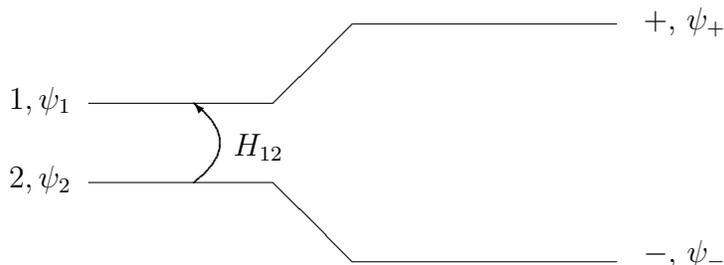


Lectures 13 & 14: From H_{ij} Integrals to H Matrices

I. The Two-Level Problem



$$H_{11} = \int \psi_1^* \hat{\mathbf{H}} \psi_1 d\tau$$

$$H_{22} = \int \psi_2^* \hat{\mathbf{H}} \psi_2 d\tau$$

$$H_{12} = \int \psi_1^* \hat{\mathbf{H}} \psi_2 d\tau = H_{21}^* = \int \psi_2 \hat{\mathbf{H}}^* \psi_1^* d\tau = V$$

$\hat{\mathbf{H}}$ is Hermitian. If $\hat{\mathbf{H}}$ is real, $H_{12} = H_{21}$

$$\psi_{\pm} = c_1^{\pm} \psi_1 + c_2^{\pm} \psi_2 \quad \text{“completeness”}$$

$$\hat{\mathbf{H}} \psi_{\pm} = E_{\pm} \psi_{\pm} \quad \text{an eigenvalue equation.}$$

Left multiply by ψ_1^* and integrate

$$\int \psi_1^* \hat{\mathbf{H}} \psi_{\pm} d\tau = \int \psi_1^* \hat{\mathbf{H}} (c_1^{\pm} \psi_1 + c_2^{\pm} \psi_2) d\tau = c_1^{\pm} H_{11} + c_2^{\pm} V = \int \psi_1^* E_{\pm} \psi_{\pm} d\tau = E_{\pm} (c_1^{\pm} + 0c_2^{\pm})$$

$$\boxed{c_1^{\pm} (H_{11} - E_{\pm}) + c_2^{\pm} V = 0.} \quad (1)$$

Similarly, left multiply by ψ_2^* and integrate

$$\int \psi_2^* \widehat{\mathbf{H}}(c_1^\pm \psi_1 + c_2^\pm \psi_2) d\tau = c_1^\pm V + c_2^\pm H_{22}$$

$$\int \psi_2^* E_\pm \psi_\pm d\tau = E_\pm c_2^\pm$$

$$\boxed{c_1^\pm V + c_2^\pm (H_{22} - E_\pm) = 0.} \quad (2)$$

Thus we have two equations for $\frac{c_1^\pm}{c_2^\pm}$. We must temporarily eliminate c_1^\pm and c_2^\pm in order to determine E_\pm .

Before we can solve for c_1^\pm and c_2^\pm , we must solve for E_\pm .

$$\frac{c_1^\pm}{c_2^\pm} = -\frac{V}{H_{11} - E_\pm}$$

$$\frac{c_1^\pm}{c_2^\pm} = -\frac{H_{22} - E_\pm}{V}$$

Thus

$$\frac{V}{H_{11} - E_\pm} = \frac{H_{22} - E_\pm}{V}$$

$$V^2 = (H_{11} - E_\pm)(H_{22} - E_\pm)$$

$$0 = E_\pm^2 - E_\pm(H_{11} + H_{22}) + H_{11}H_{22} - V^2.$$

This is a *quadratic equation* in E_\pm .

$$E_\pm = \frac{(H_{11} + H_{22}) \pm [(H_{11} + H_{22})^2 - 4(H_{11}H_{22} - V^2)]^{1/2}}{2} \quad (3)$$

Some simplifying notation:

$$\bar{E} \equiv \frac{H_{11} + H_{22}}{2}$$

$$\Delta \equiv \frac{H_{11} - H_{22}}{2}.$$

Insert \bar{E} and Δ into Eq. (3):

$$(H_{11} + H_{22})^2 - 4H_{11}H_{22} = (H_{11} - H_{22})^2$$

$$E_\pm = \bar{E} \pm [\Delta^2 + V^2]^{1/2}$$

$$x \equiv \Delta^2 + V^2$$

$$E_\pm = \bar{E} \pm x^{1/2}.$$

Next we must solve for c_1^\pm and c_2^\pm . The algebra is very complicated and there are many phase-related pitfalls. We will need to use all possible tricks to ensure self-consistency, as I will attempt to illustrate here.

The derivation takes advantage of normalization

$$1 = (c_1^\pm)^2 + (c_2^\pm)^2.$$

After a lot of algebra:

$$c_1^\pm = \left[\frac{1}{2} \left(1 \pm \frac{\Delta}{x^{1/2}} \right) \right]^{1/2}$$

$$c_2^\pm = \pm \left[\frac{1}{2} \left(1 \mp \frac{\Delta}{x^{1/2}} \right) \right]^{1/2}.$$

Note that, in the limits $V \rightarrow 0$ and $V \rightarrow \infty$

$$V \rightarrow 0 \quad c_1^\pm = \left[\frac{1}{2}(1 \pm 1) \right]^{1/2}, \quad c_2^\pm = \pm \left[\frac{1}{2}(1 \mp 1) \right]^{1/2}$$

$$V \rightarrow \infty \quad c_1^\pm = \left[\frac{1}{2}(1) \right]^{1/2} = 2^{-1/2}, \quad c_2^\pm = \mp 2^{-1/2}.$$

Both of these limits are consistent with expectations.

It is always a good idea to verify the algebra by showing

$$\int \psi_\pm^* \psi_\pm d\tau = 1 \quad \text{normalization}$$

$$\int \psi_\mp^* \psi_\pm d\tau = 0 \quad \text{orthogonality}$$

$$\int \psi_\pm^* \hat{\mathbf{H}} \psi_\pm d\tau = E_\pm \quad \text{correct eigen-energy}$$

$$\int \psi_\mp^* \hat{\mathbf{H}} \psi_\pm d\tau = 0 \quad \text{eigenstates of } \hat{\mathbf{H}} \text{ are orthogonal.}$$

The most difficult of these tests is to show that $\psi_\pm \rightarrow E_\pm$.

Non-Lecture

$$\psi_{\pm} = c_1^{\pm} \psi_1 + c_2^{\pm} \psi_2$$

$$\begin{aligned} \int \psi_{\pm}^* \widehat{\mathbf{H}} \psi_{\pm} d\tau &= (c_1^{\pm})^2 H_{11} + (c_2^{\pm})^2 H_{22} \mp 2(c_1^{\pm})(c_2^{\pm})V \\ &= \left[\frac{1}{2} \left(1 \pm \frac{\Delta}{x^{1/2}} \right) \right] H_{11} + \left[\frac{1}{2} \left(1 \mp \frac{\Delta}{x^{1/2}} \right) \right] H_{22} \pm \left[\left(1 - \frac{\Delta^2}{x} \right)^{1/2} \right] V \\ &= \frac{1}{2} (H_{11} + H_{22}) \pm \frac{\Delta}{2x^{1/2}} (H_{11} - H_{22}) \pm \left[\left(1 - \frac{\Delta^2}{x} \right)^{1/2} \right] V \\ &= \bar{E} \pm \frac{\Delta}{2x^{1/2}} (2\Delta) \pm \left[\left(\frac{x - \Delta^2}{x} \right)^{1/2} \right] V \\ &= \bar{E} \pm \frac{\Delta^2}{x^{1/2}} \pm \left[\frac{V}{x^{1/2}} \right] V \\ &= \bar{E} \pm \frac{\Delta^2}{x^{1/2}} \pm \frac{V^2}{x^{1/2}} \\ &= \bar{E} \pm \frac{x - V^2}{x^{1/2}} \pm \frac{V^2}{x^{1/2}} = \bar{E} \pm x^{1/2} \end{aligned}$$

which is the expected and required result.

II. Matrix Version of the Two-Level Problem

$$\hat{\mathbf{H}}\psi = E\psi$$

is an eigenvalue problem. For every eigen-energy E_i there is an eigenfunction ψ_i . We have just seen that the exact solution to the simplest possible problem, the two-level problem, is algebraically challenging. We can approach this problem in a matrix formalism, which turns out to be the most powerful and insight-generating approach to the vast majority of quantum mechanical problems. The matrix Hamiltonian is

$$\mathbf{H} = \begin{pmatrix} \bar{E} & 0 \\ 0 & \bar{E} \end{pmatrix} + \begin{pmatrix} \Delta & V \\ V & -\Delta \end{pmatrix} = \bar{E}\mathbf{1} + \mathbf{H}'.$$

\mathbf{H}' is a real and symmetric matrix. We have subtracted the average energy from the original \mathbf{H} . We can always do this. The essential structure of the problem is in \mathbf{H}' . We will call this \mathbf{H} from now on. The “elements” of this matrix are

$$\begin{aligned} H_{11} &= \Delta \\ H_{22} &= -\Delta \\ H_{12} &= H_{21} = V. \end{aligned}$$

[Does everyone know the rules for matrix multiplication?]

$$(AB)_{mn} = \sum_{j=1}^N A_{mj}B_{jn}$$

This \mathbf{H} matrix operates on vectors \mathbf{c}^i where $i = 1$ and 2 for the two-level problem

$$\mathbf{c}^1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\mathbf{c}^2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$(\mathbf{c}^1)^T \mathbf{c}^1 = (1 \ 0) \begin{pmatrix} 1 \\ 0 \end{pmatrix} = 1 \quad \text{where } T \text{ means “transpose”. Normalized.}$$

$$(\mathbf{c}^2)^T \mathbf{c}^1 = (0 \ 1) \begin{pmatrix} 1 \\ 0 \end{pmatrix} = 0. \text{ Orthogonal}$$

$$\mathbf{H}\mathbf{c}^1 = \begin{pmatrix} \Delta & V \\ V & -\Delta \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} \Delta \\ V \end{pmatrix} = \Delta \begin{pmatrix} 1 \\ 0 \end{pmatrix} + V \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$

This is an expression of completeness, analogous to

$$\hat{\mathbf{H}}\psi_1 = a_1\psi_1 + a_2\psi_2.$$

It means that the effect of $\widehat{\mathbf{H}}$ on one function may always be expressed as a linear combination of all members of the complete set of functions. In this case, we have

$$a_1 = \Delta \quad , \quad a_2 = V.$$

This is exactly what we saw previously in the wavefunction picture where, left multiplying by ψ_1^* and integrating

$$\int \psi_1^* \widehat{\mathbf{H}} \psi_1 d\tau = \int a_1 \psi_1^* \psi_1 d\tau + \int a_2 \psi_1^* \psi_2 d\tau$$

$$H_{11} = a_1 1 + a_2 0 \quad a_1 = H_{11} = \Delta.$$

Also, multiplying by ψ_2^* and integrating

$$\int \psi_2^* \widehat{\mathbf{H}} \psi_1 d\tau = a_1 0 + a_2 1 \quad , \quad a_2 = H_{21} = V.$$

The key point here is that we never actually look at the $\{\psi_i\}$. We start with all of the “matrix elements” of $\widehat{\mathbf{H}}$ evaluated in the complete $\{\psi_i\}$ basis set.

Next we solve for the energy eigenvalues and eigenvectors using the matrix formalism.

We want to find the eigenvalues of the \mathbf{H} matrix. There must exist a unitary transformation of \mathbf{H} that “diagonalizes” it. We are going to use a special class of 2×2 matrices that have the property

$$\mathbf{T}^\dagger = \mathbf{T}^{-1} \quad \text{or} \quad \mathbf{T}^{-1} \mathbf{T} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \mathbb{1}$$

$$\mathbf{T} = \begin{pmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{pmatrix}$$

$$\mathbf{T}^\dagger = \begin{pmatrix} T_{11}^* & T_{21}^* \\ T_{12}^* & T_{22}^* \end{pmatrix}.$$

\mathbf{T}^\dagger means “conjugate transpose”. For a real symmetric matrix, \mathbf{H} , we can forget about the complex conjugate and use \mathbf{T}^T (transpose) rather than \mathbf{T}^\dagger .

Now, for the matrix version of the Schrödinger Equation:

$$\mathbf{H}\mathbf{c} = E\mathbf{c}.$$

Insert

$$\mathbb{1} = \mathbf{T}\mathbf{T}^T$$

$$\mathbf{H}\mathbf{T}\mathbf{T}^T\mathbf{c} = E\mathbf{c}$$

and left-multiply by \mathbf{T}^T

$$\begin{aligned}(\mathbf{T}^T \mathbf{H} \mathbf{T})(\mathbf{T}^T \mathbf{c}) &= E(\mathbf{T}^T \mathbf{c}) \\ \mathbf{T}^T \mathbf{H} \mathbf{T} &= \tilde{\mathbf{H}} \quad (\text{the transformed } \mathbf{H}) \\ \mathbf{T}^T \mathbf{c} &= \tilde{\mathbf{c}} \quad (\text{the transformed } \mathbf{c}).\end{aligned}$$

We say that \mathbf{T} “diagonalizes” \mathbf{H}

$$\begin{aligned}\tilde{\mathbf{H}} &= \begin{pmatrix} E_1 & 0 \\ 0 & E_2 \end{pmatrix} \\ \tilde{\mathbf{c}}^1 &= \begin{pmatrix} 1 \\ 0 \end{pmatrix} \text{ an eigenvector of } \tilde{\mathbf{H}} \\ \tilde{\mathbf{H}}\tilde{\mathbf{c}} &= \begin{pmatrix} E_1 & 0 \\ 0 & E_2 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} E_1 \\ 0 \end{pmatrix} = E_1 \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \mathbf{T}^T \mathbf{c} &= \tilde{\mathbf{c}} \quad \text{1}^{\text{st}} \text{ column of } \mathbf{T}^T \\ \mathbf{T}^T \mathbf{c}^1 &= \begin{pmatrix} T_{11}^T & T_{12}^T \\ T_{21}^T & T_{22}^T \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} T_{11}^T \\ T_{21}^T \end{pmatrix}, \text{ notation: } T_{\text{row}, \text{column}}\end{aligned}$$

thus

$$\tilde{\mathbf{H}}\tilde{\mathbf{c}}^1 = E_1 \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

and

$$\tilde{\mathbf{H}}\tilde{\mathbf{c}}^2 = E_2 \begin{pmatrix} 0 \\ 1 \end{pmatrix},$$

which is consistent with

$$\tilde{\mathbf{H}}\tilde{\mathbf{c}} = E\tilde{\mathbf{c}},$$

and the eigenvector $\tilde{\mathbf{c}}^i$ is i^{th} column of \mathbf{T}^T , where $\tilde{\mathbf{H}} = \mathbf{T}^T \mathbf{H} \mathbf{T}$. Remember this!

III. Now we look at the general form of a unitary transformation for a 2–level problem. We think of \mathbf{T} as a “rotation in ‘state space’ ”

$$\mathbf{T}^T = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}, \quad \text{abbreviated as } \begin{pmatrix} c & s \\ -s & c \end{pmatrix}.$$

Determine the value of θ that results in

$$\mathbf{T}^T \mathbf{H} \mathbf{T} = \tilde{\mathbf{H}} = \begin{pmatrix} E_+ & 0 \\ 0 & E_- \end{pmatrix}$$

$$\begin{pmatrix} c & s \\ -s & c \end{pmatrix} \begin{pmatrix} \Delta & V \\ V & -\Delta \end{pmatrix} \begin{pmatrix} c & -s \\ s & c \end{pmatrix} = \begin{pmatrix} (c^2 - s^2)\Delta + 2csV & (c^2 - s^2)V - 2cs\Delta \\ (c^2 - s^2)V - 2cs\Delta & -(c^2 - s^2)\Delta - 2csV \end{pmatrix}.$$

We want the off-diagonal elements of $\tilde{\mathbf{H}}$ to be zero

$$0 = (c^2 - s^2)V - 2cs\Delta$$

$$\frac{2cs}{c^2 - s^2} = \frac{V}{\Delta}$$

$$2 \cos \theta \sin \theta = \sin 2\theta$$

$$\cos^2 \theta - \sin^2 \theta = \cos 2\theta$$

$$\frac{\sin 2\theta}{\cos 2\theta} = \tan 2\theta = \frac{V}{\Delta}$$

$$\boxed{\theta = \frac{1}{2} \tan^{-1} \left(\frac{V}{\Delta} \right).}$$

Now use this result to determine energy–eigenvalues and eigenvectors:

$$E_{\pm} = \bar{E} \pm [\Delta \cos 2\theta + V \sin 2\theta]$$

$$= \bar{E} \pm \frac{1}{2} [\Delta^2 + V^2]^{1/2} \quad (\text{derived earlier})$$

$$\mathbf{T} = 2^{-1/2} \begin{pmatrix} \left(1 + \frac{\Delta}{[\Delta^2 + V^2]^{1/2}}\right)^{1/2} & - \left(1 - \frac{\Delta}{[\Delta^2 + V^2]^{1/2}}\right)^{1/2} \\ + \left(1 - \frac{\Delta}{[\Delta^2 + V^2]^{1/2}}\right)^{1/2} & \left(1 + \frac{\Delta}{[\Delta^2 + V^2]^{1/2}}\right)^{1/2} \end{pmatrix}$$

You need to verify this result. The best way to convince yourself is by a few numerical examples, e.g. $\Delta = 1, V = 0.1$, then $\Delta = 1, V = 1$, and finally $\Delta = 1, V = 10$.

IV. Beyond the Two–Level Problem: $N > 2$ levels

The eigen–energies for the two–level problem (2×2 \mathbf{H}) are obtained as the solutions of a quadratic equation. The quadratic formula gives the two exact energy levels.

There are N eigen–energies for the N –level problem ($N \times N$ \mathbf{H}). No analytic formula exists that can provide the N values of $\{E_i\}$ as explicit functions of the $\{H_{ij}\}$ matrix elements. However, exact values of each member of the set of N $\{E_i\}$ are obtained iteratively by a variety of matrix diagonalization computer programs. We never need to concern ourselves with the algebraic complexities of finding the N eigen–energies $\{E_i\}$ and eigenfunctions $\{\psi_i\}$ or eigenvectors $\{\mathbf{c}^i\}$ of an $N \times N$ \mathbf{H} matrix or the N coupled linear homogeneous equations that arise from $\hat{\mathbf{H}}$. All we need is a “complete” set of “basis functions” $\{\phi_i\}$ with which to evaluate all H_{ij} matrix elements.

These complete sets of basis functions $\{\phi_i\}$ are almost always the eigenfunctions of one of our exactly solved problems (particle in a box, harmonic oscillator, rigid rotor, Hydrogen atom) and we have already seen several examples of problems where all $\{H_{ij}\}$ are derived semi–automatically and are expressed in terms of fundamental structural parameters. So now we are *beginning* to understand the relationship between what we want to know, the **molecular constants**, and what we are *allowed to measure* via the $\{E_i\}$ and $\{\psi_i\}$.

There are some easily verified facts about $N \times N$ \mathbf{H} problems.

1. There are N eigen–energies (some might be degenerate).
2. There are N linearly independent eigen–functions or eigen–vectors, each explicitly related to one of the eigen–energies.
3. The eigen–functions and eigen–vectors can be put into orthogonal and normalized form: “ortho–normal”

$$\int \psi_i^* \psi_j d\tau = \delta_{ij} \quad (\text{Kronecker } \delta)$$

$$\mathbf{c}^{iT} \mathbf{c}^j = (\mathbf{c}_1^i \quad \mathbf{c}_2^i \quad \dots \quad \mathbf{c}_N^i) \begin{pmatrix} \mathbf{c}_1^j \\ \mathbf{c}_2^j \\ \vdots \\ \mathbf{c}_N^j \end{pmatrix} = \delta_{ij}.$$

All eigen–functions that belong to non–degenerate eigen–values are born orthogonal. Those that belong to degenerate eigen–values can be transformed into orthogonal form.

4. The value of the determinant of \mathbf{H} ,

$$\det(\mathbf{H}) = \begin{vmatrix} H_{11} & H_{12} & \dots & H_{1N} \\ \dots & \dots & \dots & \dots \\ H_{N1} & H_{N2} & \dots & H_{NN} \end{vmatrix}$$

is equal to the product of the N eigen-energies

$$\prod_{i=1}^N E_i = \det(\mathbf{H})$$

and the trace of \mathbf{H} , $\chi(\mathbf{H})$, is equal to the sum of the $\{E_i\}$

$$\chi(\mathbf{H}) = \sum_{i=1}^N E_i.$$

5. All of the results cited here for \mathbf{H} are valid for any Quantum Mechanical operator, \mathbf{A} , that corresponds to an observable quantity, A . All that is required is that the operator \mathbf{A} is Hermitian

$$(A_{ij})^\dagger \equiv A_{ji}^* = A_{ij}.$$

The computer program that “diagonalizes” the $N \times N$ \mathbf{H} matrix also generates the complete and exact transformation

$$\mathbf{T}^\dagger \mathbf{H} \mathbf{T} = \tilde{\mathbf{H}} = \begin{pmatrix} E_1 & & & 0 \\ & E_2 & & \\ & & \dots & \\ 0 & & & E_N \end{pmatrix}.$$

The eigen-vector that belongs to the eigen-value E_i is

$$\begin{pmatrix} T_{1i}^\dagger \\ T_{2i}^\dagger \\ \vdots \\ T_{Ni}^\dagger \end{pmatrix},$$

the i^{th} column of \mathbf{T}^\dagger . If all of the elements of \mathbf{H} are real, then \mathbf{T} and \mathbf{T}^\dagger are real, and $\mathbf{T}^{-1} = \mathbf{T}^T = \mathbf{T}^\dagger$. For most applications you want the columns of \mathbf{T}^T , but for some applications (especially time-dependent perturbation theory) you want to know the linear combination of the eigen-functions that are equal to one of the basis functions, which is the reverse transformation

$$\phi_j = \sum_{i=1}^N c_i^j \psi_i.$$

eigenfunctions ψ_k = $\sum_{i=1}^N T_{ik}^T \phi_i$ the k^{th} column of \mathbf{T}^T

the j^{th} column of \mathbf{T} $\sum_k T_{kj} \psi_k$ = $\sum_{k=1}^N \sum_{i=1}^N T_{kj} T_{ik}^T \phi_i$ basis function

$$\begin{aligned}
 \sum_k T_{kj} \psi_k &= \sum_{k=1}^N \sum_{i=1}^N T_{kj} T_{ik}^T \phi_i \\
 &= \sum_{k=1}^N \sum_{i=1}^N T_{ik}^\dagger T_{kj} \phi_i \quad (\mathbf{T}^T = \mathbf{T}^{-1}) \\
 &= \sum_{i=1}^N \mathbf{1}_{ij} \phi_i = \phi_j.
 \end{aligned}$$

Now for the **bummer**: most basis sets are of infinite dimension! Even the most powerful computer in the world cannot diagonalize an infinite dimension \mathbf{H} matrix. Perturbation Theory provides approximate (of *a priori* known accuracy) eigen-energies and eigen-vectors, even for an infinite dimension \mathbf{H} . *Perturbation Theory is a basis for both accurate numerics and for physical insight.*

V. Prelude to Perturbation Theory

We return to the two-level problem and derive some equations for

$$E_{\pm} \quad \text{and} \quad \psi_{\pm}$$

that foreshadow **Rayleigh–Schrödinger non-degenerate perturbation theory**:

Non-Lecture

Derive an equation for θ in terms of V and Δ :

$$\mathbf{H} = \bar{E} + \begin{pmatrix} \Delta & V \\ V & -\Delta \end{pmatrix} \quad \text{recall } \bar{E} = \frac{H_{11} + H_{22}}{2}, \Delta = \frac{H_{11} - H_{22}}{2}$$

$$\mathbf{T} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

$$\tilde{\mathbf{H}} = \bar{E}\mathbf{1} + \mathbf{T}^T \mathbf{H} \mathbf{T} = \bar{E}\mathbf{1} + \begin{pmatrix} E_+ & 0 \\ 0 & E_- \end{pmatrix}$$

What does $\mathbf{T}^T \bar{E} \mathbf{1} \mathbf{T}$ yield?

$$\text{where } \mathbf{T}^T \mathbf{H} \mathbf{T} = \begin{pmatrix} (c^2 - s^2)\Delta + 2csV & (c^2 - s^2)V - 2cs\Delta \\ (c^2 - s^2)V - 2cs\Delta & -(c^2 - s^2)\Delta - 2csV \end{pmatrix}.$$

The transformation $\mathbf{T}^T \mathbf{H} \mathbf{T}$ corresponds to a rotation of \mathbf{H} in state space and θ is called the “mixing angle”. We want to solve for θ and then derive everything we need from the value of θ that diagonalizes \mathbf{H} .

We determine θ by requiring the off-diagonal element of $\tilde{\mathbf{H}}$ to be zero.

$$\begin{aligned} \theta &= (c^2 - s^2)V - 2cs\Delta \\ \frac{2cs}{c^2 - s^2} &= V / \Delta \\ \frac{\sin 2\theta}{\cos 2\theta} &= \tan 2\theta = V / \Delta \end{aligned}$$

Now that we have $\theta(V, \Delta)$ we can simplify:

$$\begin{aligned}\theta &= \frac{1}{2} \tan^{-1}(V/\Delta), \quad \text{expand in a power series} \\ \tan^{-1}(V/\Delta) &= (V/\Delta) - \frac{1}{3}(V/\Delta)^3 + \frac{1}{5}(V/\Delta)^5 - \dots \\ \theta &= \frac{1}{2}(V/\Delta) - \frac{1}{2} \frac{1}{3}(V/\Delta)^3 + \dots\end{aligned}$$

$$E_{\pm} = \bar{E} \pm [(c^2 - s^2) \Delta + 2csV]$$

$$\begin{aligned}\cos^2 \theta - \sin^2 \theta &= \cos 2\theta = 1 - \frac{(2\theta)^2}{2!} + \dots \\ 2 \cos \theta \sin \theta &= \sin 2\theta = 2\theta - \frac{(2\theta)^3}{3!} + \dots\end{aligned}$$

After some algebra, and retaining only terms in E_{\pm} of order up to V^2/Δ ,

$$\begin{aligned}E_{\pm} &= \bar{E} \pm \left[\Delta - \Delta \frac{(2\frac{1}{2}V/\Delta)^2}{2!} + V \left(2\frac{1}{2} \frac{V}{\Delta} \right) \right] \\ &= \bar{E} \pm \left[\Delta - \frac{1}{2} \frac{V^2}{\Delta} + \frac{V^2}{\Delta} \right] = \bar{E} \pm \left[\Delta + \frac{V^2}{2\Delta} \right].\end{aligned}$$

You will be referring to this as “matrix element squared over energy denominator.”

For the eigen-states or eigen-vectors, retaining terms of order up to $(V/\Delta)^2$

$$\begin{aligned}\mathbf{T}^T &= \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \\ \cos \theta &\approx 1 - \frac{\theta^2}{2!} = 1 - \frac{1}{2}(V/2\Delta)^2 \\ \sin \theta &\approx \theta - \frac{\theta^3}{3!} = V/2\Delta \\ \psi_+ &= \left[1 - \frac{1}{2}(V/2\Delta)^2 \right] \phi_1 - V/2\Delta \phi_2 \\ \psi_- &= (V/2\Delta) \phi_1 + \left[1 - \frac{1}{2}(V/2\Delta)^2 \right] \phi_2.\end{aligned}$$

By casual inspection, $\int \psi_+ \psi_- d\tau = 0$. Normalization is also OK if we discard terms of order $(V/2\Delta)^4$. We will see that ordinary perturbation theory through second-order gives exactly these results.

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