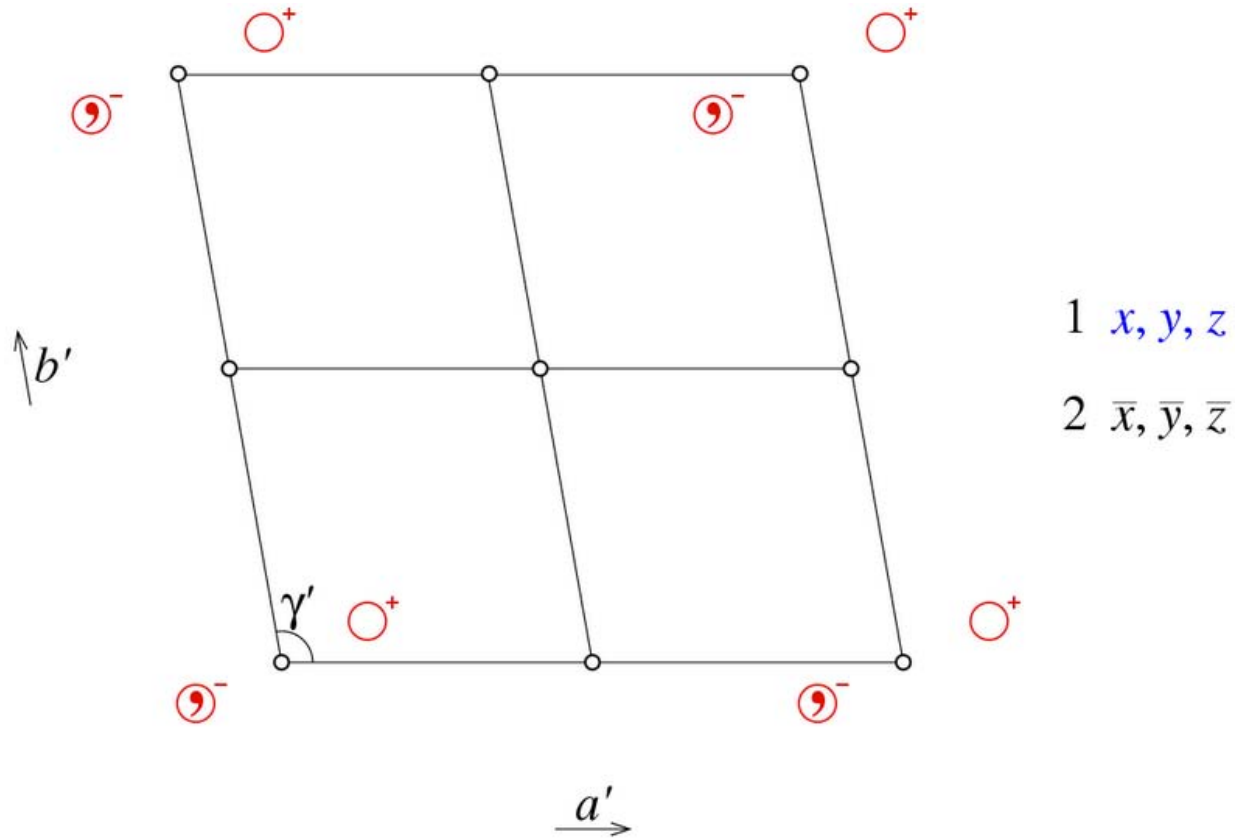
<http://img.chem.ucl.ac.uk/sgp/large/sgp.htm>



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# Example: Triclinic ( $P\bar{1}$ )

<http://img.chem.ucl.ac.uk/sgp/large/sgp.htm>



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# Example Space Groups

<http://img.chem.ucl.ac.uk/sgp/large/sgp.htm>

|  |  |  |  |  |
|--|--|--|--|--|
| 178. <a href="#">P 6<sub>1</sub> 2 2</a>     | 179. <a href="#">P 6<sub>5</sub> 2 2</a>     | 180. <a href="#">P 6<sub>2</sub> 2 2</a> | 181. <a href="#">P 6<sub>4</sub> 2 2</a> | 182. <a href="#">P 6<sub>3</sub> 2 2</a> |
| 183. <a href="#">P 6 m m</a>                 | 184. <a href="#">P 6 c c</a>                 | 185. <a href="#">P 6<sub>3</sub> c m</a> | 186. <a href="#">P 6<sub>3</sub> m c</a> | 187. <a href="#">P -6 m 2</a>            |
| 188. <a href="#">P -6 c 2</a>                | 189. <a href="#">P -6 2 m</a>                | 190. <a href="#">P -6 2 c</a>            | 191. <a href="#">P 6 / m m m</a>         | 192. <a href="#">P 6 / m c c</a>         |
| 193. <a href="#">P 6<sub>3</sub> / m c m</a> | 194. <a href="#">P 6<sub>3</sub> / m m c</a> |  |  |  |

## Cubic

|  |                               |  |  |  |
|--|-------------------------------|--|--|--|
| 195. <a href="#">P 2 3</a>               | 196. <a href="#">F 2 3</a>    | 197. <a href="#">I 2 3</a>               | 198. <a href="#">P 2<sub>1</sub> 3</a>   | 199. <a href="#">I 2<sub>1</sub> 3</a>   |
| 200. <a href="#">P m -3</a>              | 201. <a href="#">P n -3</a>   | 202. <a href="#">F m -3</a>              | 203. <a href="#">F d -3</a>              | 204. <a href="#">I m -3</a>              |
| 205. <a href="#">P a -3</a>              | 206. <a href="#">I a -3</a>   | 207. <a href="#">P 4 3 2</a>             | 208. <a href="#">P 4<sub>2</sub> 3 2</a> | 209. <a href="#">F 4 3 2</a>             |
| 210. <a href="#">F 4<sub>1</sub> 3 2</a> | 211. <a href="#">I 4 3 2</a>  | 212. <a href="#">P 4<sub>3</sub> 3 2</a> | 213. <a href="#">P 4<sub>1</sub> 3 2</a> | 214. <a href="#">I 4<sub>1</sub> 3 2</a> |
| 215. <a href="#">P -4 3 m</a>            | 216. <a href="#">F -4 3 m</a> | 217. <a href="#">I -4 3 m</a>            | 218. <a href="#">P -4 3 n</a>            | 219. <a href="#">F -4 3 c</a>            |

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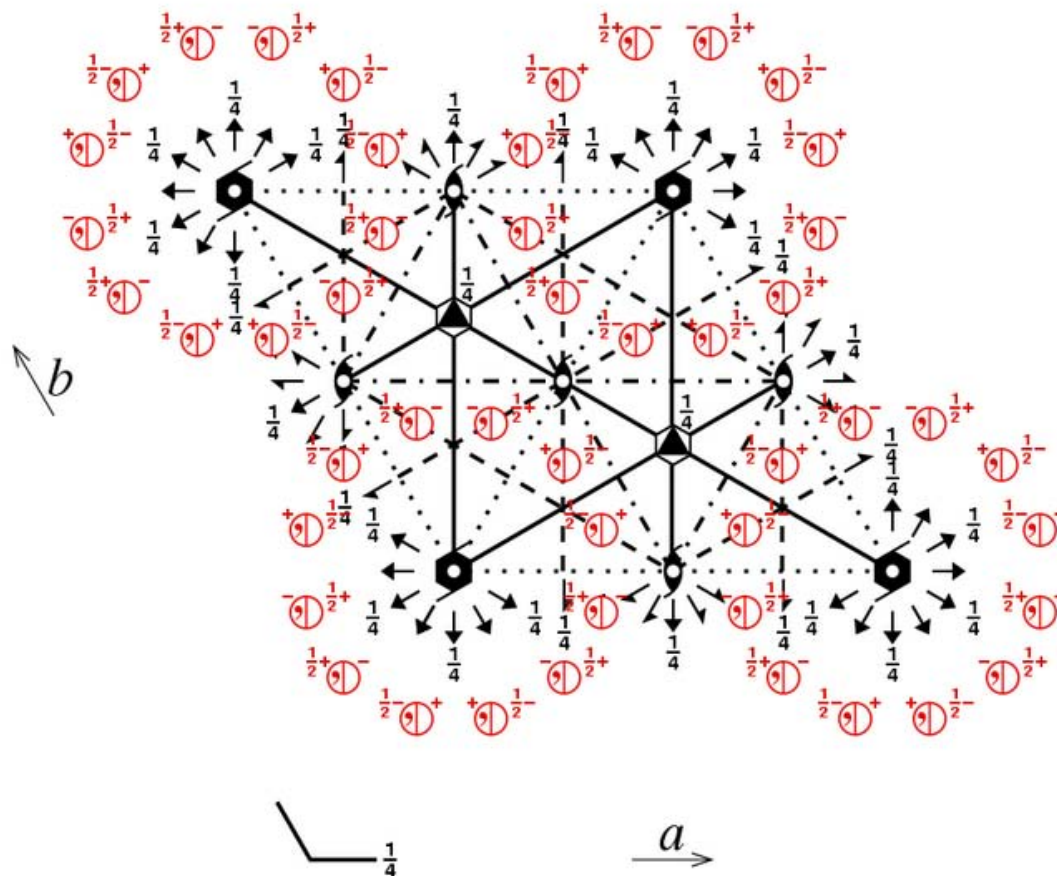
# P6<sub>3</sub>/mmc

*P6<sub>3</sub>/mmc*

*P 6<sub>3</sub>/m 2/m 2/c*

*6/mmm*

No. 194



- 1  $x, y, z$
- 2  $\bar{y}, x - y, z$
- 3  $\bar{x} + y, \bar{x}, z$
- 4  $\bar{x}, \bar{y}, \frac{1}{2} + z$
- 5  $x - y, x, \frac{1}{2} + z$
- 6  $y, \bar{x} + y, \frac{1}{2} + z$
- 7  $\bar{y}, \bar{x}, z$
- 8  $\bar{x} + y, y, z$
- 9  $x, x - y, z$
- 10  $y, x, \frac{1}{2} + z$
- 11  $x - y, \bar{y}, \frac{1}{2} + z$
- 12  $\bar{x}, \bar{x} + y, \frac{1}{2} + z$
- 13  $\bar{x}, \bar{y}, \bar{z}$
- 14  $y, \bar{x} + y, \bar{z}$
- 15  $x - y, x, \bar{z}$
- 16  $x, y, \frac{1}{2} - z$
- 17  $\bar{x} + y, \bar{x}, \frac{1}{2} - z$
- 18  $\bar{y}, x - y, \frac{1}{2} - z$
- 19  $y, x, \bar{z}$
- 20  $x - y, \bar{y}, \bar{z}$
- 21  $\bar{x}, \bar{x} + y, \bar{z}$
- 22  $\bar{y}, \bar{x}, \frac{1}{2} - z$
- 23  $\bar{x} + y, y, \frac{1}{2} - z$
- 24  $x, x - y, \frac{1}{2} - z$



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# Example Space Groups

<http://img.chem.ucl.ac.uk/sgp/large/sgp.htm>

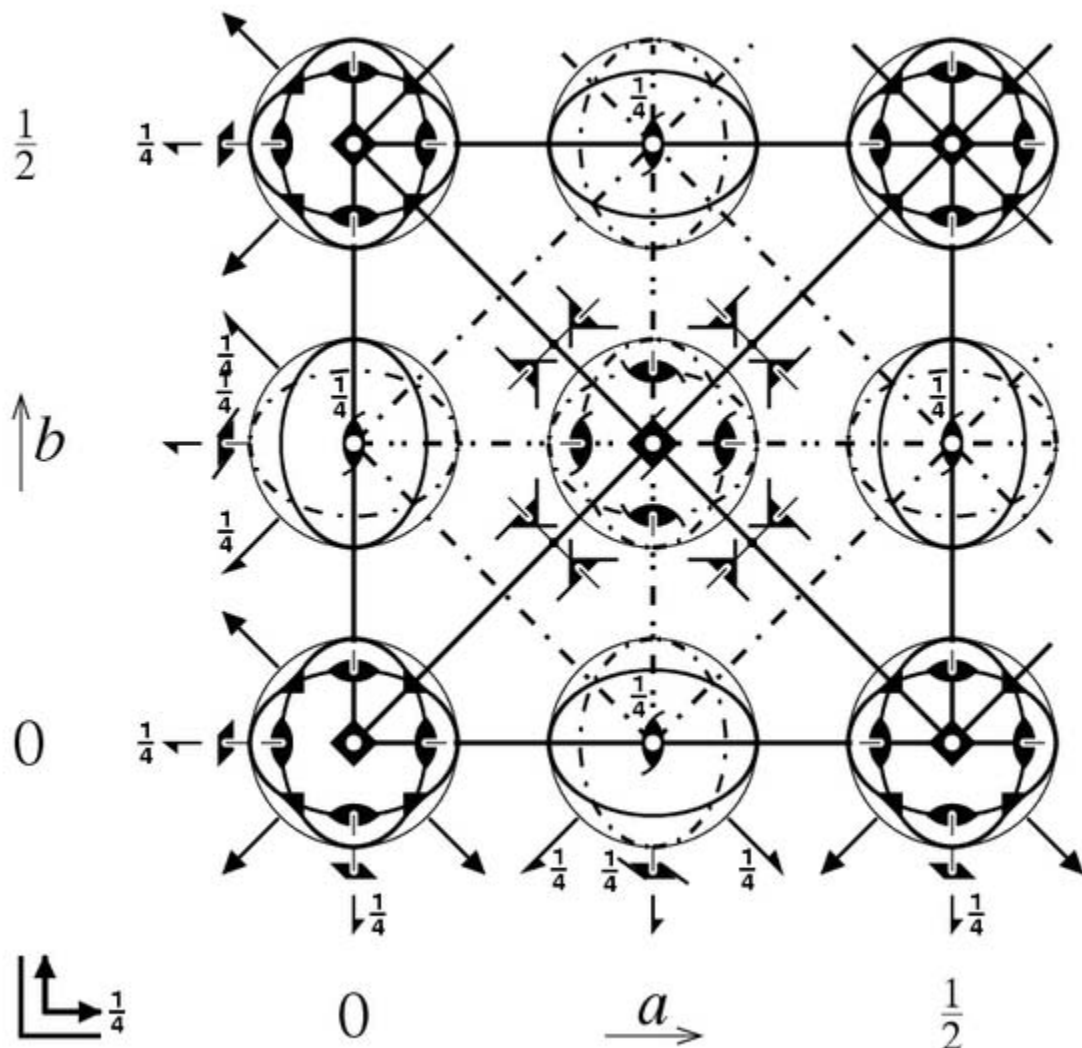
188.  [\$P-6c2\$](#)       189.  [\$P-62m\$](#)       190.  [\$P-62c\$](#)       191.  [\$P6/mmm\$](#)       192.  [\$P6/mcc\$](#)   
193.  [\$P6\_3/mcm\$](#)       194.  [\$P6\_3/mmc\$](#)

## Cubic

195.  [\$P23\$](#)       196.  [\$F23\$](#)       197.  [\$I23\$](#)       198.  [\$P2\_13\$](#)       199.  [\$I2\_13\$](#)   
200.  [\$Pm-3\$](#)       201.  [\$Pn-3\$](#)       202.  [\$Fm-3\$](#)       203.  [\$Fd-3\$](#)       204.  [\$Im-3\$](#)   
205.  [\$Pa-3\$](#)       206.  [\$Ia-3\$](#)       207.  [\$P432\$](#)       208.  [\$P4\_232\$](#)       209.  [\$F432\$](#)   
210.  [\$F4\_132\$](#)       211.  [\$I432\$](#)       212.  [\$P4\_332\$](#)       213.  [\$P4\_132\$](#)       214.  [\$I4\_132\$](#)   
215.  [\$P-43m\$](#)       216.  [\$F-43m\$](#)       217.  [\$I-43m\$](#)       218.  [\$P-43n\$](#)       219.  [\$F-43c\$](#)   
220.  [\$I-43d\$](#)       221.  [\$Pm-3m\$](#)       222.  [\$Pn-3n\$](#)       223.  [\$Pm-3n\$](#)       224.  [\$Pn-3m\$](#)   
225.  [\$Fm-3m\$](#)       226.  [\$Fm-3c\$](#)       227.  [\$Fd-3m\$](#)       228.  [\$Fd-3c\$](#)       229.  [\$Im-3m\$](#)   
230.  [\$Ia-3d\$](#)

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- |    |                             |    |                             |
|----|-----------------------------|----|-----------------------------|
| 1  | $x, y, z$                   | 25 | $\bar{x}, \bar{y}, \bar{z}$ |
| 2  | $x, \bar{y}, \bar{z}$       | 26 | $\bar{x}, y, z$             |
| 3  | $\bar{x}, y, \bar{z}$       | 27 | $x, \bar{y}, z$             |
| 4  | $\bar{x}, \bar{y}, z$       | 28 | $x, y, \bar{z}$             |
| 5  | $z, x, y$                   | 29 | $\bar{z}, \bar{x}, \bar{y}$ |
| 6  | $\bar{z}, \bar{x}, y$       | 30 | $z, x, \bar{y}$             |
| 7  | $z, \bar{x}, \bar{y}$       | 31 | $\bar{z}, x, y$             |
| 8  | $\bar{z}, x, \bar{y}$       | 32 | $z, \bar{x}, y$             |
| 9  | $y, z, x$                   | 33 | $\bar{y}, \bar{z}, \bar{x}$ |
| 10 | $\bar{y}, z, \bar{x}$       | 34 | $y, \bar{z}, x$             |
| 11 | $\bar{y}, \bar{z}, x$       | 35 | $y, z, \bar{x}$             |
| 12 | $y, \bar{z}, \bar{x}$       | 36 | $\bar{y}, z, x$             |
| 13 | $x, \bar{z}, y$             | 37 | $\bar{x}, z, \bar{y}$       |
| 14 | $x, z, \bar{y}$             | 38 | $\bar{x}, \bar{z}, y$       |
| 15 | $\bar{x}, \bar{z}, \bar{y}$ | 39 | $x, z, y$                   |
| 16 | $\bar{x}, z, y$             | 40 | $x, \bar{z}, \bar{y}$       |
| 17 | $z, y, \bar{x}$             | 41 | $\bar{z}, \bar{y}, x$       |
| 18 | $\bar{z}, y, x$             | 42 | $z, \bar{y}, \bar{x}$       |
| 19 | $\bar{z}, \bar{y}, \bar{x}$ | 43 | $z, y, x$                   |
| 20 | $z, \bar{y}, x$             | 44 | $\bar{z}, y, \bar{x}$       |
| 21 | $\bar{y}, x, z$             | 45 | $y, \bar{x}, \bar{z}$       |
| 22 | $y, \bar{x}, z$             | 46 | $\bar{y}, x, \bar{z}$       |
| 23 | $\bar{y}, \bar{x}, \bar{z}$ | 47 | $y, x, z$                   |
| 24 | $y, x, \bar{z}$             | 48 | $\bar{y}, \bar{x}, z$       |

$+ (0, \frac{1}{2}, \frac{1}{2}), (\frac{1}{2}, 0, \frac{1}{2}), (\frac{1}{2}, \frac{1}{2}, 0)$



# Explore Some Examples

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**Done in class, using Crystalmaker**

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22.14 Materials in Nuclear Engineering  
Spring 2015

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