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## Problem Set 2

This problem set is due **at 11:59pm on Thursday, February 19, 2015.**

This assignment, like later assignments, consists of *exercises* and *problems*. Hand in solutions to the problems only. However, we strongly advise that you work out the exercises also, since they will help you learn the course material. You are responsible for the material they cover.

Please turn in each problem solution separately. Each submitted solution should start with your name, the course number, the problem number, your recitation section, the date, and the names of any students with whom you collaborated.

We will often ask you to “give an algorithm” to solve a problem. Your write-up should take the form of a short essay. Start by defining the problem you are solving and stating what your results are. Then provide:

1. A description of the algorithm in English and, if helpful, pseudo-code.
2. A proof (or proof sketch) for the correctness of the algorithm.
3. An analysis of the running time.

We will give full credit only for correct solutions that are described clearly.

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**Exercise 2-1.** Read CLRS, Sections 30.1 and 30.2.

**Exercise 2-2.** Exercise 30-2.3.

**Exercise 2-3.** Exercise 30-2.4.

**Exercise 2-4.** Read CLRS, Chapter 18.

**Exercise 2-5.** Exercise 18.2-5

**Exercise 2-6.** Exercise 18.3-2

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**Problem 2-1. Pattern Matching** [25 points]

Suppose you are given a *source string*  $S[0..n-1]$  of length  $n$ , consisting of symbols  $a$  and  $b$ . Suppose further that you are given a *pattern string*  $P[0..m-1]$  of length  $m \ll n$ , consisting of symbols  $a$ ,  $b$ , and  $*$ , representing a pattern to be found in string  $S$ . The symbol  $*$  is a “wild card” symbol, which matches a single symbol, either  $a$  or  $b$ . The other symbols must match exactly.

The problem is to output a sorted list  $M$  of valid “match positions”, which are positions  $j$  in  $S$  such that pattern  $P$  matches the substring  $S[j..j+|P|-1]$ . For example, if  $S = ababbab$  and  $P = ab*$ , then the output  $M$  should be  $[0, 2]$ .

- (a) [4 points] Describe a straightforward, naïve algorithm to solve the problem. Your algorithm should run in time  $O(nm)$ .
- (b) [12 points] Give an algorithm to solve the problem by reducing it to the problem of polynomial multiplication. Specifically, describe how to convert strings  $S$  and  $P$  into polynomials such that the product of the polynomials allows you to determine the answer  $M$ . Give examples to illustrate your polynomial representation of the inputs and your way of determining outputs from the product, based on the example  $S$  and  $P$  strings given above.
- (c) [3 points] Suppose you combine your solution to Part (b) with an FFT algorithm for polynomial multiplication, as presented in Lecture 3. What is the time complexity of the resulting solution to the string matching problem?
- (d) [6 points] Now consider the same problem but with a larger symbol alphabet. Specifically, suppose you are given a representation of a DNA strand as a string  $D[0..n-1]$  of length  $n$ , consisting of symbols  $A$ ,  $C$ ,  $G$ , and  $T$ ; and you are given a pattern string  $P[0..m-1]$  of length  $m \ll n$ , consisting of symbols  $A$ ,  $C$ ,  $G$ ,  $T$ , and  $*$ .

The problem is, again, to output a sorted list  $M$  of valid “match positions”, which are positions  $j$  in  $D$  such that pattern  $P$  matches the substring  $D[j..j+|P|-1]$ . For example, if  $D = ACGACCAT$  and  $P = AC* A$ , then the output  $M$  should be  $[0, 3]$ .

Based on your solutions to Parts (b) and (c), give an efficient algorithm for this setting. Illustrate your algorithm on the example above.

### Problem 2-2. Combining B-trees [25 points]

Consider a new B-tree operation  $\text{COMBINE}(T_1, T_2, k)$ . This operation takes as input two B-trees  $T_1$  and  $T_2$  with the same minimum degree parameter  $t$ , plus a new key  $k$  that does not appear in either  $T_1$  or  $T_2$ . We assume that all the keys in  $T_1$  are strictly smaller than  $k$  and all the keys in  $T_2$  are strictly larger than  $k$ . The  $\text{COMBINE}$  operation produces a new B-tree  $T$ , with the same minimum degree  $t$ , whose keys are those in  $T_1$ , those in  $T_2$ , plus  $k$ . In the process, it destroys the original trees  $T_1$  and  $T_2$ .

In this problem, you will design an algorithm to implement the  $\text{COMBINE}$  operation. Your algorithm should run in time  $O(|h_1 - h_2| + 1)$ , where  $h_1$  and  $h_2$  are the heights of trees  $T_1$  and  $T_2$  respectively. In analyzing the costs, you should regard  $t$  as a constant.

- (a) [5 points] First consider the special case of the problem in which  $h_1$  is assumed to be equal to  $h_2$ . Give an algorithm to combine the trees that runs in constant time.
- (b) [5 points] Consider another special case, in which  $h_1$  is assumed to be exactly equal to  $h_2 + 1$ . Give a constant-time algorithm to combine the trees.
- (c) [5 points] Now consider the more general case in which  $h_1$  and  $h_2$  are arbitrary. Because the algorithm must work in such a small amount of time, and must work for arbitrary heights, a first step is to develop a new kind of **augmented B-tree** data

structure in which each node  $x$  always carries information about the height of the subtree below  $x$ . Describe how to augment the common B-tree insertion and deletion operations to maintain this information, while still maintaining the asymptotic time complexity of all operations.

- (d) [10 points] Now give an algorithm for combining two B-trees  $T_1$  and  $T_2$ , in the general case where  $h_1$  and  $h_2$  are arbitrary. Your algorithm should run in time  $O(|h_1 - h_2| + 1)$ .

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6.046J / 18.410J Design and Analysis of Algorithms  
Spring 2015

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