<u>e²/r_{ii} and Slater Sum Rule Method</u>

| <u>LAST TIME:</u> | 1. | The L^2 , S^2 matrix method for setting up $ NLM_LSM_S\rangle$ many- electron basis states in terms of linear combination of Slater determinants $L^2 \rightarrow L_+L$ * $M_L = 0$, $M_S = 0$ block: $S^2 \rightarrow SS$ |
|-------------------|----------|--|
| | 2. 3. | * diagonalize S^2 (singlets and triplets) * diagonalize L^2 in same basis that diagonalizes S^2 [Recall: to get matrix elements of L^2 , first evaluate $L^2 \Psi_i \rangle$ and then left multiply by $\langle \Psi_i $] coupled representations $ nj \otimes l \rangle$ and $ NJLSM_J\rangle$ Projection operators: automated projection of L^2 eigenfunctions * remove unwanted L'' part * preserve normalization of wanted L' part * remove overlap factor * easy to write computer program that automates the |
| | | projection method |

TODAY:

- 1. Slater Sum Rule Trick (based on trace invariance): MAIN IDEA OF LECTURE.
- 2. Evaluate $\sum_{i>j} e^2/r_{ij}$ matrix elements (tedious, but good for you) $[1/r_{ij}$ is a $2 e^-$ operator that involves spatial coordinates only, scalar with respect to **J**, **L**, and **S**].

* multipole expansion of charge distribution due to "other electrons" * matrix element selection rules for e^2/r_{ij} in both Slater determinantal and many- e^- basis sets * Gaunt Coefficients (c^k) (tabulated) and Slater-Condon (F^k , G^k) Coulomb and Exchange parameters. Because of the sum rule, can evaluate most $\langle ab | \frac{1}{r_{ij}} | ab \rangle$ and $\langle ab | \frac{1}{r_{ij}} | ba \rangle$ type matrix elements and never need to evaluate $\langle ab | \frac{1}{r_{ij}} | cd \rangle$ -type matrix elements except when the configuration includes two same-L,S terms.

- 3. Apply Sum Rule Method
- 4. Hund's 1st and 2nd Rules

1. Slater's Sum Rule Method

It is almost always possible to evaluate e^2/r_{ij} matrix elements without solving for all $|LMLSMS\rangle$ basis states

* trace of any Hermitian matrix, expressed in ANY representation, is the sum of the eigenvalues of that matrix (thus invariant to unitary transformation)

* $\sum_{i>j} e^2/r_{ij}$ and every scalar operator with respect to \hat{f} (or \hat{L}, \hat{S}) has non-zero matrix elements diagonal in J and M_J (or L and M_L) and independent of M_J (or M_L,M_S)

[W-E Theorem: J is the GENERIC ANGULAR MOMENTUM with respect to which e^2/r_{ij} is classified]

Recall from definition of r12, that e^{2}/r_{ij} is a scalar operator with respect to \hat{J} , \hat{L} , \hat{S} but not with respect to \mathbf{j}_i or $\boldsymbol{\ell}_i$.

Interelectronic Repulsion: $\sum_{i>i} e^2 / r_{ij}$

to

* destroys the single-electron orbital approximation $|n\ell\lambda\rangle$ for electronic structure calculations

* "correlation energy," "shielding"□



$$\vec{r}_{12} = \vec{r}_2 - \vec{r}_1$$
Scalar with respect
to **J**, **L**, **S**, **s**_i but
not **j**_i, ℓ_i . Do you
know why?
$$r_{12}^2 = r_1^2 - 2r_1 \cdot r_2 + r_2^2$$

$$r_{12} = \left[r_1^2 + r_2^2 - 2\left|r_1\right| \left|r_2\right| \cos\left(\vec{r}_1, \vec{r}_2\right)\right]^{1/2}$$

updated August 28, 2020 @ 11:19 AM

expand r_{12}^{-1} as power series in $\left(\frac{r_{<}}{r_{>}}\right)$ where $r_{<}$ is the smaller of $|r_{1}|, |r_{2}|$



An n-pole charge distribution is an n-th rank tensor with 2n+1 components.

No dependence on electron spin, so $1/r_{ij}$ is scalar with respect to S, s_i , s_j .

$$Y_{n}^{m}(\boldsymbol{\theta}_{i},\boldsymbol{\phi}_{i}) = \left\langle \boldsymbol{\theta}_{i},\boldsymbol{\phi}_{i} \middle| \ell_{i} = n, m_{\ell_{i}} = m \right\rangle$$

indices of Y_{n}^{m}

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The reason for this rather complicated looking expansion is that it is well suited for integrals over atomic orbitals which are expressed in terms of r_i , θ_i , ϕ_i , which are the coordinates of the i-th e⁻ with respect to the center of symmetry (nucleus) rather than the other e⁻. It enables use of atomic orbital basis states. Otherwise the $1/r_{ij}$ integrals would be nightmares.

$$Y_n^m(\boldsymbol{\theta},\boldsymbol{\phi}) = \left\langle \boldsymbol{\theta},\boldsymbol{\phi} \middle| n = \ell, m = m_{\ell} \right\rangle$$

Selection rules for matrix elements:

$$\langle \ell_{i}m_{i}|Y_{n}^{m}|\ell_{i}'m_{i}'\rangle \langle \ell_{j}m_{j}|Y_{n}^{m}|\ell_{j}'m_{j}'\rangle$$

$$\begin{array}{c} & \text{not principal q. n.} \\ \hline \text{not principal q. n.} \\ \hline \text{orbitals} \begin{cases} |\Delta \ell_{i}| \leq \underline{n}, \quad \Delta m_{\ell_{i}} = m, \quad \Delta m_{s_{i}} = 0 \\ |\Delta \ell_{j}| \leq \underline{n}, \quad \Delta m_{\ell_{j}} = -m, \quad \Delta m_{s_{j}} = 0 \\ \hline \text{triangle rule}, |\ell_{i} - \ell_{i}'| \leq n \leq \ell_{i} + \ell_{i}' \end{cases}$$

(non-zero for steps in n of an even number because of parity)

overall: $\Delta L = 0$, $\Delta S = 0$, $\Delta M_L = 0$, $\Delta M_S = 0$, and independent of M_L , M_S . Can use any M_L , M_S Slater determinant from the box diagram.

It is also clear how to evaluate the angular factors of the atomic orbital matrix elements using 3-j coefficients. Special tables of "Gaunt Coefficients" (also Condon and Shortley pages 178-179, Golding, page 41).

$$\left\langle \left\| \underbrace{ab}_{e_{1}}^{e_{2}} \| r_{12} \| cd \right\| \right\rangle = \left\langle ab \left| \frac{1}{r_{12}} \right| cd \right\rangle - \left\langle ab \left| \frac{1}{r_{12}} \right| dc \right\rangle$$

$$\left\langle ab \left| \frac{1}{r_{12}} \right| cd \right\rangle = \underbrace{\delta(m_{s_{a}}, m_{s_{c}}) \delta(m_{s_{b}}, m_{s_{d}}) \delta(m_{\ell_{a}} + m_{\ell_{b}}, m_{\ell_{c}} + m_{\ell_{d}}) \times \frac{1}{r_{12} \operatorname{scalar}} \operatorname{with respect}_{\operatorname{to} \ \hat{L}_{12} = \hat{\ell}_{1} + \hat{\ell}_{2} \left(\operatorname{can't change} M_{L}\right)} \right\rangle$$
tensor rank for
$$\underbrace{c^{k}(\ell_{a}m_{\ell_{a}}, \ell_{c}m_{\ell_{c}}) c^{k}(\ell_{b}m_{\ell_{b}}, \ell_{d}m_{\ell_{d}})}_{\operatorname{GAUNT COEFFICIENTS}} \times \underbrace{c^{k}(m_{a}\ell_{a}n_{b}\ell_{b}n_{c}\ell_{c}n_{d}\ell_{d})}_{\operatorname{radial factor}}$$

product of AOs occupied by e⁻#1 must be same

as for e⁻ #2 for scalar product of two n-th rank tensors

ANGULAR FACTOR OF INTEGRAL

radial factor A "reduced matrix element" because all m quantum numbers are gone.

$$c^{k}\left(\ell m_{\ell},\ell' m_{\ell'}\right) \equiv \left[\frac{2\ell'+1}{2\ell+1}\right]^{1/2}$$

tabulated

 $A^{k\ell\ell'}_{000}A^{k\ell'\ell}_{m_\ell-m'_\ell,m'_\ell-m_\ell}$

Clebsch-Gordan coefficients that result from integral over the product of three spherical harmonics — one from operator, two from orbitals

(from properties of $A_{000}^{k\ell\ell'}$) (including parity)

triangle rule: $|\ell - \ell'| \le k \le \ell + \ell'$

$$\ell + \ell' + k = even$$

restrictions on k and m:

$$\begin{array}{c} e_{1}^{-} \text{ integral} & m_{\ell_{1}} + m = m_{\ell_{1}}' \\ \left\langle n_{1}\ell_{1}m_{\ell_{1}} \middle| Y_{k}^{m} \middle| n_{1}'\ell_{1}'m_{\ell_{1}}' \right\rangle \\ & \downarrow \\ \text{triangle rule} \end{array}$$

For <u>intra</u>-configuration matrix elements, $R^k(abcd)$ has an especially simple form (because the same one or two orbitals appear in both the bra and in the ket).

$$R^{k}(ab, ab) \equiv F^{k}(a,b)$$

$$R^{k}(ab, ba) \equiv G^{k}(a,b)$$

$$(\text{these are reduced matrix elements dependent only on } \ell_{a}, \ell_{b}, \ell_{c}, \ell_{d} \text{ and not on any of the } m_{t} \text{ quantum numbers.} \text{ All } LS \text{ states that belong to the same configuration are expressed in terms of the same set of } F^{k}, G^{k} \text{ parameters.}$$

$$\left\langle \left| \left| ab \right| \left| \frac{e^{2}}{r_{12}} \right| \left| \left| ab \right| \right| \right\rangle = J(a,b) - \delta(m_{s_{a}}, m_{s_{b}}) K(a,b)$$

$$\text{DIRECT EXCHANGE} \quad \text{(This is how singlet and triplet states have different E even though 1/r_{ij} does not operate on the spin factor.)}$$

$$J(a,b) \equiv \left\langle ab \left| \frac{e^{2}}{r_{12}} \right| ab \right\rangle = \sum_{k=0}^{\infty} c^{k} \left(\ell_{a} m_{\ell_{a}}, \ell_{a} m_{\ell_{a}} \right) c^{k} \left(\ell_{b} m_{\ell_{b}}, \ell_{b} m_{\ell_{b}} \right) \times F^{k} \left(n_{a} \ell_{a}, n_{b} \ell_{b} \right)$$

$$a^{k} \left(\ell_{a} m_{\ell_{a}}, \ell_{b} m_{\ell_{b}} \right) = \int_{k=0}^{k} c^{k} \left(\ell_{a} m_{\ell_{a}}, \ell_{b} m_{\ell_{b}} \right) \left[\iint_{k} a^{*}(1)a(1)\hat{\mathbf{Op}}a(2)b^{*}(2)d\tau_{1}d\tau_{2} \right]$$

$$K(a,b) \equiv \left\langle ab \right| \frac{e^{2}}{r_{12}} \right| ba \right\rangle = \delta\left(m_{s_{a}}, m_{s_{b}} \right) \sum_{k=0}^{\infty} \left[c^{k} \left(\ell_{a} m_{\ell_{a}}, \ell_{b} m_{\ell_{b}} \right) \right]^{2} G^{k} \left(n_{a} \ell_{a}, n_{b} \ell_{b} \right)$$

$$\left[\iint_{k} a^{*}(1)b(1)\hat{\mathbf{Op}}a(2)b^{*}(2)d\tau_{1}d\tau_{2} \right]$$

$$(\text{Intermediation of the states have different E even though 1/r_{i} does not operate on the spin factor.)$$

for special cases, such as $nd^2,$ we have the simplified result that $n_a\ell_a$ = $n_b\ell_b$ and F^k = G^k

Now we are ready to set up tables of c^k (or, more conveniently, a^k and b^k) to evaluate the e^2/r_{ij} matrix.

Easy example: nf²

factor

$$\begin{vmatrix} {}^{1}I \ 60 \end{pmatrix} = \begin{vmatrix} 3\alpha 3\beta \end{vmatrix}$$
$$\begin{vmatrix} {}^{3}H \ 51 \end{pmatrix} = \begin{vmatrix} 3\alpha 2\alpha \end{vmatrix}$$

 ${}^{1}I$ and ${}^{3}H$ are the only *L-S* states from the f^{2} configuration that are represented by a single Slater determinant — extremes of the M_{L}, M_{S} box diagram.

34 - 7

[You really do not want to calculate off-diagonal matrix elements of a two-electron operator if you can help it!]

Since e^2/r_{ij} is a scalar operator with respect to $\hat{\mathbf{L}}$, $\hat{\mathbf{S}}$, $\hat{\mathbf{J}}$, matrix elements are M_L , M_S , and M_J independent — so we can use any M_L , M_S component to evaluate the matrix element — whichever is most convenient!

$$\begin{pmatrix} 1 I \left| \frac{e^2}{r_{12}} \right| I \end{pmatrix} = \sum_{k=0,2,4,6} c^k (33,33) c^k (33,33) F^k (nf,nf) - \delta(\alpha,\beta) \sum_{k} \left[c^k (33,33) \right]^2 G^k (nf,nf) \\ = \sum_{k=0,2,4,6} \left[c^k (33,33) \right]^2 F^k (nf,nf) \\ \left[c^k (33,33) \right]^2 F^k (nf,nf) \\ \left[c^k (33,33) \right]^2 F^k (nf,nf) \\ \left[c^k (33,33) c^k (32,32) \right] F^k (nf,nf) - \left[c^k (33,32) \right]^2 G^k (nf,nf) \right] \\ \left[c^k (33,33) c^k (32,32) \right] F^k (nf,nf) - \left[c^k (33,32) \right]^2 G^k (nf,nf) \right] \\ \left[c^k (nf^2) \right]$$

Use table of c^k in Golding (page 41)/C&S handout (C&S page 179).

Note that $[1/(7361 \cdot 64)]^{1/2}$ is implicit after the first entry for f^2 , k = 6. Here is where everyone makes mistakes!

| | $\mathbf{k} = 0$ | 2 | 4 | 6 |
|----------------|------------------|------|----------------|------------------------------|
| $c^{k}(33,33)$ | 1 | -1/3 | 1/11 | -[1/7361•64] ^{1/2} |
| $c^{k}(32,32)$ | 1 | 0 | -7/33 | +[36/7361•64] ^{1/2} |
| $c^{k}(33,32)$ | 0 | +1/3 | $-30^{1/2}/33$ | $+[7/7361 \cdot 64]^{1/2}$ |
| D_k | 1 | 225 | $1089 = 33^2$ | 7361•64 |
| convenient | | | | |

C&S Table: the number listed goes inside the SQRT replacing the numerator in the first row.

34 - 8

 D_k is a factor that simplifies the expressions. Each term has the form F^k/D_k . Call this ratio F_k [notice F^k vs. F_k]. Get simpler looking expressions when you replace F^k by $D_k F_k$ (D_k appears in denominators of c^k as [.../ D_k]^{1/2})

$$\left\langle {}^{1}I \left| \frac{e^{2}}{r_{12}} \right| {}^{1}I \right\rangle = F^{0} + \left(\frac{1}{9} \right) F^{2} + \left(\frac{1}{121} \right) F^{4} + \left(\frac{1}{7361 \cdot 64} \right) F^{6}$$
 Always have the product of two factors of c^{k} . Thus F^{k} gets divided by D_{k} to yield F_{k} .

$$= F_{0} + 25F_{2} + 9F_{4} + F_{6}$$

$$\left\langle {}^{3}H \left| \frac{e^{2}}{r_{12}} \right| {}^{3}H \right\rangle = F^{0} + \left[\left(-\frac{1}{3} \right) (0) - \left(\frac{1}{3} \right)^{2} \right] F^{2} + \left[\left(\frac{1}{11} \right) \left(\frac{-7}{33} \right) - \frac{30}{33 \cdot 33} \right] F^{4} + \left[\frac{-6 - 7}{7361 \cdot 64} \right] F^{6}$$

$$= F^{0} - \frac{1}{9} F^{2} - \frac{51}{(33)^{2}} F^{4} \frac{-13}{7361 \cdot 64} F^{6}$$

$$= F_{0} - 25F_{2} - 51F_{4} - 13F_{6}$$

A lot of bookkeeping, but it's possible to learn how to use tables of c^k , a^k , b^k , and D_k , except it is much more work for f^3 than for f^2 (but the job is not yet complete for the *L*-*S* terms beyond ¹*I* and ³*H*!

SUM RULE METHOD:

Basic idea is that the sum of all the diagonal elements in the single Slater determinant basis set within an M_L , M_S box is equal to the sum of the eigenvalues!

Look at the $M_L = 3, M_S = 1$ box: $||3\alpha 0\alpha||$ and $||2\alpha 1\alpha||$. This box generates $||^3H 31\rangle$ and $||^3F 31\rangle$, but the trace is $E(^3H) + E(^3F)$ and we already know $E(^3H)!$

So
$$E({}^{1}I) = \langle ||3\alpha 3\beta|| \rangle$$
$$E({}^{3}H) = \langle ||3\alpha 2\alpha|| \rangle$$
$$E({}^{3}F) = \langle ||3\alpha 0\alpha|| \rangle + \langle ||2\alpha 1\alpha|| \rangle - E({}^{3}H)$$
$$E({}^{1}G) = \langle ||3\alpha 1\beta|| \rangle + \langle ||3\beta 1\alpha|| \rangle + \langle ||2\alpha 2\beta|| \rangle - E({}^{1}I) - E({}^{3}H)$$
$$E({}^{1}D) = \langle ||3\alpha - 1\beta|| \rangle + \langle ||3\beta - 1\alpha|| \rangle + \langle ||2\alpha 0\beta|| \rangle + \langle ||2\beta 0\alpha|| \rangle$$
$$+ \langle ||1\alpha 1\beta|| \rangle - E({}^{1}I) - E({}^{1}G) - E({}^{3}H) - E({}^{3}F)$$
$$E({}^{3}P) = \langle ||3\alpha - 2\alpha|| \rangle + \langle ||2\alpha - 1\alpha|| \rangle + \langle ||1\alpha 0\alpha|| \rangle - E({}^{3}H) - E({}^{3}F)$$
$$E({}^{1}S) = \text{sum of seven } \langle || || \rangle - \text{sum of six } E({}^{2S+1}L)$$

This seems exceptionally laborious, but it is much easier than:

- * generating each $|LM_L = L SM_S = S\rangle$ eigen-state as an explicit linear combination of Slater determinants
- * then calculating matrix elements of e^2/r_{ij} , because there are many nonzero offdiagonal matrix elements between Slater determinants in the same M_L, M_S box.

Here is the final result for the energies of all of the $(nf)^{2 2S+1}L$ terms:

$$E = E^{(0)} + E^{(1)} + E^{(2)}$$

$$E^{(0)} = \text{ sum of orbital energies from } \mathbf{h}^{(0)} = -\frac{Z^2 R}{n^2} = \varepsilon_{n\ell}$$

$$E^{(1)} = \underbrace{\left\langle e^2 / r_{ij} \right\rangle}_{\text{ready now}} + \underbrace{\left\langle \mathbf{H}^{\text{SO}} \right\rangle}_{\text{next}}$$

Bare nucleus hydrogenic orbital energy — or partly shielded by filled shells.

 $E^{(2)} = (in traconfigurational spin-orbit) + (in terconfigurational <math>e^2/r_{ij})$

Configuration Interaction

For
$$nf^2$$
 shielded by
all filled
subshells
¹I $2\varepsilon_{nf} + F_0(nf^2) + 25F_2(nf^2) + 9F_4(nf^2) + F_6(nf^2)$
³H $2\varepsilon_{nf} + F_0 - 25F_2 - 51F_4 - 13F_6 \leftarrow lowest$
¹G $2\varepsilon_{nf} + F_0 - 30F_2 + 97F_4 + 78F_6$
³F $2\varepsilon_{nf} + F_0 - 10F_2 - 33F_4 - 286F_6 \leftarrow might$
have been
¹D $2\varepsilon_{nf} + F_0 + 19F_2 - 99F_4 + 715F_6$
³P $2\varepsilon_{nf} + F_0 + 45F_2 + 33F_4 - 1287F_6 \leftarrow also might$
have been
lowest
¹S $2\varepsilon_{nf} + F_0 + 60F_2 + 198F_4 + 1716F_6$
³hielded-core
configurational
energy

(there is <u>NO</u> center of Gravity Rule for degeneracy weighted *L*-*S* terms)

- Now it is easy to show that all F_k 's are > 0 and $F_k \gg \mathbb{Z}_{k+2}$ etc. (by roughly a factor of 10 per step in k).
- From this we get an **empirical rule** (<u>empirical</u> because we expect that contributions to E(L,S) from F_4 and F_6 can be ignored).

Lowest E of all L-S terms is the one with

- * MAXIMUM S
- * of those with Maximum *S*, lowest is the one with MAXIMUM *L*.

These are <u>Hund's</u> **first** and **second** (of three) <u>rules</u>.

Note also that Hund's rules make no predictions about the energy order of L-S terms <u>except</u> for the identity of the single, lowest energy L-S term.

Non-Lecture

There are several interesting problems also solved by this e^2/r_{ij} formalism.

1. The energy splittings between and the Slater determinantal characters of two or more L,S terms of the same L and S that belong to the same electronic configuration

e.g. $d^3 \rightarrow \text{two } {}^2D \text{ terms}$ see pages 47-50 of Golding for 2 × 2 secular determinant for ${}^2D \text{ of } d^3$

2. matrix elements of e^2/r_{ij} between same-L,S terms that belong to two different configurations choose any pair of

e.g. nd²
$${}^{1}S, {}^{3}P, {}^{1}D, {}^{3}F, {}^{1}G$$

ndn'd $\begin{cases} {}^{1}S, {}^{3}P, {}^{1}D, {}^{3}F, {}^{1}G \\ {}^{3}S, {}^{1}P, {}^{3}D, {}^{1}F, {}^{3}G \end{cases}$ no Pauli restrictions

choose any pair of orthogonal combinations of Slaters. What you choose determines the values of the off-diagonal matrix elements but not the eigenenergies

So, for L-S terms that belong to the nd2 configurations, there will be

$${}^{1}S \sim {}^{1}S$$
$${}^{3}P \sim {}^{3}P$$
$${}^{1}D \sim {}^{1}D$$
$${}^{3}F \sim {}^{3}F$$
$${}^{1}G \sim {}^{1}G$$

interconfigurational interaction matrix elements and each of these 5 interaction matrix elements will NOT be of the same magnitude. There will be different Configuration Interaction energy shifts for the various L-S terms in a configuration.

Knowing the single configuration expected pattern of L-S states (energies and other properties) enables detection of local inter-configuration perturbations. Predicted patterns are EVERYTHING to an experimentalist!

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5.73 Quantum Mechanics I Fall 2018

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