

# Neural mechanisms underlying visual object recognition: The convergence of computer vision and biological vision

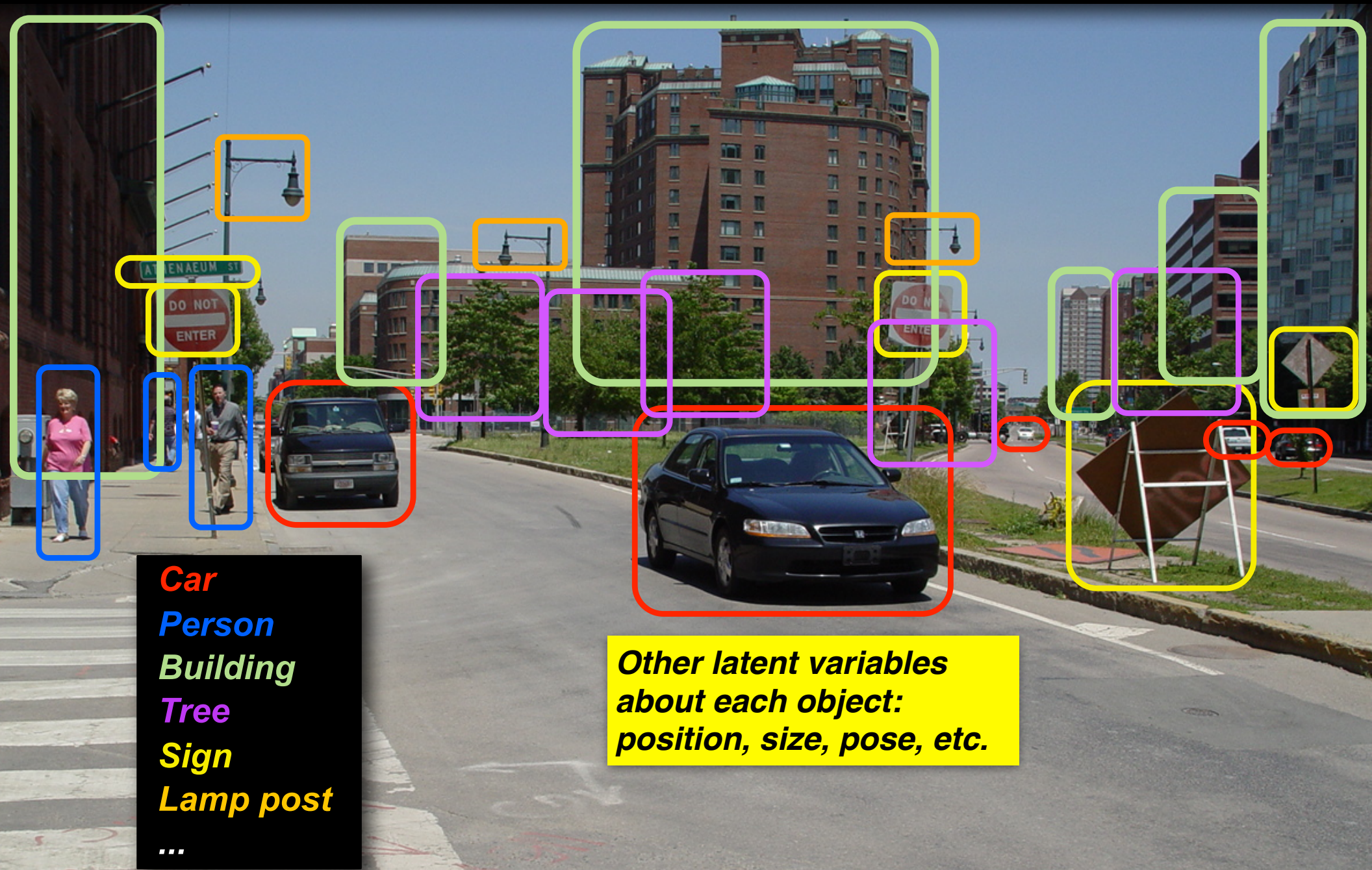
*Center for Brains, Minds, and Machines: Summer School 2015, Woods Hole, MA*

***James DiCarlo MD, PhD***

*Professor of Neuroscience and Head, Department of Brain and Cognitive Sciences  
Investigator, The McGovern Institute for Brain Research  
Massachusetts Institute of Technology, Cambridge MA, USA*



# “Object recognition” (operationalized)



- Car**
- Person**
- Building**
- Tree**
- Sign**
- Lamp post**
- ...

**Other latent variables  
about each object:  
position, size, pose, etc.**

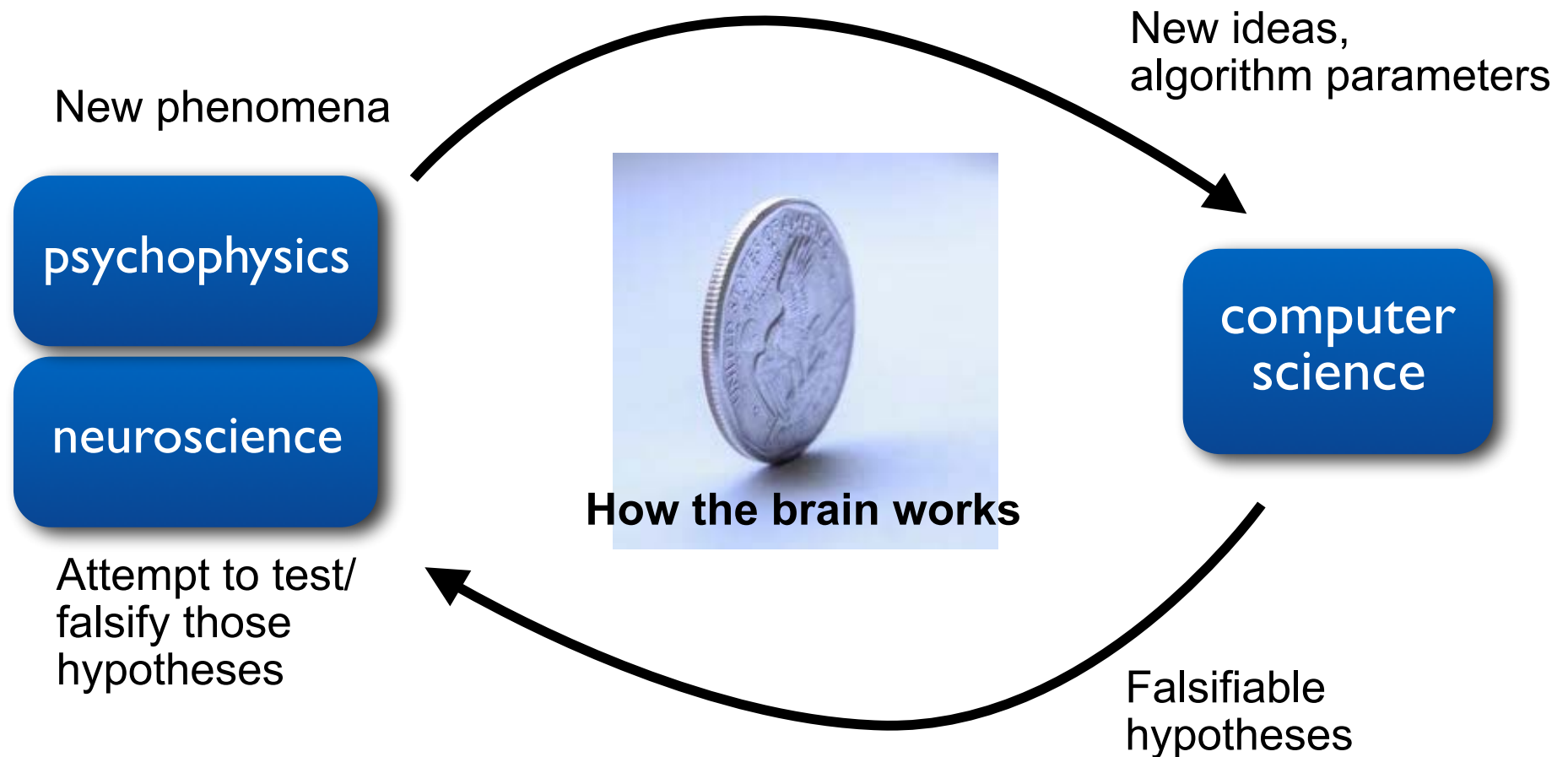
# Why study object recognition in the brain?

***The brain's internal representation of objects is the substrate of cognition:***

- *memory*
- *value judgements*
- *decisions*
- *actions*
- *Obstacle avoidance*
- *Navigation*
- *Danger avoidance*
- *Resource detection*
- *Social interactions*
- *Mate selection*
- *Threat detection*
- *Reading*
- *...*

# The convergence of three fields

## When biological brains perform better than computers



© FreeImages.com/Marcin Jochmczyk. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

## When computers perform as well as or better than biological brains

# A bit of history...

MASSACHUSETTS INSTITUTE OF TECHNOLOGY  
PROJECT MAC

Artificial Intelligence Group  
Vision Memo. No. 100.

July 7, 1966

THE SUMMER VISION PROJECT

The final goal is OBJECT IDENTIFICATION which will actually name objects by matching them with a vocabulary of known objects.

Goals - Specific

We plan to work by getting a simple form of the system going as soon as possible and then elaborating upon it. To keep the work reasonably coordinated there is a graduated scale of subgoals.

*Courtesy of Mike Tarr*



© IBM. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

- *100 billion computing elements*
- *solves problems not soluble by previous machines*
- *requires only 20 watts of power!*

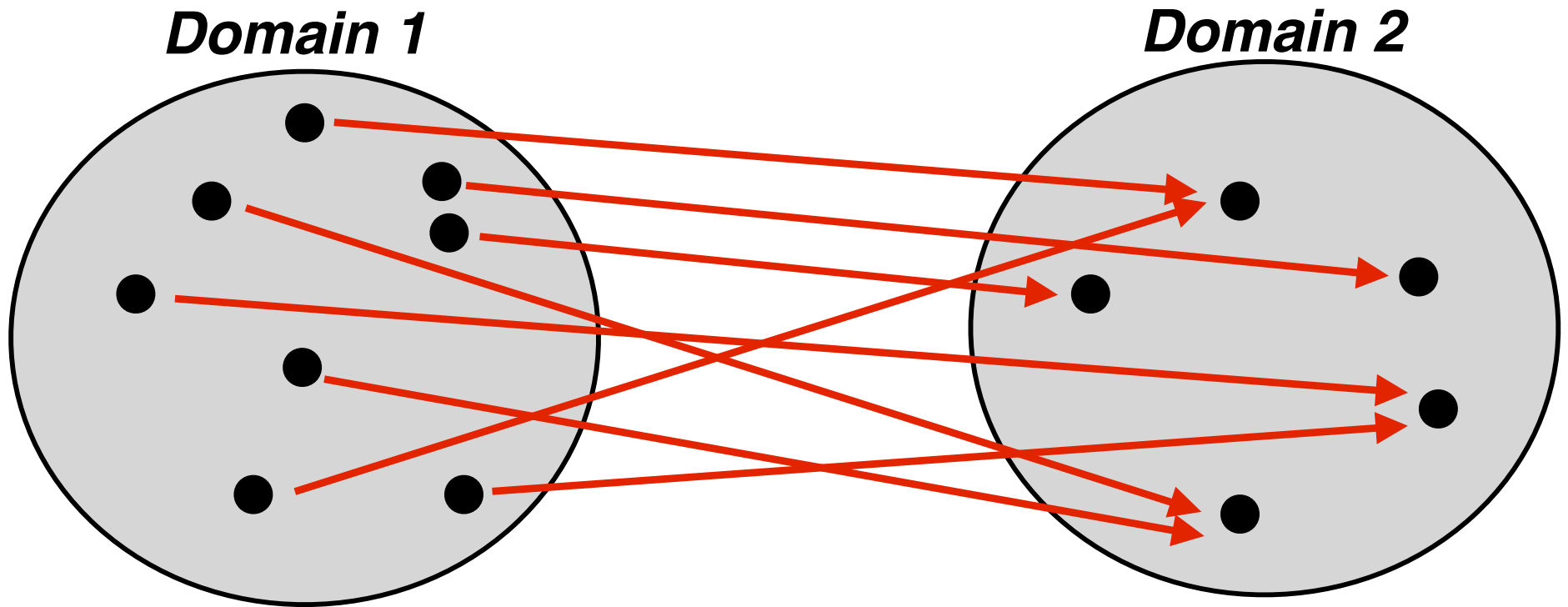
*Key algorithms are **classified***

# An engineer's point of view...

## *Which system is better?*

<u><i>Problem to solve</i></u>	<u><i>Our brain</i></u>	<u><i>Machines today</i></u> <i>(e.g. computers)</i>
Calculation		<b>WINNER</b>
Win at chess		<b>WINNER</b>
Win at Jeopardy		<b>WINNER</b>
“Memory”	<b><i>Gateway problem (vision, neocortex)</i></b>	
“Seeing”	<b><i>Our goal: Discover how the brain solves object recognition (algorithms)</i></b>	
Pattern matching		
Object recognition	<b>WINNER</b>	
Scene “understanding”	<b>WINNER</b>	
Walking	<b>WINNER</b>	

# A scientist's point of view



***Science: given state of Domain 1,  
predict state of Domain 2***

***The accuracy of this predictive mapping is a  
measure of the strength of a scientific field***





**Images**



**Behavioral reports  
("perception")**

© Playboy Magazine. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

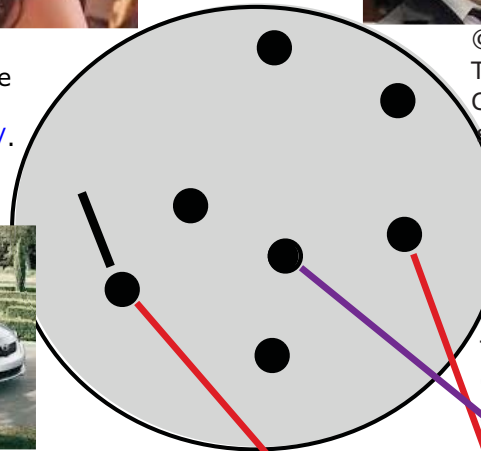
© Associated Press. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.



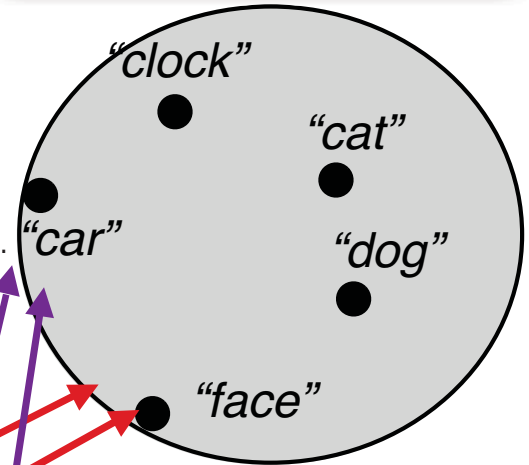
© Toyota. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.



© Wikipedia User: Morio. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

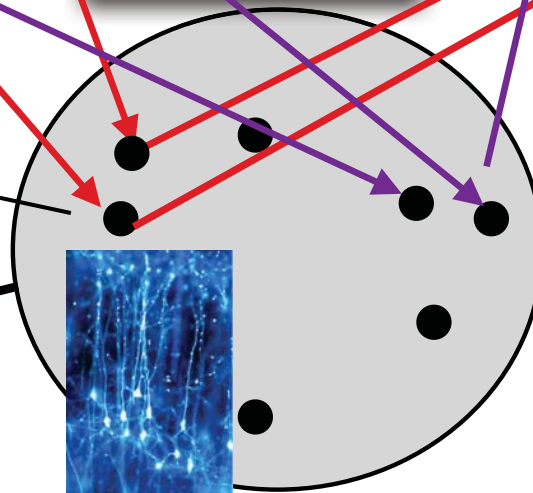


**Neural activity**



*spiking pattern of some neural population in response to one image*

**"Neural representation"**



© Dr Jonathan Clarke. Wellcome Images. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

**Accurate predictivity is the core product of science**

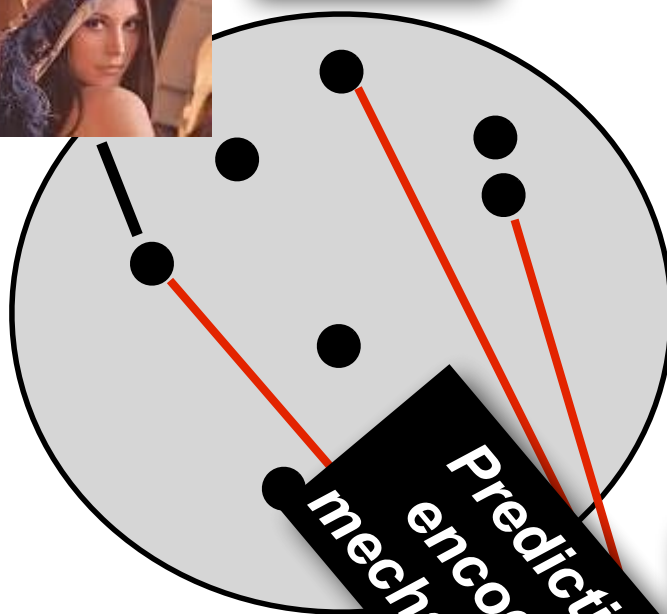


**Underlies engineer's ability to build, fix, or augment**

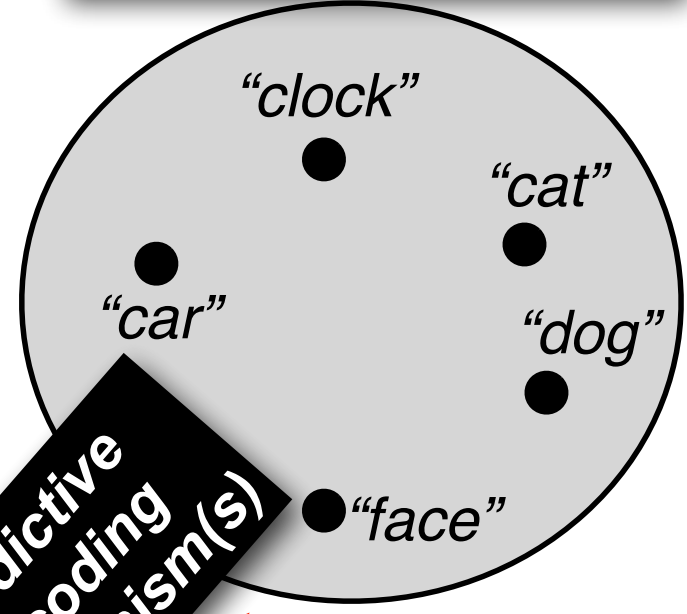


**Images**

**Behavioral reports  
("perception")**



**Neural activity**



*spiking pattern of some neural population in response to one image*

**"Neural representation"**

**Predictive decoding mechanism(s)**

**For visual object perception, this link**

**Not doubting the importance of these!**

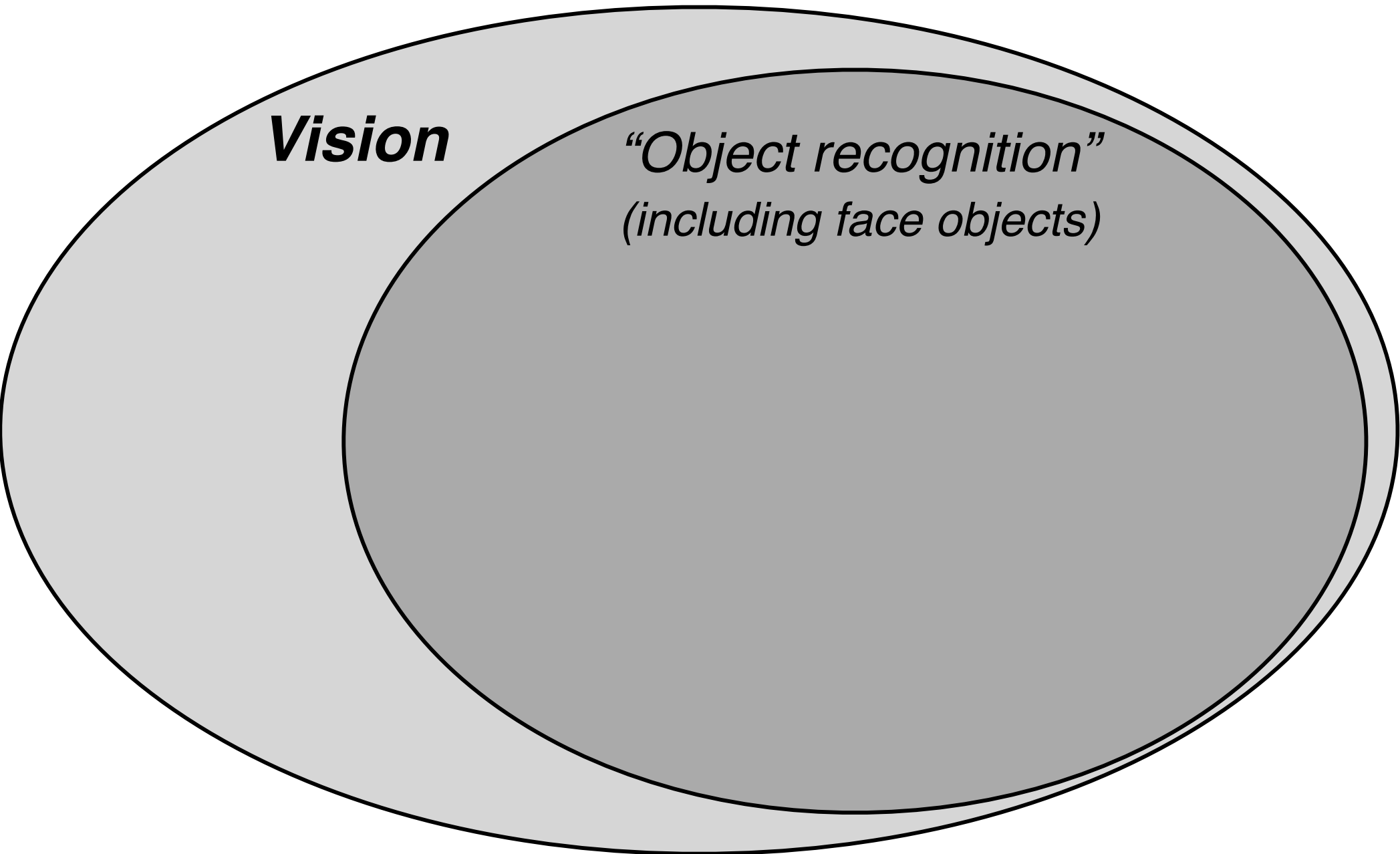
**word models**

*"IT does object recognition"*

*"Face neurons do face tasks"*

*"Attention solves that"*

***Let's try to define a domain of behavior so that we can gauge/make progress in prediction.***



# Object recognition as solved by primates

Central ~10 degrees



# Object recognition as solved by primates

~200 ms snapshots

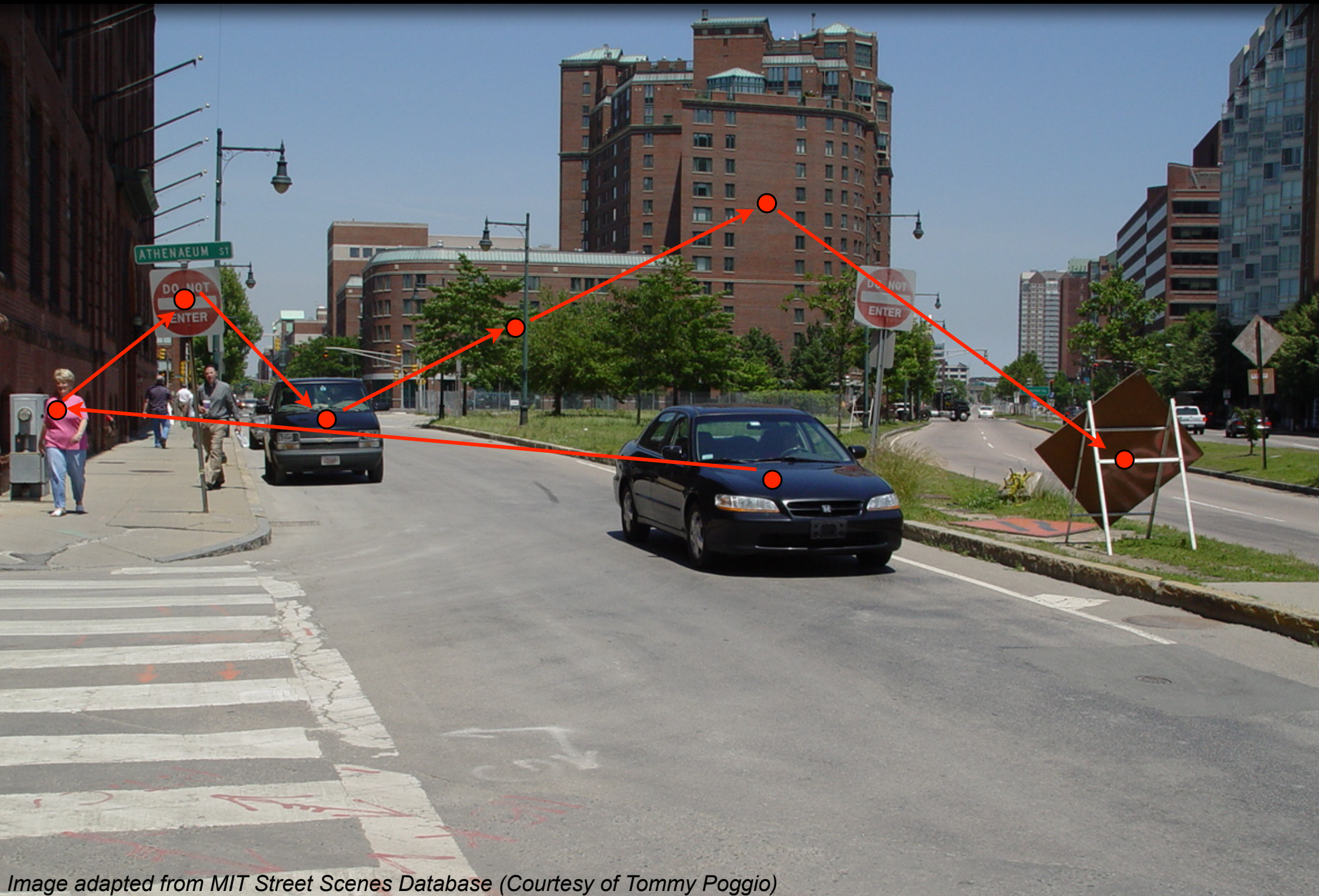


Image adapted from MIT Street Scenes Database (Courtesy of Tommy Poggio)

# Object recognition as solved by primates

## ***Core object recognition***

central ~10 deg of visual field  
100-200 ms viewing duration

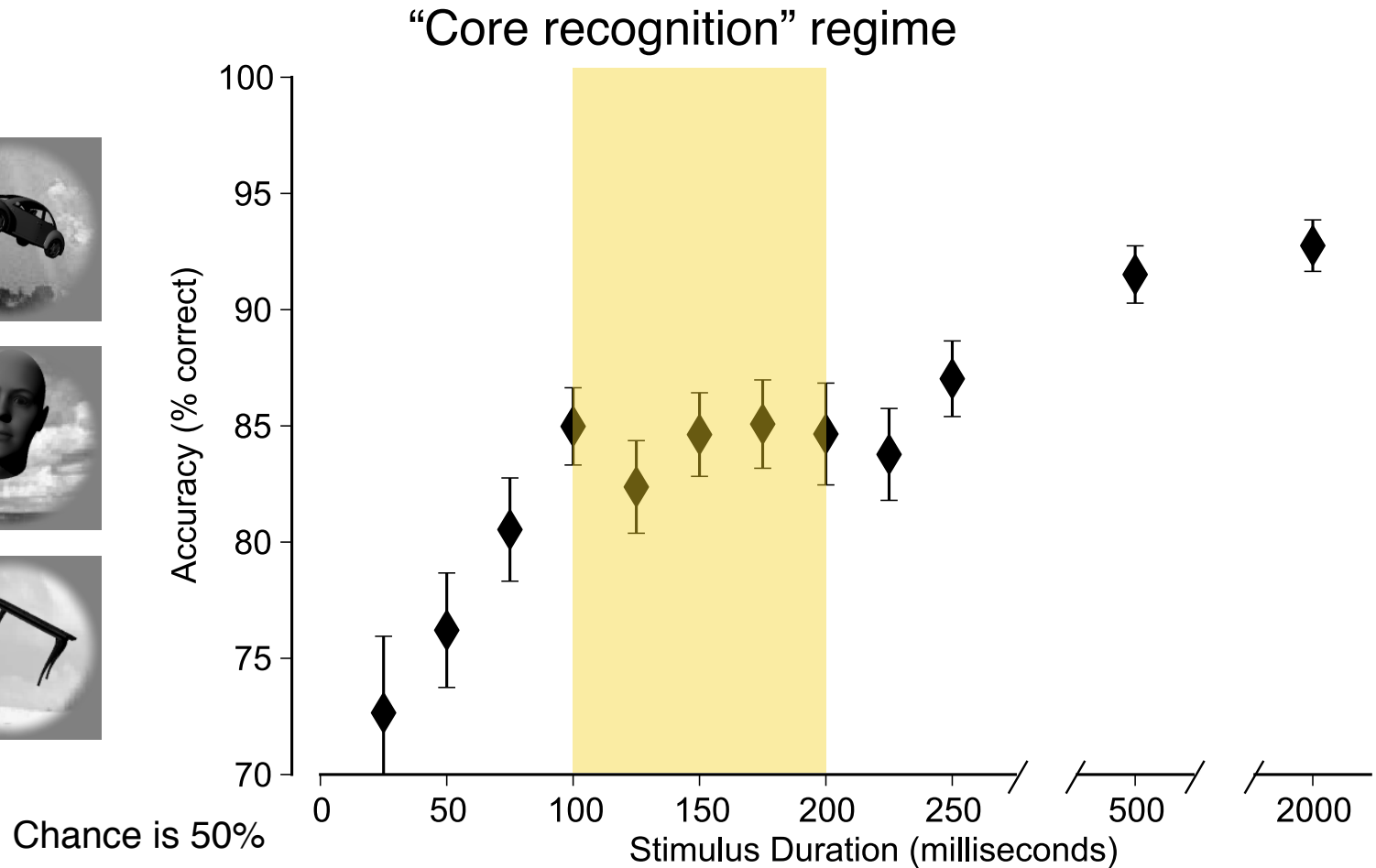
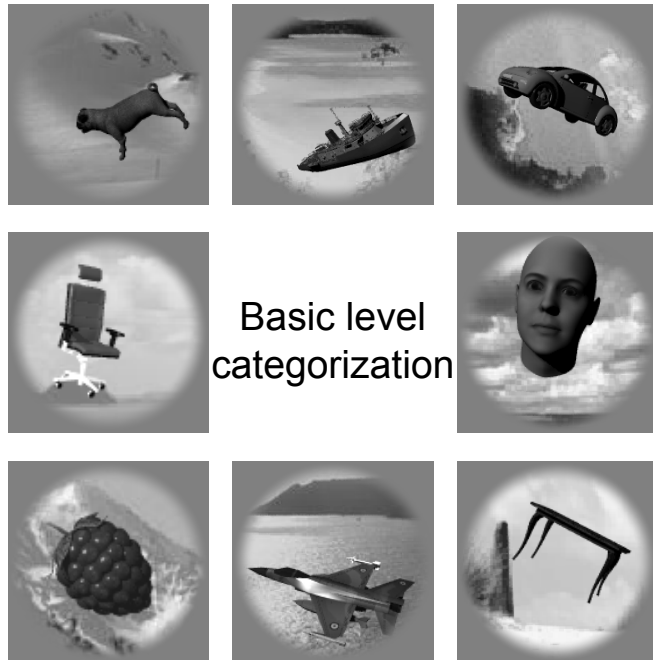
# Our visual system excels at core object recognition

---

## ***Core object recognition***

central ~10 deg of visual field  
100-200 ms viewing duration

# Human object recognition (categorization) accuracy as a function of image viewing time

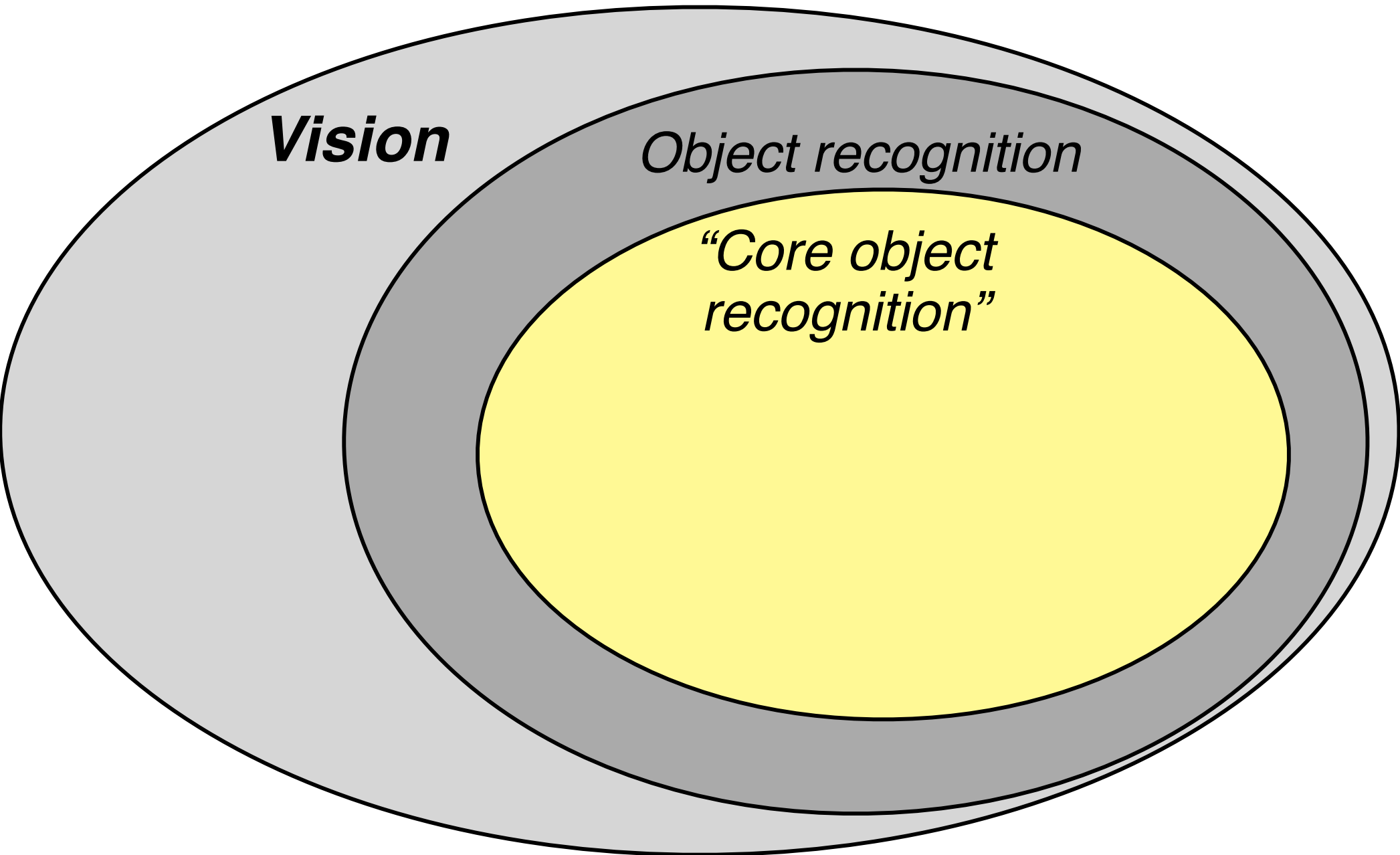


↑  
All the data I will  
show you today

↑  
Typical primate  
fixation duration  
during natural viewing



***Let's try to define a domain of behavior so that we can gauge/make progress in prediction.***

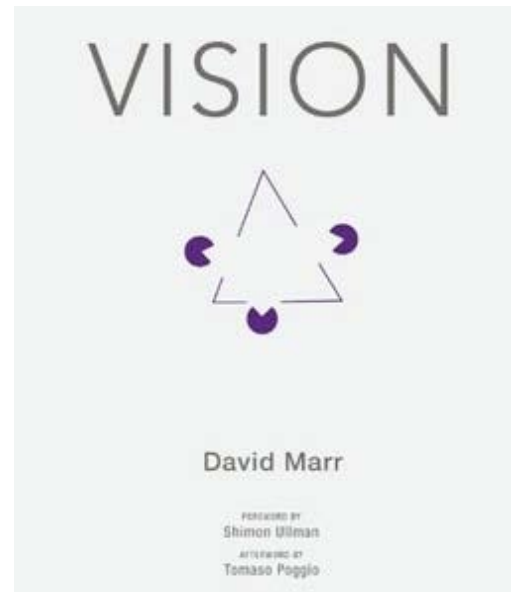


## The challenge of level

Computational theory	Representation and algorithm	Hardware implementation
What is the goal of the computation, why is it appropriate, and what is the logic of the strategy by which it can be carried out?	How can this computational theory be implemented? In particular, what is the representation for the input and output, and what is the algorithm for the transformation?	How can the representation and algorithm be realized physically?



David Courtnay Marr  
(1946-1980)



© MIT Press. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

# Reaching a common language

	<u>Comp vision, Machine learning</u>	<u>Neuroscience, Cognitive Science</u>
<b>1. What is the problem we are trying to solve?</b>	<i>Benchmarks Brain solves “it”</i>	<i>“Perception” Behavior Psychophysics</i>
<b>2. What do good solutions look like?</b>	<i>Useful image representations (“features”)</i>	<i>Explicit neuronal population spiking patterns</i>
<b>3. How do we instantiate these solutions?</b>	<i>Algorithms, mechanisms</i>	<i>Neuronal wiring / weighting patterns</i>
<b>4. How do we construct those instantiations?</b>	<i>Learning rules, initial conditions, training images</i>	<i>Plasticity, architecture, experience</i>

# Behavioral challenge 1: Many possible objects



Dog



Turtle



Elephant



Cat



Zebra



Horse



Frog



Rhino



Tiger



Pig



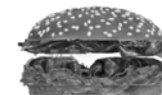
Camel



Dress



Tire



Burger



Train



Truck



Car



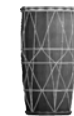
Boat



Guitar



Tank



Drum



Pants



Necklace



Skirt



Bear



Shirt



Shoe



Hammer



Spoon



Tree



Pen



Wrench



Tie



Hanger



Knife



Leg



Doctor



Nurse



Helicopter



Pineapple



Ant



Gun



Fork



Fish



Spider



Bird



Duck



Plane



Pumpkin



Watch



Pear



Shorts



Clock



Head



Chair



Book



Laptop



Toaster



Table



House



Camera



Mirror



Piano



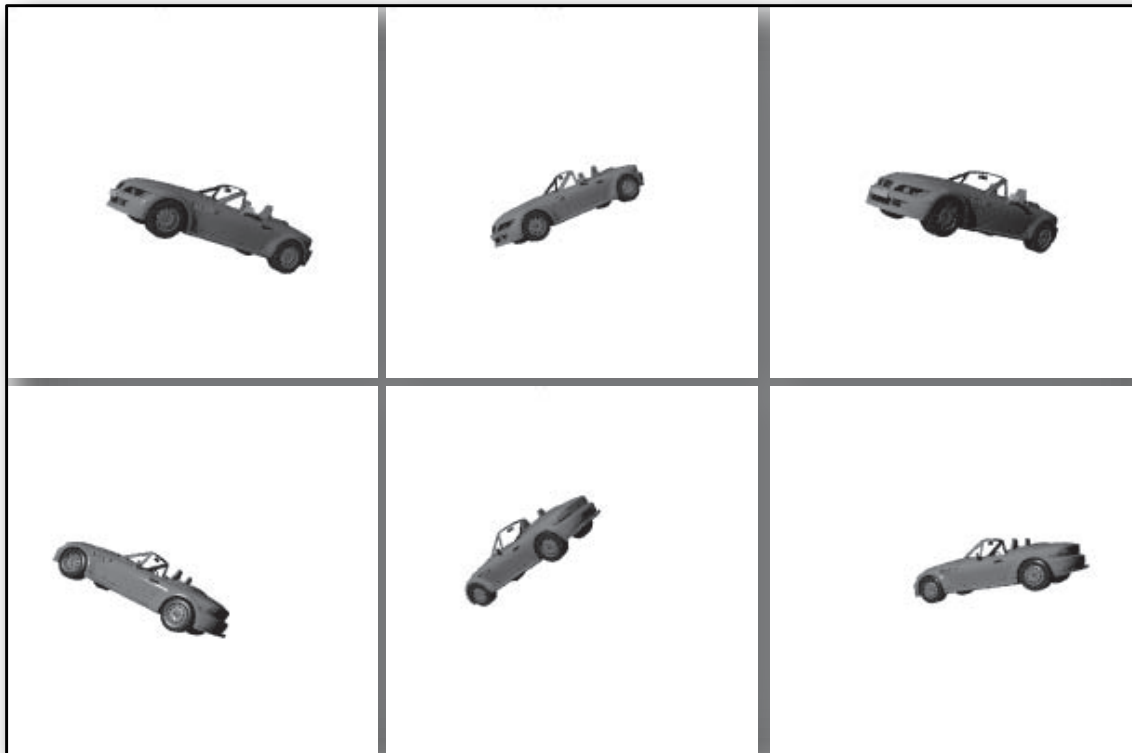
Calculator

# Behavioral challenge 2: Common physical source (object) can produce many images



## “Identity preserving image variation”

View: position, size, pose, illumination



Clutter, occlusion

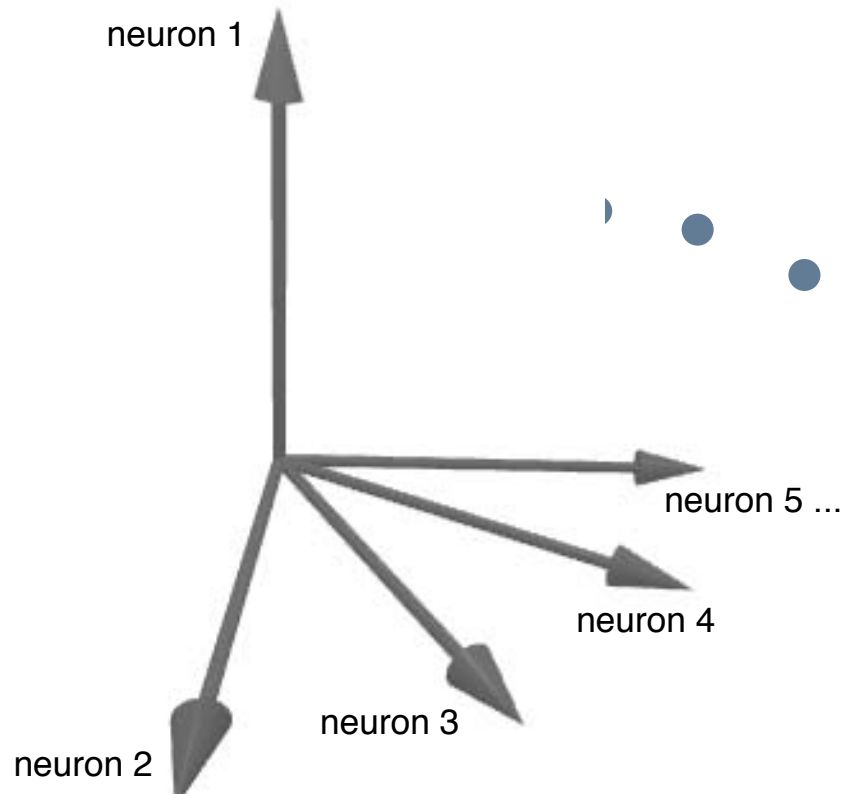


subordinate  
level variation

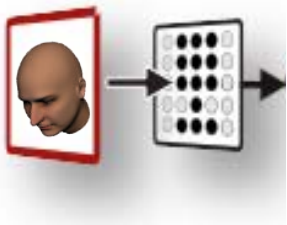
Pinto, Nicolas, David D. Cox, and James J. Di Carlo. "Why is real-world visual object recognition hard?" PLoS Comput Biol 4, no. 1 (2008): e27. doi: 10.1371/journal.pcbi.0040027. License CC BY.

Poggio, Ullman, Grossberg, Edleman, Biederman, etc.  
DiCarlo and Cox, *TICS* (2007), Pinto, Cox, and DiCarlo, *PLoS Comp Bio* (2008),  
DiCarlo, Zoccolan and Rust, *Neuron* (2012)

# The brain's "camera" represents the image as populations of visually-evoked "features"



"Joe's" identity manifold



pixel RGC

Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.  
Source: DiCarlo, James J., and David D. Cox. "Untangling invariant object recognition." *Trends in cognitive sciences* 11, no. 8 (2007): 333-341;  
<https://doi.org/10.1016/j.tics.2007.06.010>.

# The computational crux of object and face recognition

A “good” set of visual features

**== “Explicit” representation of object shape**

We assume: “shape” maps to “identity” and “category”

**== “Explicit” representation of object shape**

individual 2  
 (“Joe”)

“Joe”



Should be able to find it with low\* number of training examples

Neural population



separating hyperplane

*linear classifier*

≈

*downstream neuron(s)*

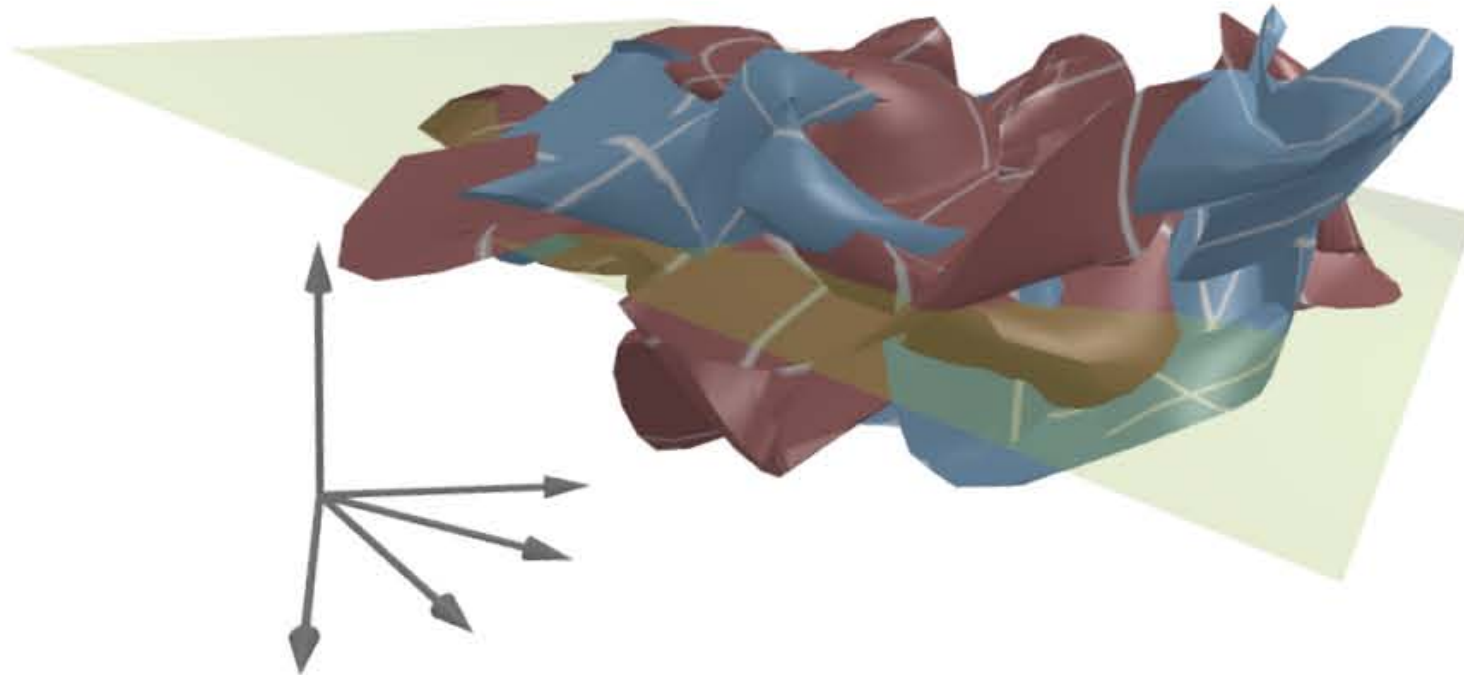
“not Joe” individual 1  
 (“Sam”)



# Invariance is the computational crux of object and face recognition

## Pixel population representation

(~ retinal image representation)



individual 2



ineffective  
separating  
hyperplane



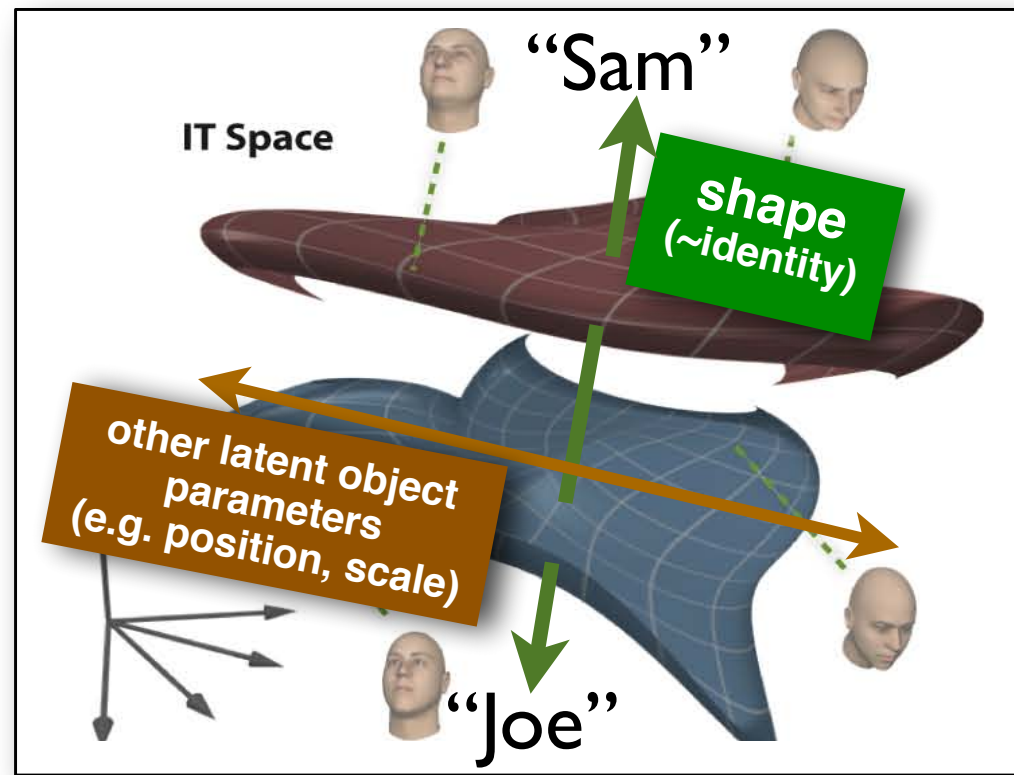
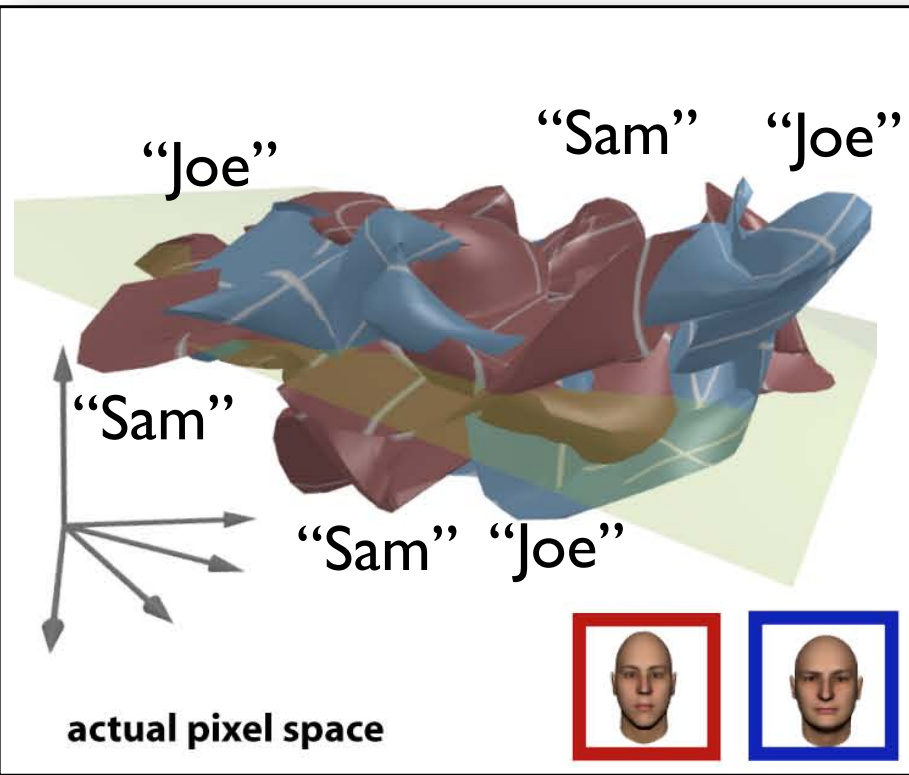
individual 1

**object manifolds are “tangled”**

*(Due to identity-preserving image variation.)*

Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.  
Source: DiCarlo, James J., and David D. Cox. "Untangling invariant object recognition." *Trends in cognitive sciences* 11, no. 8 (2007): 333-341;  
<https://doi.org/10.1016/j.tics.2007.06.010>.





**Tangled, implicit object information**

**Transformation** →

**This must be non-linear**

**Untangled, explicit object information**

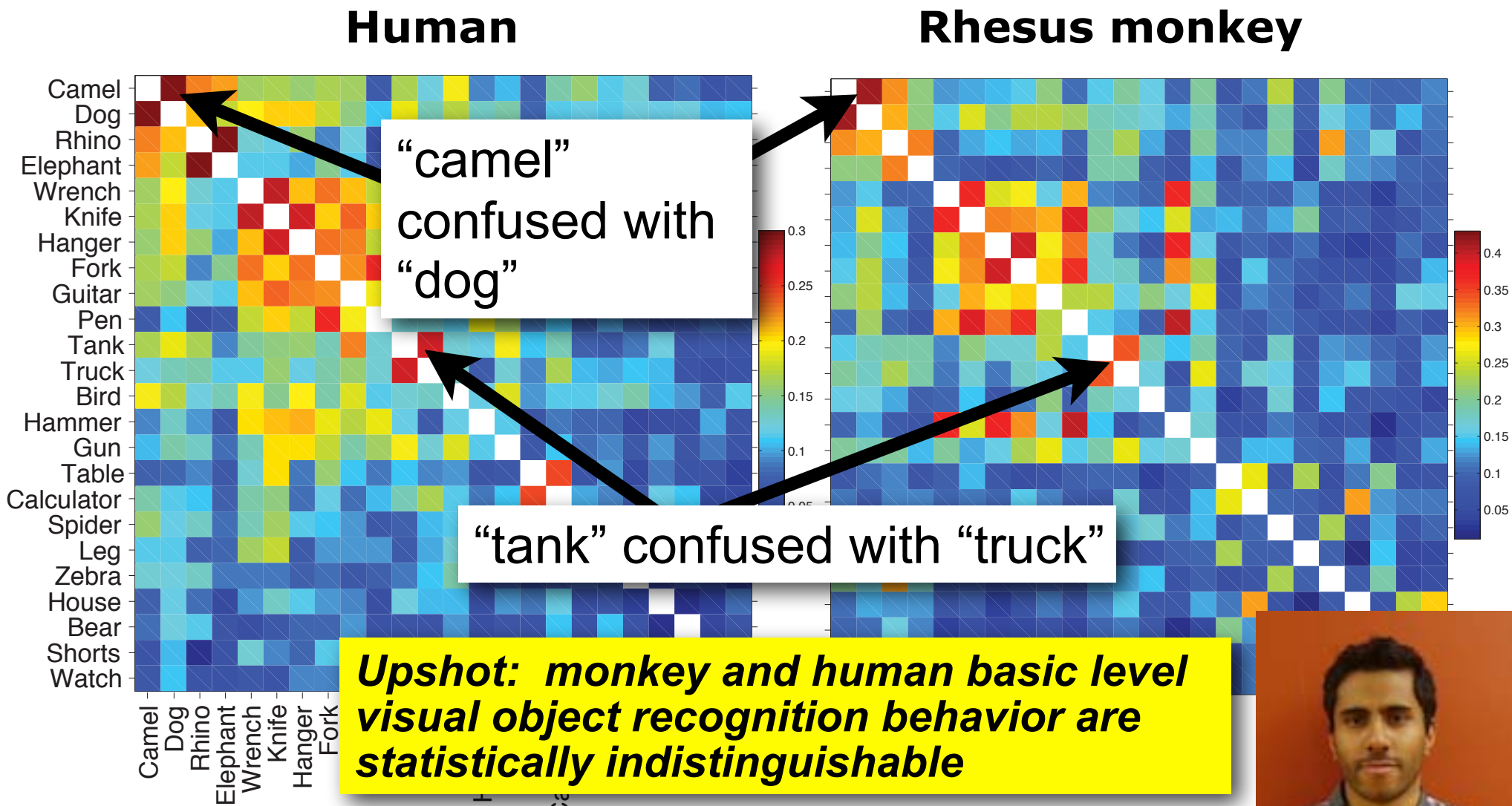


**a poor encoding basis (for this task)**

**a powerful encoding basis somewhere in the brain**

Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.  
 Source: DiCarlo, James J., and David D. Cox. "Untangling invariant object recognition." *Trends in cognitive sciences* 11, no. 8 (2007): 333-341;  
<https://doi.org/10.1016/j.tics.2007.06.010>.

# The ventral visual stream



*Comparison of Object Recognition Behavior in Human and Monkey*

R. Rajalingham, K Schmidt, J.J. DiCarlo, **Vision Sciences Society** (2014)

R. Rajalingham, K Schmidt, J.J. DiCarlo, **J. Neuroscience** (in press)

Courtesy of Society for Neuroscience. License CC BY NC SA.

Source: Rajalingham, Rishi, Kailyn Schmidt, and James J. DiCarlo. "Comparison of object recognition behavior in human and monkey." *Journal of Neuroscience* 35, no. 35 (2015): 12127-12136.

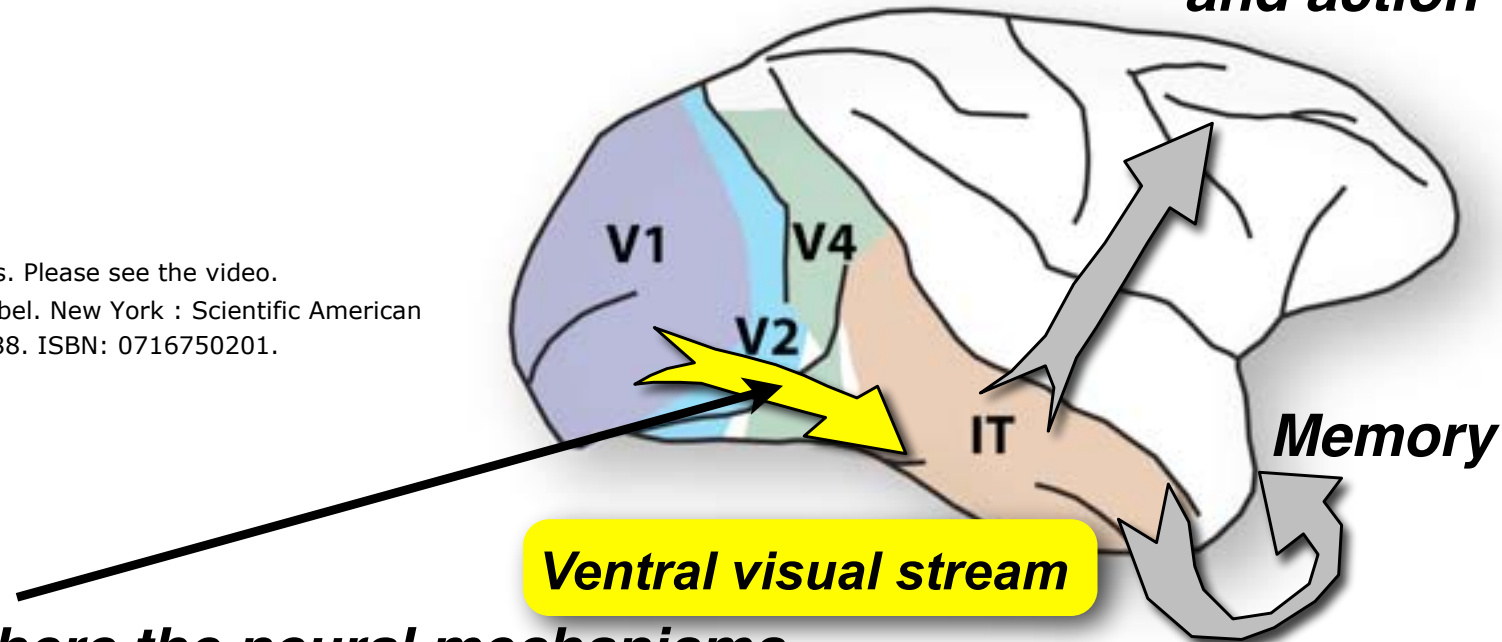
Adapted from Motter and Mountcastle 1981

# The ventral visual stream

Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.  
Source: DiCarlo, James J., and David D. Cox. "Untangling invariant object recognition." Trends in cognitive sciences 11, no. 8 (2007): 333-341.

**Decision  
and action**

Image removed due to copyright restrictions. Please see the video.  
Source: Eye, Brain, and Vision. David H. Hubel. New York : Scientific American Library : Distributed by W.H. Freeman, c1988. ISBN: 0716750201.

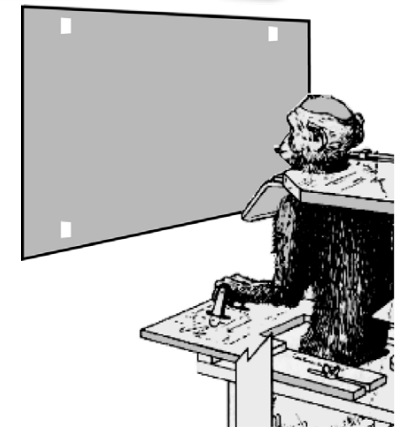
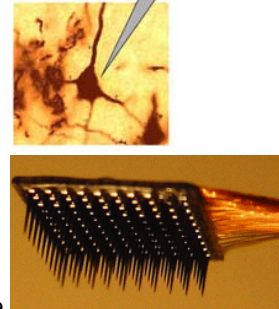


**Ventral visual stream**

***We think we know where the neural mechanisms and resulting representations that solve core object recognition live in the primate brain.***

***We can measure and manipulate those representations at the level of neuronal spikes.***

Courtesy of Society for Neuroscience. License CC BY-NC-SA.  
Source: Kelly, Ryan C., Matthew A. Smith, Jason M. Samonds, Adam Kohn, A. B. Bonds, J. Anthony Movshon, and Tai Sing Lee. "Comparison of recordings from microelectrode arrays and single electrodes in the visual cortex." Journal of Neuroscience 27, no. 2 (2007): 261-264.

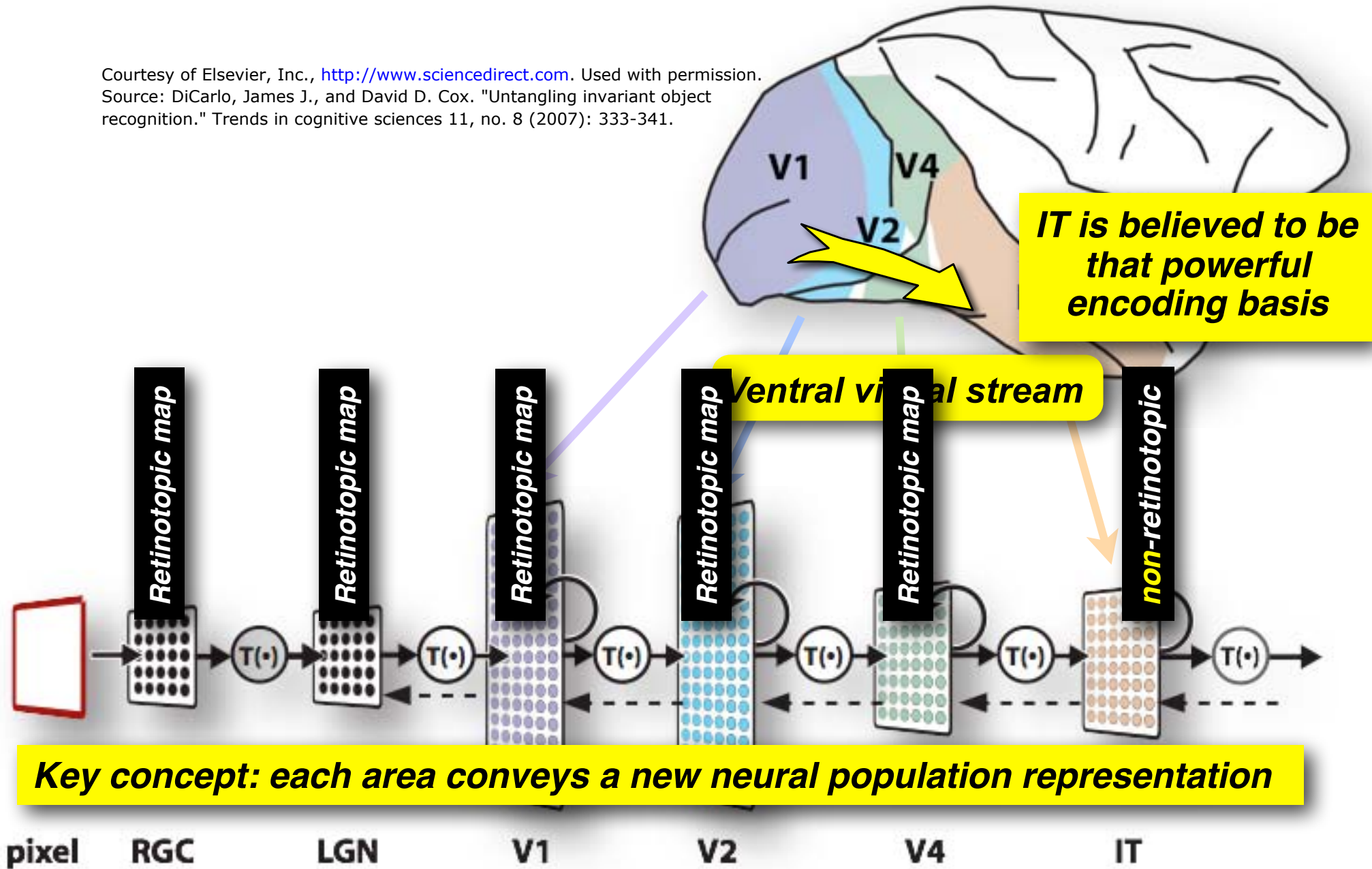


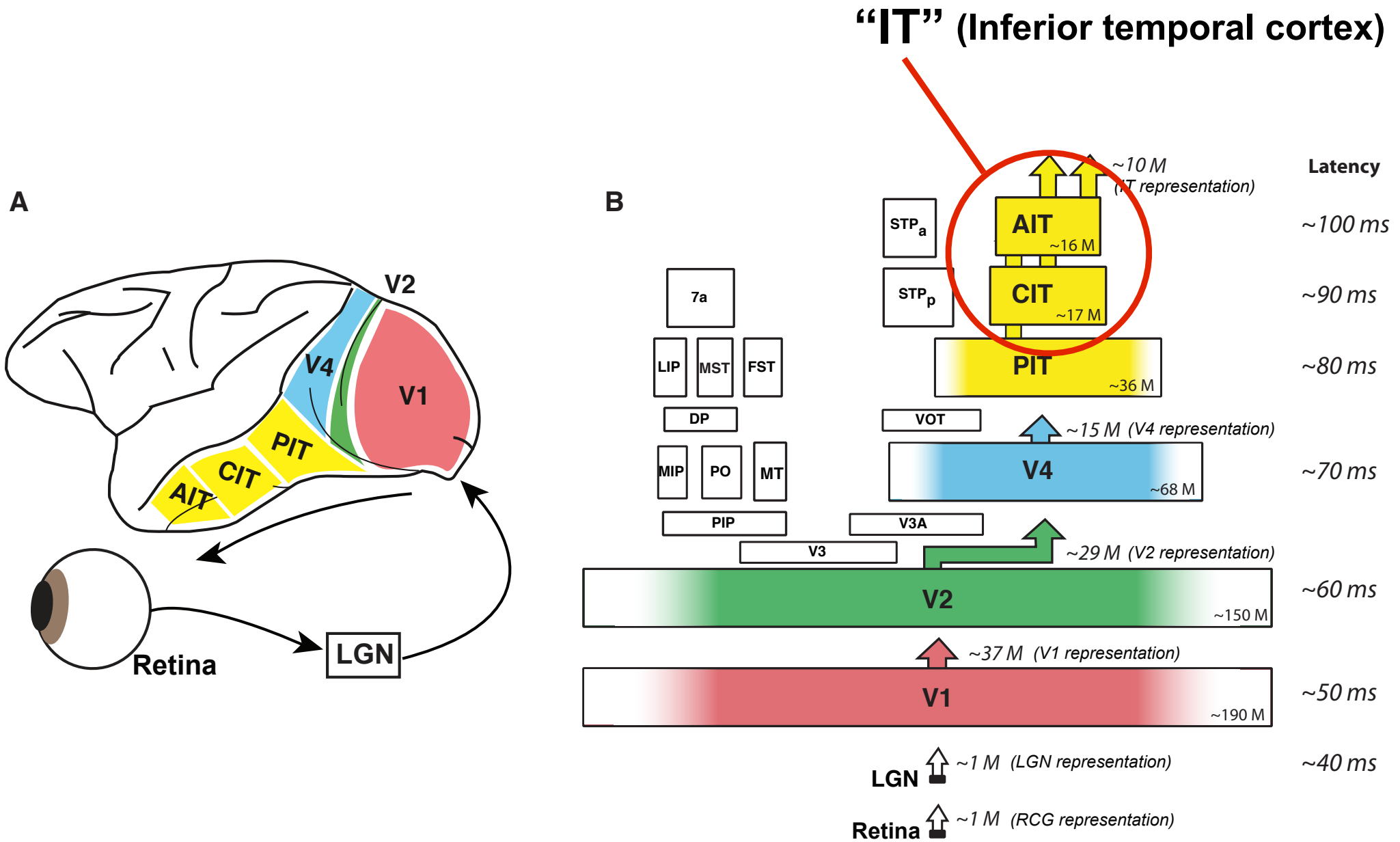
Adapted from Motter and Mountcastle 1981

Courtesy of Society for Neuroscience. License CC BY-NC-SA.  
Source: Motter, BRAD C., and VERNON B. Mountcastle. "The functional properties of the light-sensitive neurons of the posterior parietal cortex studied in waking monkeys: Foveal sparing and opponent vector organization." Journal of Neuroscience 1, no. 1 (1981): 3-26.

# The ventral visual stream

Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.  
Source: DiCarlo, James J., and David D. Cox. "Untangling invariant object recognition." Trends in cognitive sciences 11, no. 8 (2007): 333-341.



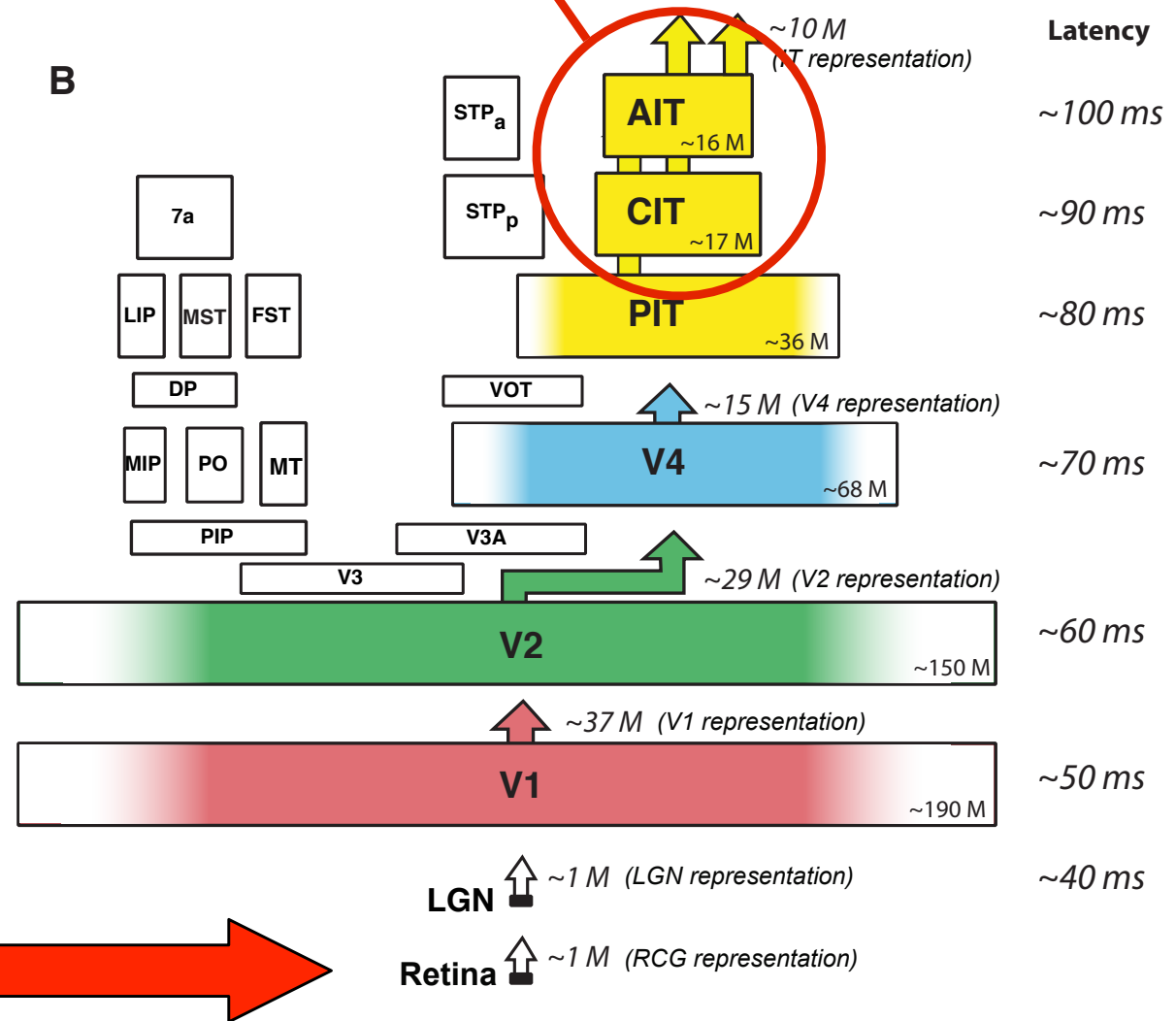


Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.  
 Source: DiCarlo, James J., Davide Zoccolan, and Nicole C. Rust. "How does the brain solve visual object recognition?" Neuron 73, no. 3 (2012): 415-434.

Adapted from DiCarlo et al. 2012

# “IT” (Inferior temporal cortex)

B



Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.  
 Source: DiCarlo, James J., Davide Zoccolan, and Nicole C. Rust. "How does the brain solve visual object recognition?" Neuron 73, no. 3 (2012): 415-434.

Adapted from DiCarlo et al. 2012

# Retinal ganglion cell RF structure:

A Receptive fields of concentric cells of retina and lateral geniculate nucleus

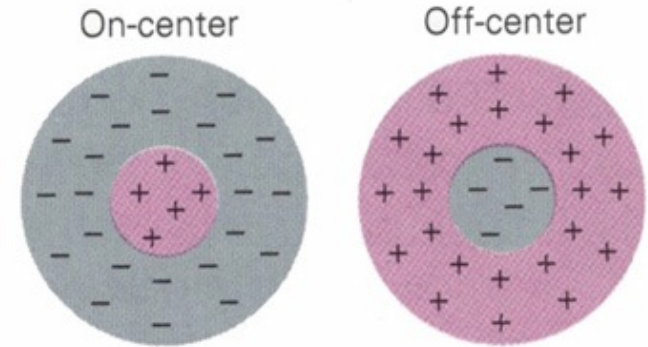
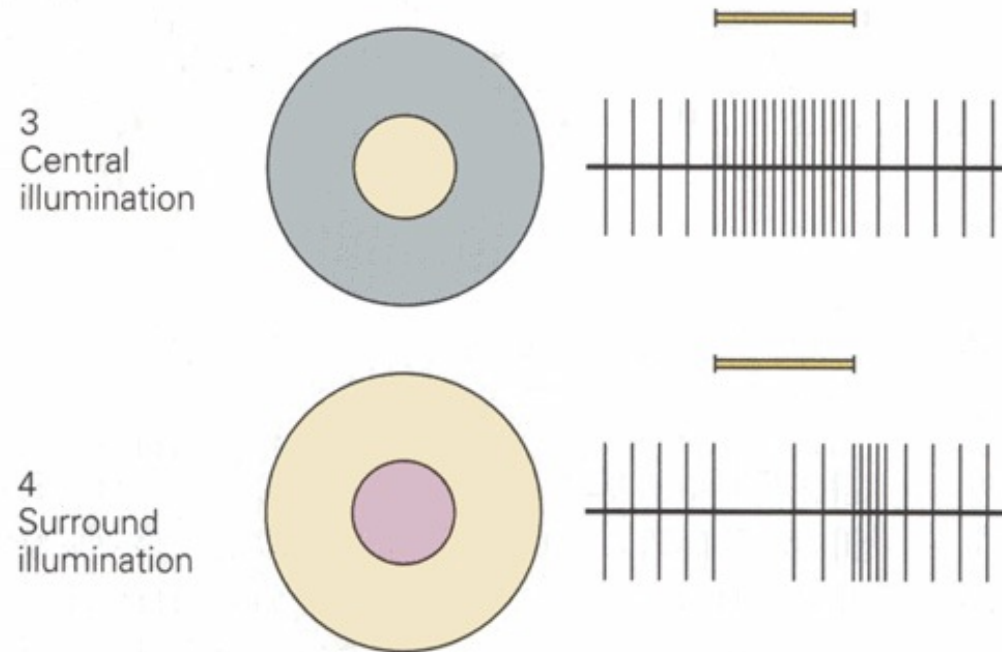


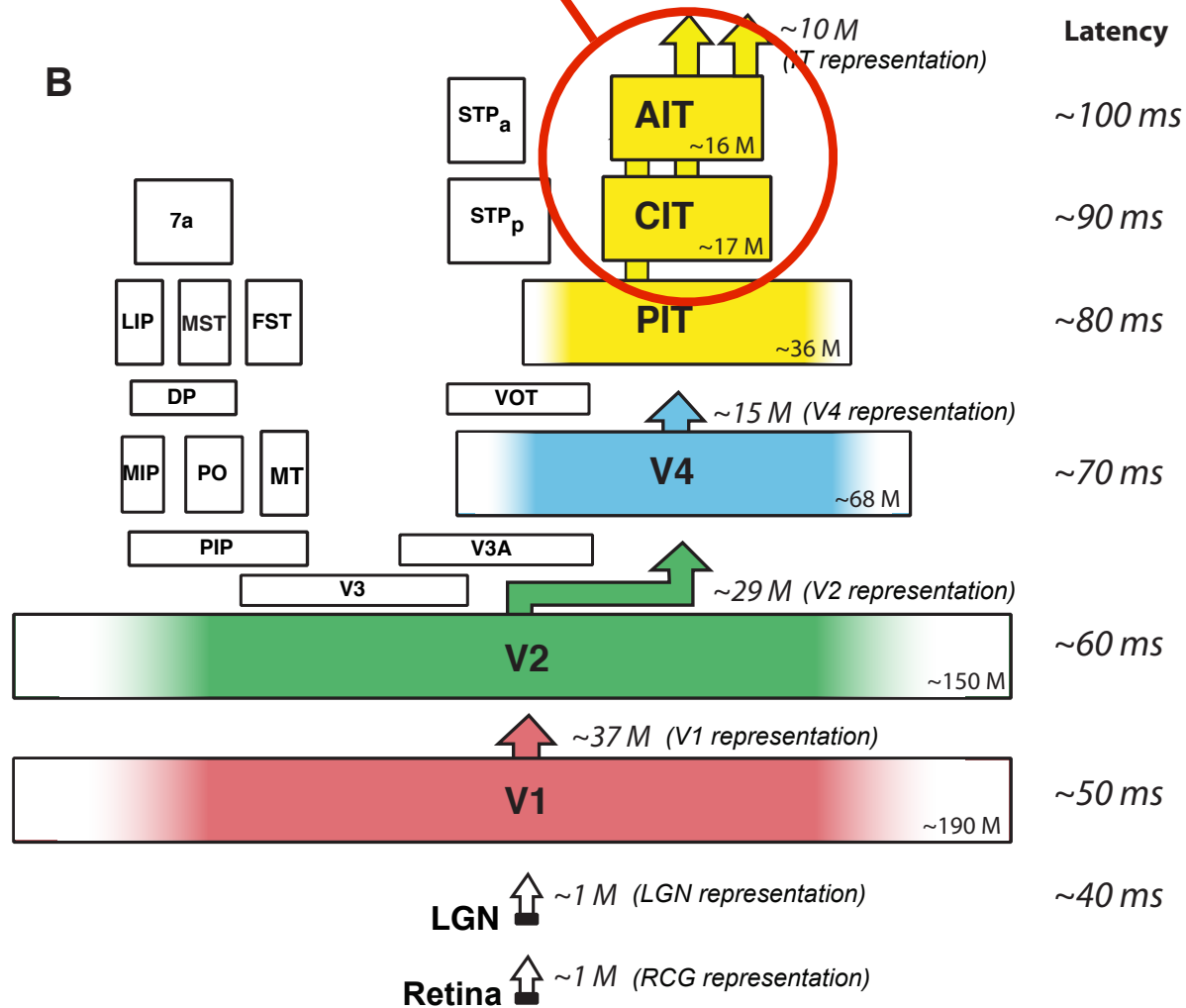
Figure removed due to copyright restrictions. Please see the video.  
 Source: Eye, Brain, and Vision. David H. Hubel. New York : Scientific American  
 Library : Distributed by W.H. Freeman, c1988. ISBN: 0716750201.



© McGraw-hill. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.  
 Source: Siegelbaum, Steven A., and A. James Hudspeth. Principles of neural science. Eds. Eric R. Kandel, James H. Schwartz, and ThomasM. Jessell. Vol. 4. New York: McGraw-hill, 2000.

# “IT” (Inferior temporal cortex)

You are here. 



Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.  
 Source: DiCarlo, James J., Davide Zoccolan, and Nicole C. Rust. "How does the brain solve visual object recognition?" *Neuron* 73, no. 3 (2012): 415-434.

Adapted from DiCarlo et al. 2012



# Primary visual cortex (Area V1):

Orientation  
selectivity

Figure removed due to copyright restrictions. Please see the video.  
Source: Eye, Brain, and Vision. David H. Hubel. New York : Scientific American  
Library: Distributed by W.H. Freeman, c1988. ISBN: 0716750201.

Orientation  
selectivity with  
some position  
tolerance

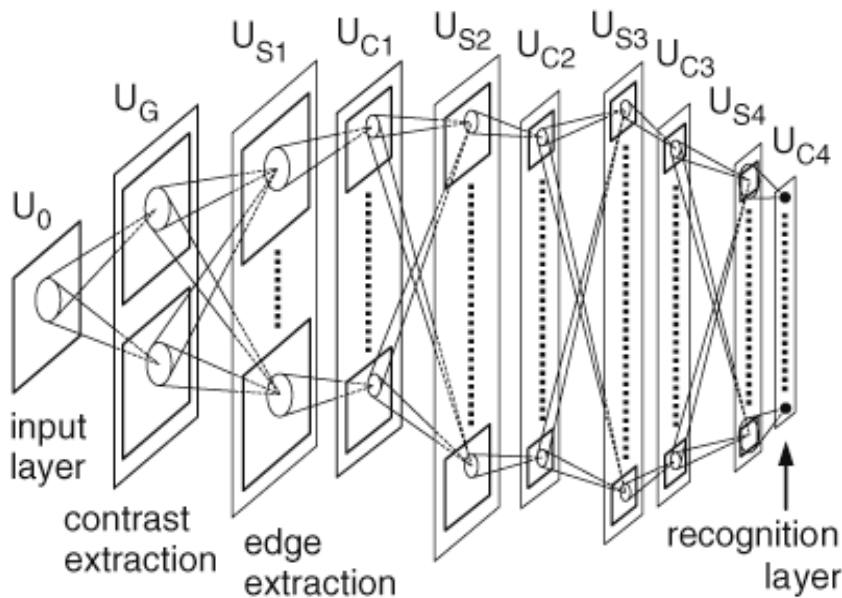
# Brain-inspired computer algorithms

## Examples:

- *Hubel & Wiesel (1962)*

Figure removed due to copyright restrictions.

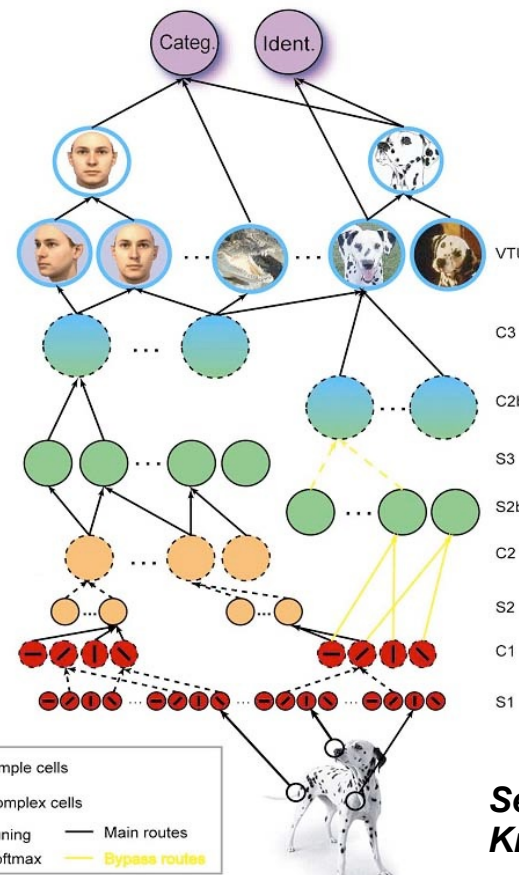
Please see the video. Source: Eye, Brain, and Vision. David H. Hubel. New York: Scientific American Library: Distributed by W.H. Freeman, c1988. ISBN: 0716750201.



Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>.

Used with permission.

Source: Fukushima, Kunihiro. "Neocognitron for handwritten digit recognition." *Neurocomputing* 51 (2003): 161-180.



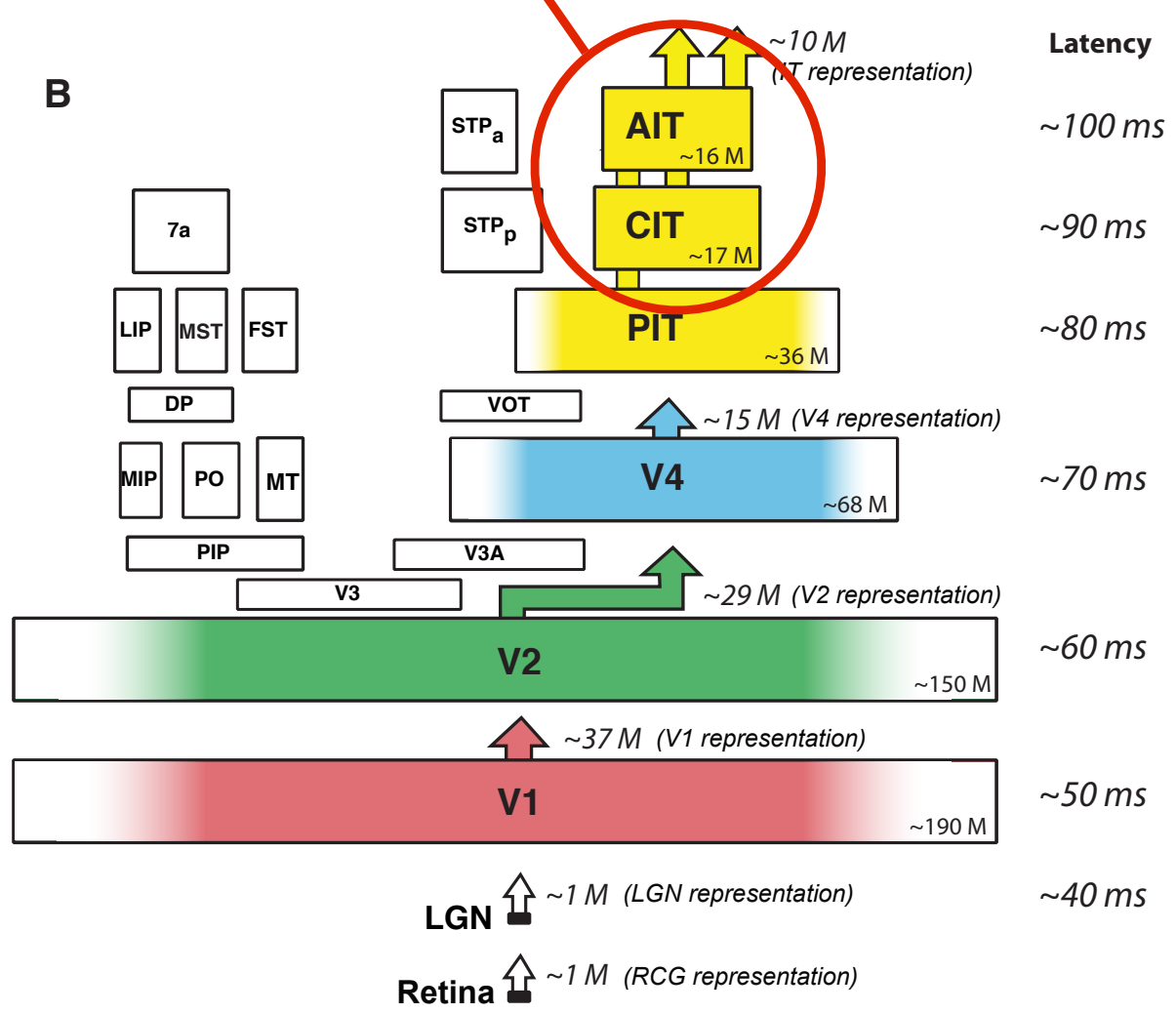
## FROM BIOLOGY:

- **Hierarchy**
- **Spatially local filters**
- **Convolution**
- **Normalization**
- **Threshold NL**
- **Unsupervised learning**
- ...

**Serre, Kouh, Cadieu, Knoblich, Kreiman & Poggio 2005**

# “IT” (Inferior temporal cortex)

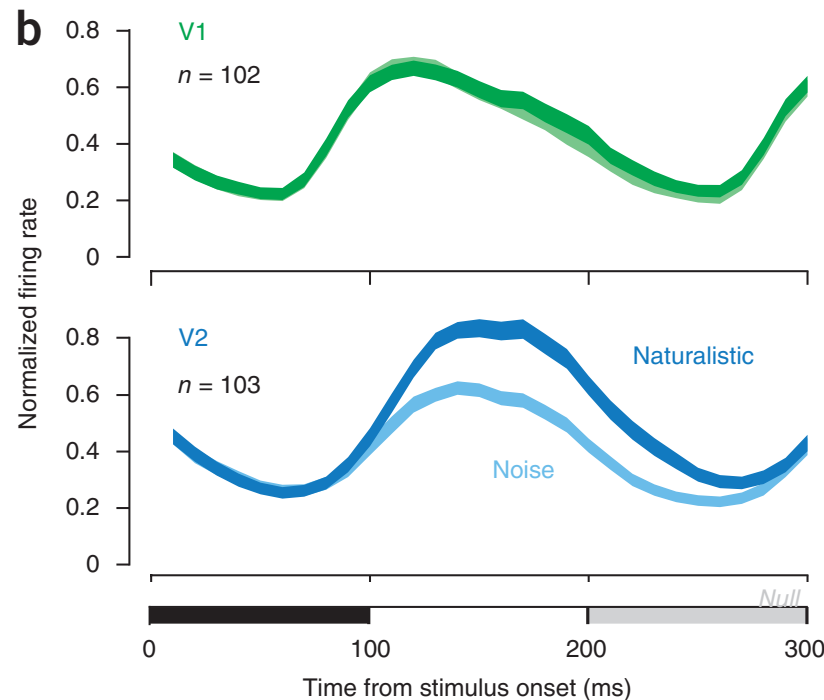
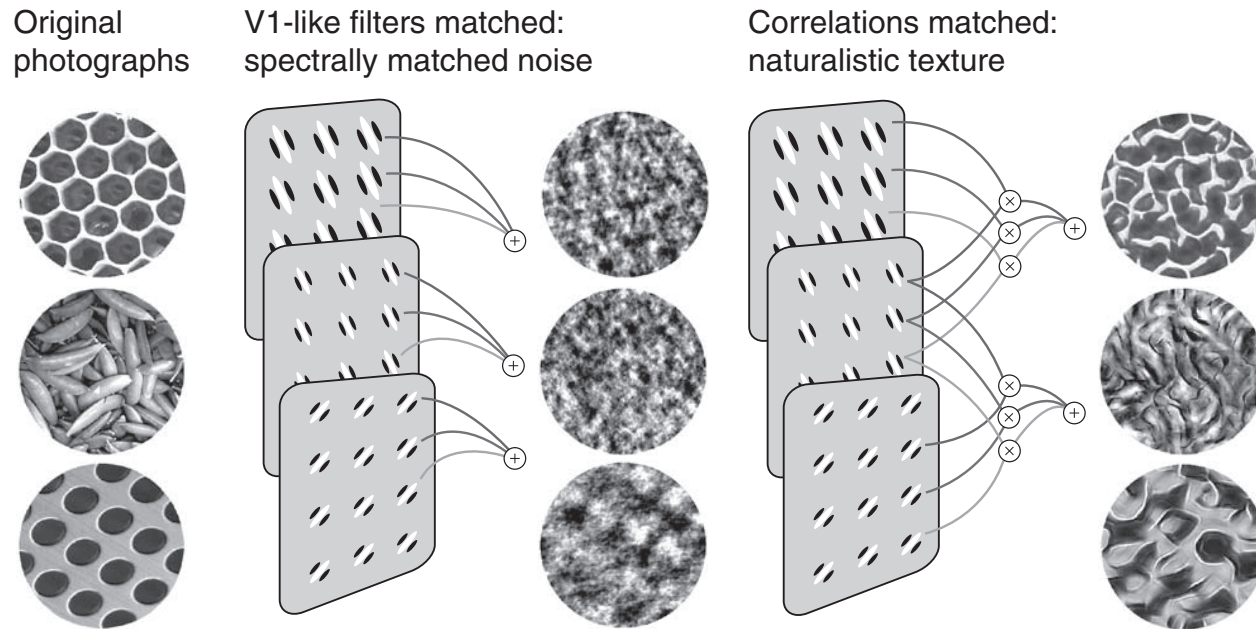
You are here. 



Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.  
 Source: DiCarlo, James J., Davide Zoccolan, and Nicole C. Rust. "How does the brain solve visual object recognition?" Neuron 73, no. 3 (2012): 415-434.

Adapted from DiCarlo et al. 2012

# Area V2 (first cortical area after V1):



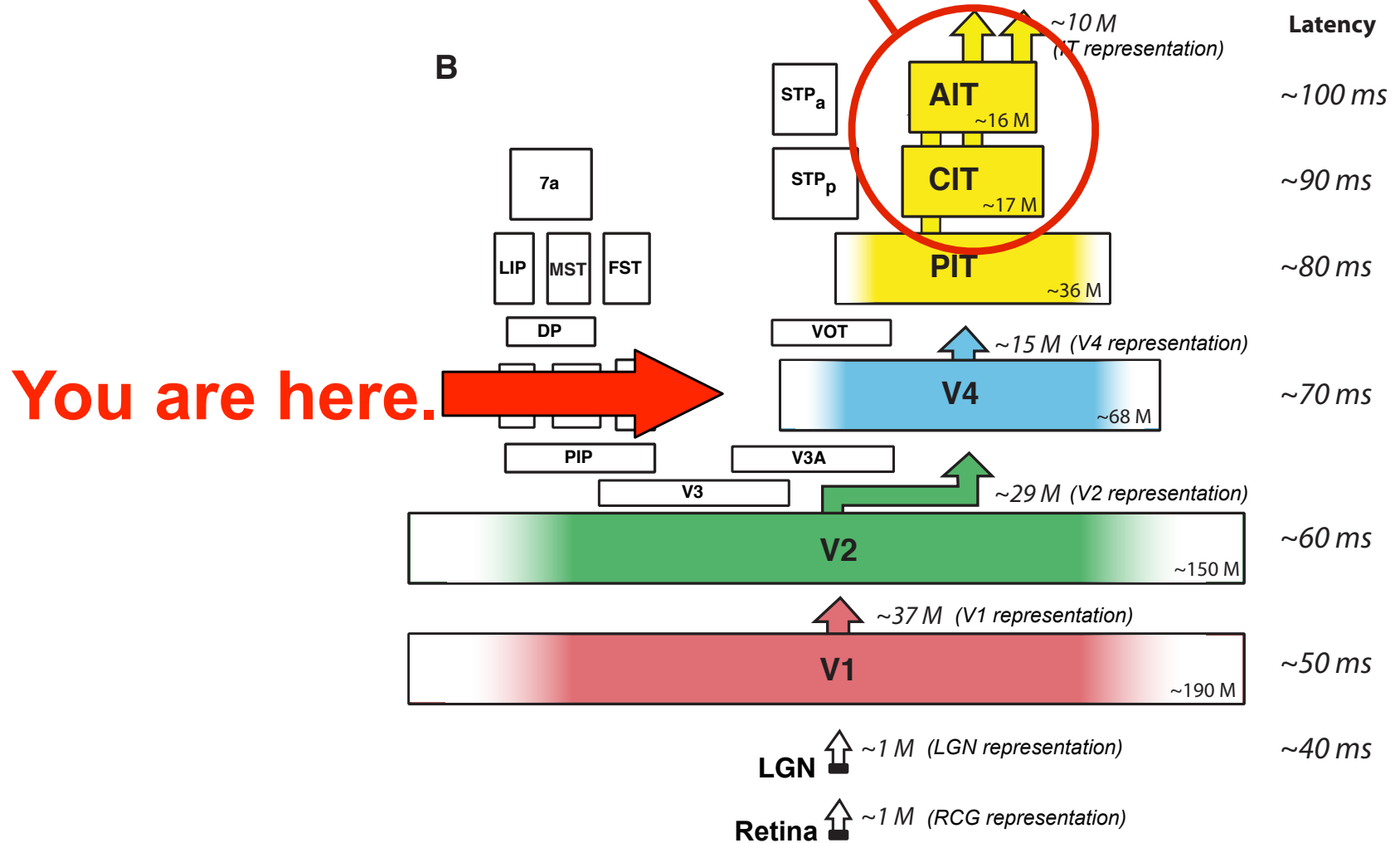
## Interpretation:

- V2 neurons apply “and-like” operators on V1 outputs
- those “ands” are tuned toward natural co-occurring V1 statistics

Reprinted by permission from Macmillan Publishers Ltd: Nature Neuroscience.  
Source: Freeman, Jeremy, Corey M. Ziemba, David J. Heeger, Eero P. Simoncelli, and J. Anthony Movshon. "A functional and perceptual signature of the second visual area in primates." *Nature neuroscience* 16, no. 7 (2013): 974-981.

Adapted from Freeman, Ziemba, Heeger, Simoncelli, & Movshon, *Nature Neuro* (2013)

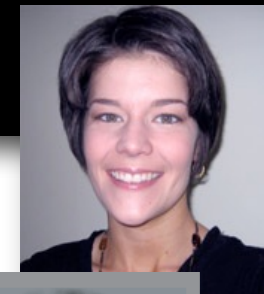
# “IT” (Inferior temporal cortex)



Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.  
 Source: DiCarlo, James J., Davide Zoccolan, and Nicole C. Rust. "How does the brain solve visual object recognition?" *Neuron* 73, no. 3 (2012): 415-434.

Adapted from DiCarlo et al. 2012

# What is V4 doing?



Rust

**Increased selectivity for conjunction of features that tend to co-occur in natural images**

Courtesy of Society for Neuroscience. License CC BY NC SA.  
 Source: Rust, Nicole C., and James J. DiCarlo. "Selectivity and tolerance ("invariance") both increase as visual information propagates from cortical area V4 to IT." *Journal of Neuroscience* 30, no. 39 (2010): 12978-12995.

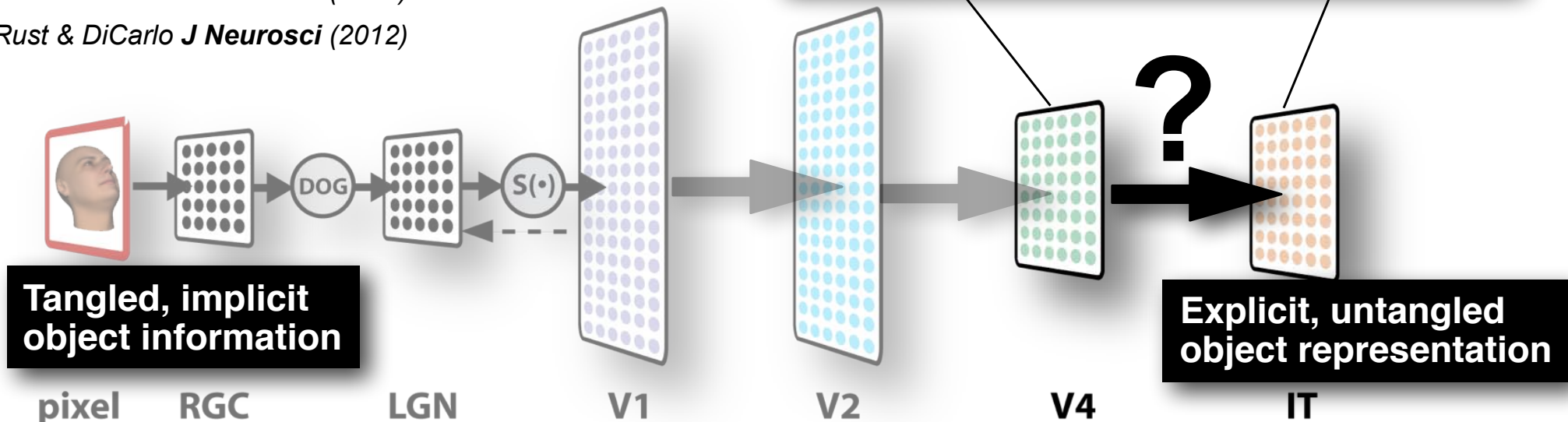
Same animal, task, stimuli.



**Easier to read-out object identity in IT (per neuron, matched for information)**

Rust & DiCarlo *J Neurosci* (2010)

Rust & DiCarlo *J Neurosci* (2012)



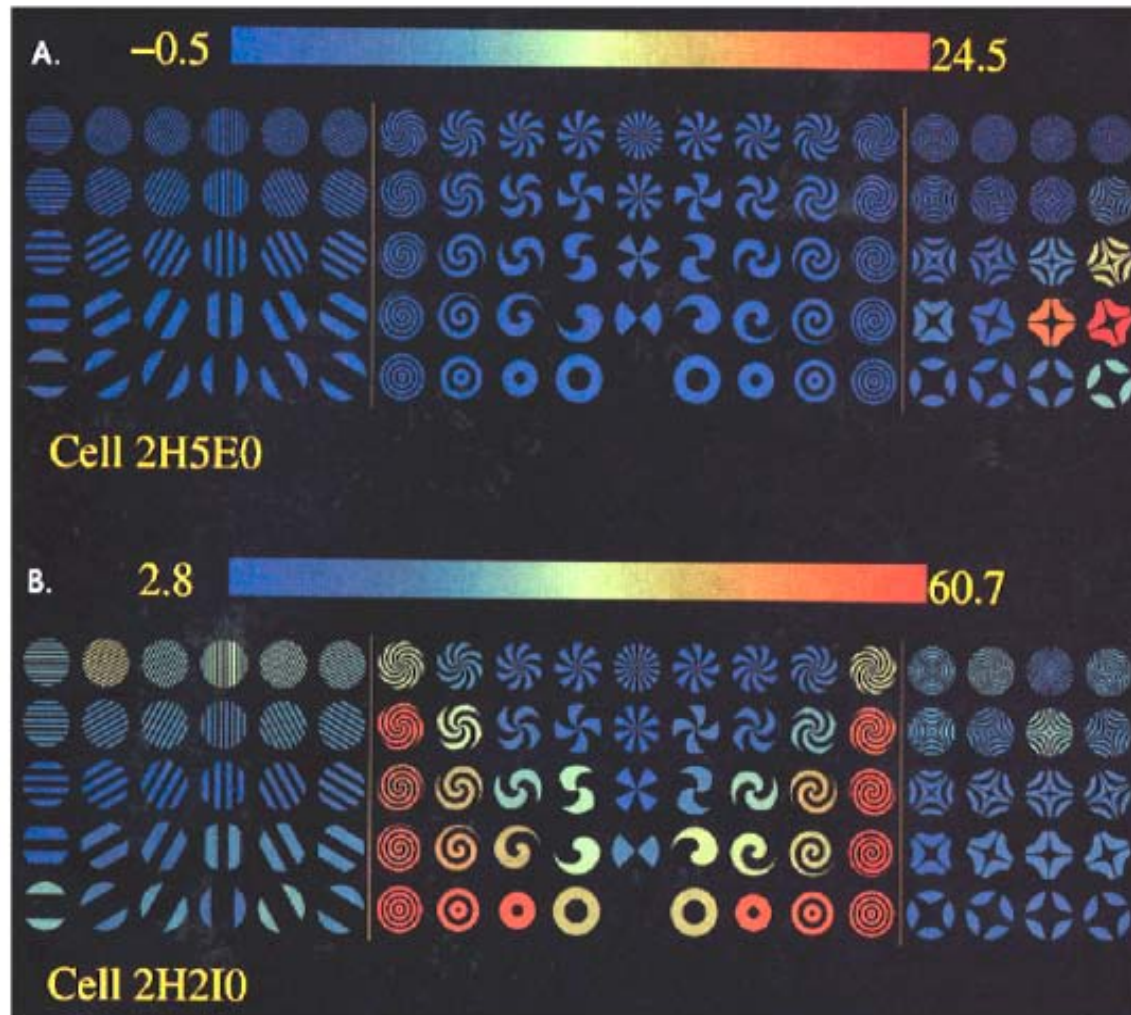
Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.

Source: DiCarlo, James J., and David D. Cox. "Untangling invariant object recognition." *Trends in cognitive sciences* 11, no. 8 (2007): 333-341.

# What is V4 doing?

## V4 Responses to Non-Cartesian Gratings

Gallant et al. 1996



Courtesy of Journal of Neurophysiology. Used with permission.  
Source: Gallant, Jack L., Charles E. Connor, Subrata Rakshit, James W. Lewis, and DAVID C. Van Essen. "Neural responses to polar, hyperbolic, and Cartesian gratings in area V4 of the macaque monkey." *Journal of neurophysiology* 76, no. 4 (1996): 2718-2739.

# What shape features drive V4 responses?

*Adapted from C.E. Connor*

Make a basis for shapes:

each shape = set of curved elements

each element = (ang position, curvature)

Hypothesis:

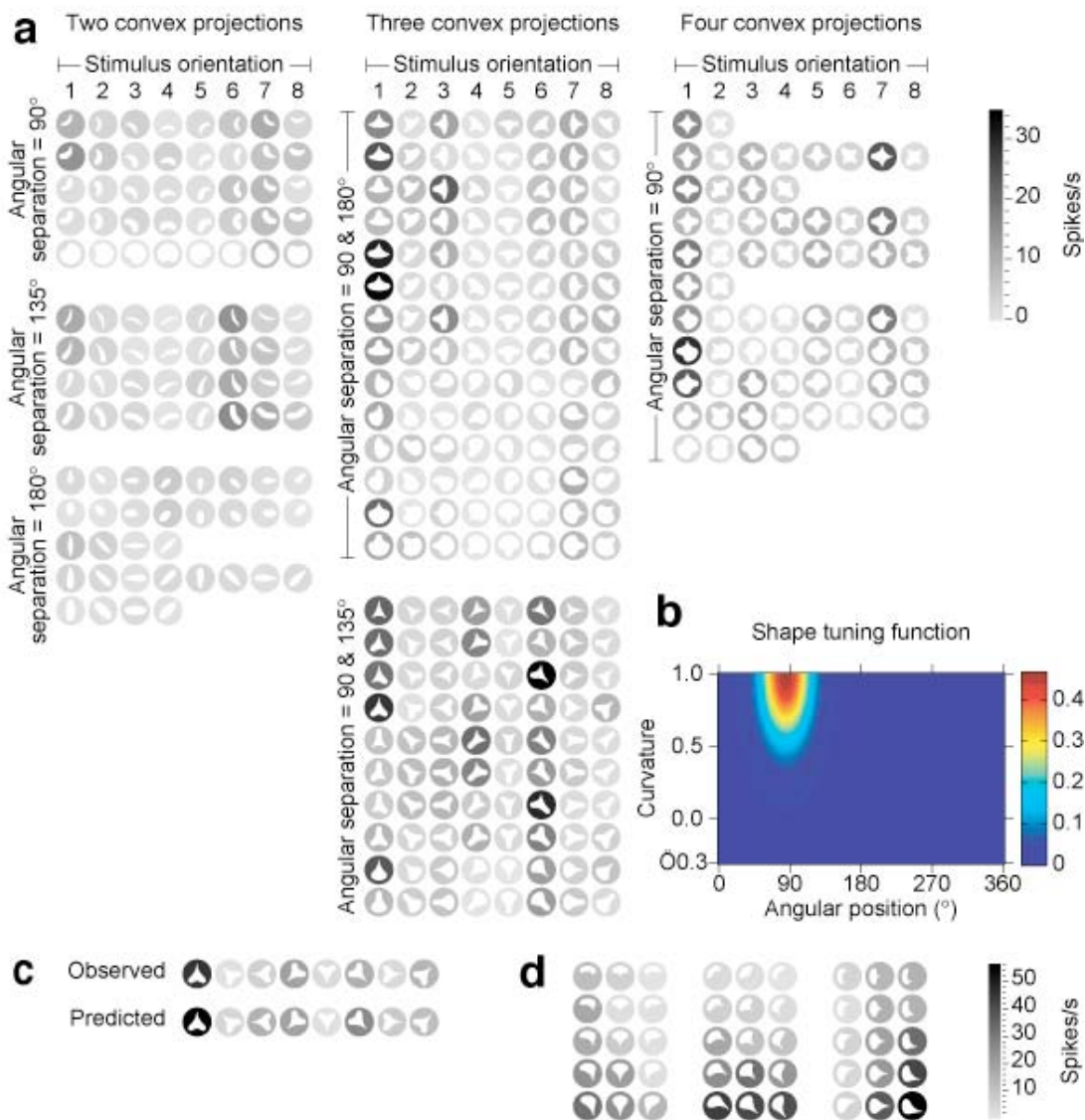
V4 neurons are tuned in this basis

Figure removed due to copyright restrictions. Please see the video.  
Source: "Shapes Dimensions and Object Primitives" from Chalupa,  
Leo M., and John Simon Werner. The visual neurosciences. [Vol. 2].  
MIT Press, 2004. Harvard.



# What shape features drive V4 responses?

Adapted from C.E. Connor



Make a basis for shapes:  
 each shape = set of curved elements  
 each element = (ang position, curvature)

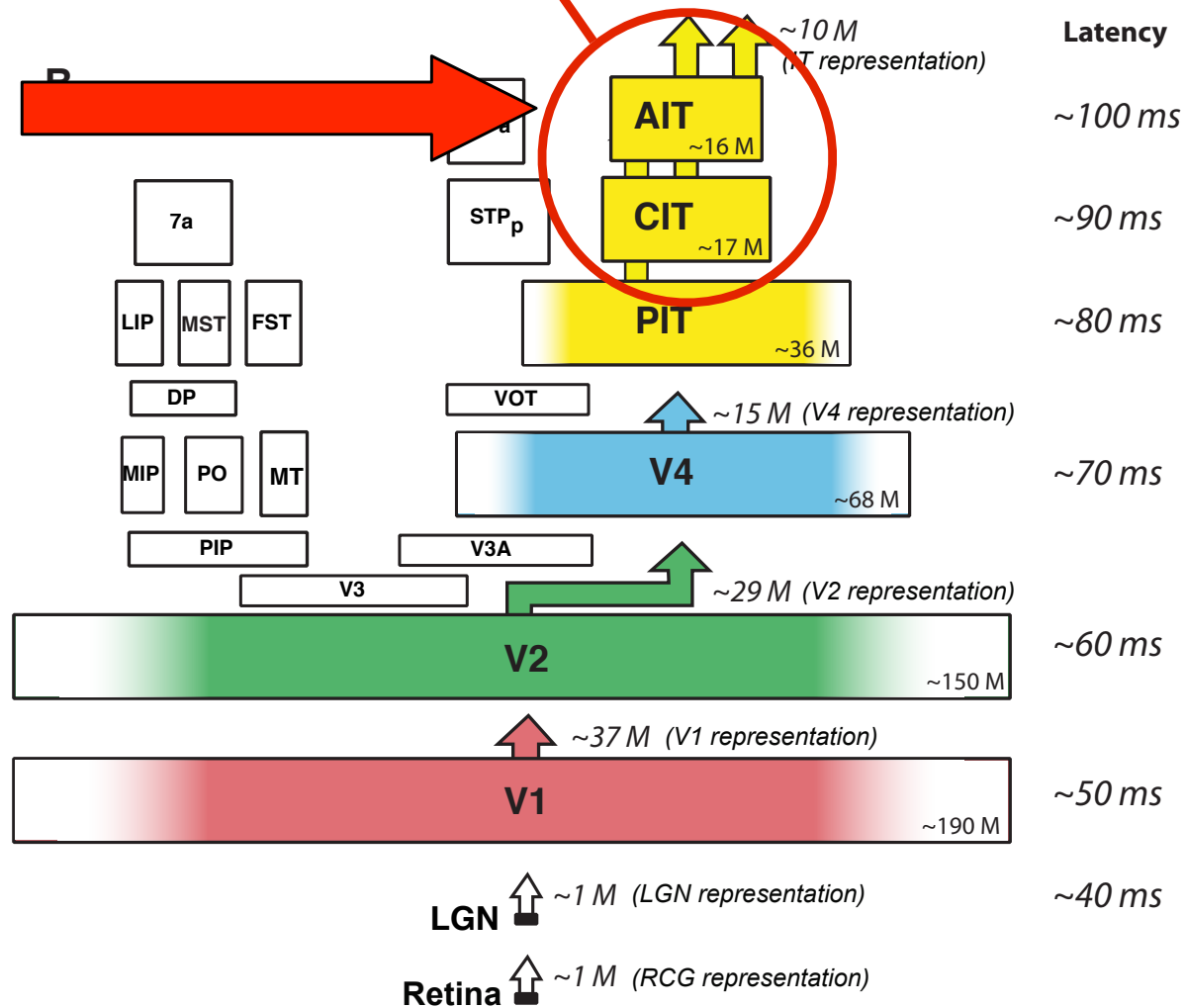
Hypothesis:  
 V4 neurons are tuned in this basis

Experimental result:  
 Hypothesis explains ~50% of the explainable response variance

*Pasupathy and Connor (V4)*  
*Brincat and Connor (PIT)*

You are here.

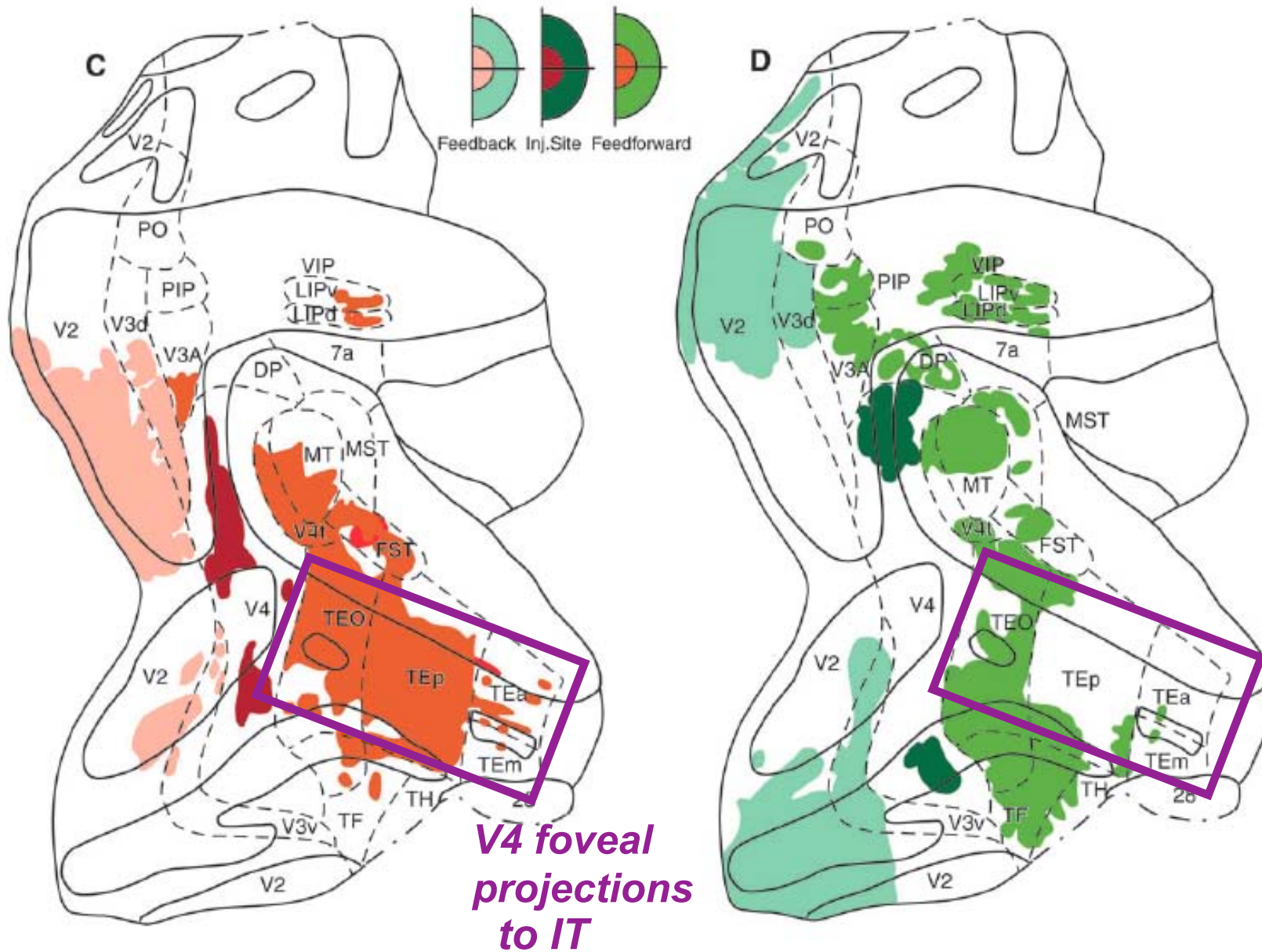
“IT” (Inferior temporal cortex)



Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.  
 Source: DiCarlo, James J., Davide Zoccolan, and Nicole C. Rust. "How does the brain solve visual object recognition?" Neuron 73, no. 3 (2012): 415-434.

Adapted from DiCarlo et al. 2012

# IT is about central vision



© Oxford University Press. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

Source: Ungerleider, Leslie G., Thelma W. Galkin, Robert Desimone, and Ricardo Gattass.

"Cortical connections of area V4 in the macaque." *Cerebral Cortex* 18, no. 3 (2008): 477-499.

# Stimulus selectivity in inferotemporal cortex

Gross, Rocha-Miranda & Bender 1972

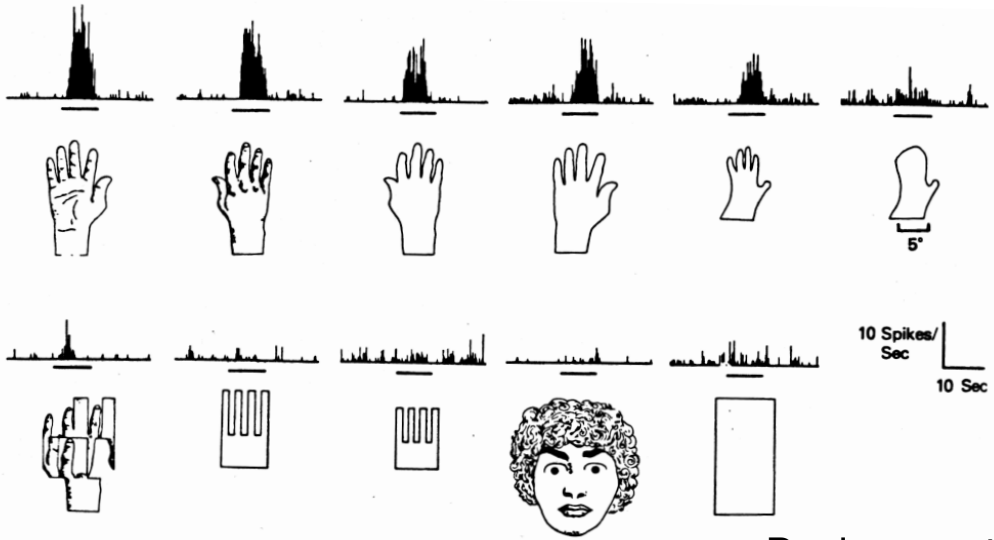
Figure removed due to copyright restrictions. Please see the video.

Source: Gross, Charles G., Carlos Eduardo de Rocha-Miranda, and David B. Bender. "Visual properties of neurons in inferotemporal cortex of the Macaque." *Journal of neurophysiology* 35, no. 1 (1972): 96-111.

*The use of [these] stimuli was begun one day when, having failed to drive a unit with any light stimulus, we waved a hand at the stimulus screen and elicited a very vigorous response from the previously unresponsive neuron...*

*We then spent the next 12 hr testing various paper cutouts in an attempt to find the trigger feature for this unit. When the entire set of stimuli used were ranked according to the strength of the response that they produced, we could not find a simple physical dimension that correlated with this rank order. However, the rank order of adequate stimuli did correlate with similarity (for us) to the shadow of a monkey hand" (Gross et al., 1972).*

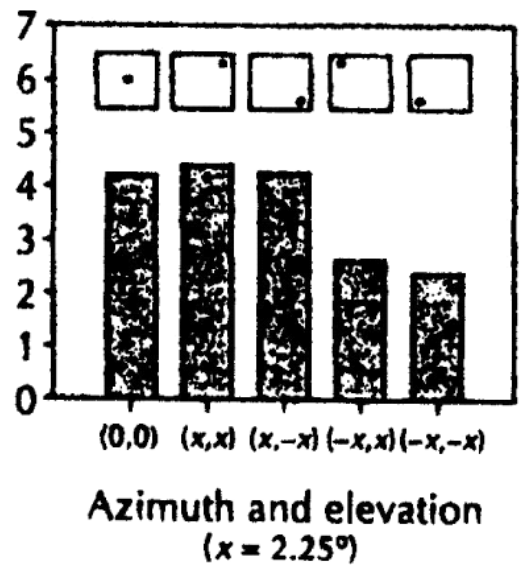
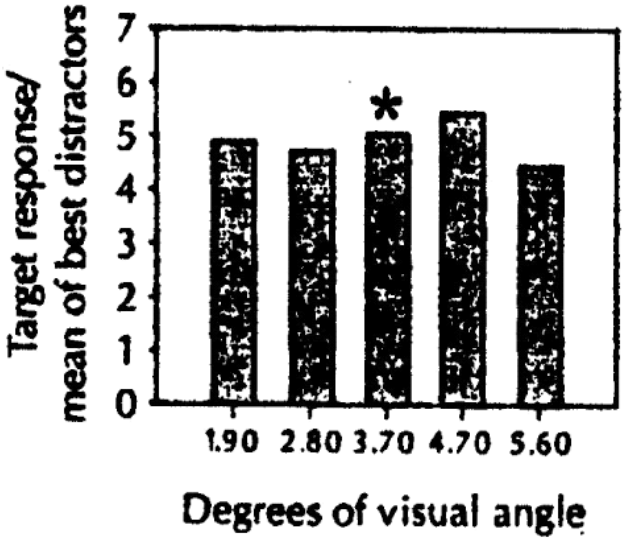
# The ventral stream and object recognition



Desimone et al. (1984)

IT neurons can be tuned to specific combinations of features (high “selectivity”)

Courtesy of Society for Neuroscience. License CC BY NC SA.  
 Source: Desimone, Robert, Thomas D. Albright, Charles G. Gross, and Charles Bruce. "Stimulus-selective properties of inferior temporal neurons in the macaque." *Journal of Neuroscience* 4, no. 8 (1984): 2051-2062.



That selectivity is tolerant to changes in position and size

Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.  
 Source: Castiello, Umberto. "Mechanisms of selection for the control of hand action." *Trends in Cognitive Sciences* 3, no. 7 (1999): 264-271. *Logothetis et al. (1995)*

# Primary visual cortex:

Orientation  
selectivity

Figure removed due to copyright restrictions. Please see the video.  
Source: Eye, Brain, and Vision. David H. Hubel. New York : Scientific American  
Library: Distributed by W.H. Freeman, c1988. ISBN: 0716750201.

Orientation  
selectivity with  
some position  
tolerance

# What stimulus feature are IT neurons actually “tuned” to?

Figure removed due to copyright restrictions. Please see the video.  
Source: Tanaka, Keiji. "Neuronal mechanisms of object recognition."  
Science-New York Then Washington 262 (1993): 685-685.

Figure removed due to copyright restrictions. Please see the video.  
Source: Tanaka, Keiji. "Columns for complex visual object features in  
the inferotemporal cortex: Clustering of cells with similar but slightly  
different stimulus selectivities." Cerebral cortex 13, no. 1 (2003): 90-99.  
doi: 10.1093/cercor/13.1.90.

# IT has spatial organization at 500 um - 1 mm scale

Figure removed due to copyright restrictions. Please see the video.

Source: Tanaka, Keiji. "Columns for complex visual object features in the inferotemporal cortex: Clustering of cells with similar but slightly different stimulus selectivities." *Cerebral cortex* 13, no. 1 (2003): 90-99.

Figure removed due to copyright restrictions. Please see the video.

Source: Tanaka, Keiji. "Columns for complex visual object features in the inferotemporal cortex: Clustering of cells with similar but slightly different stimulus selectivities." *Cerebral cortex* 13, no. 1 (2003): 90-99. doi: 10.1093/cercor/13.1.90.



# Larger scale (2-6 mm) organization for some image contrasts

ML

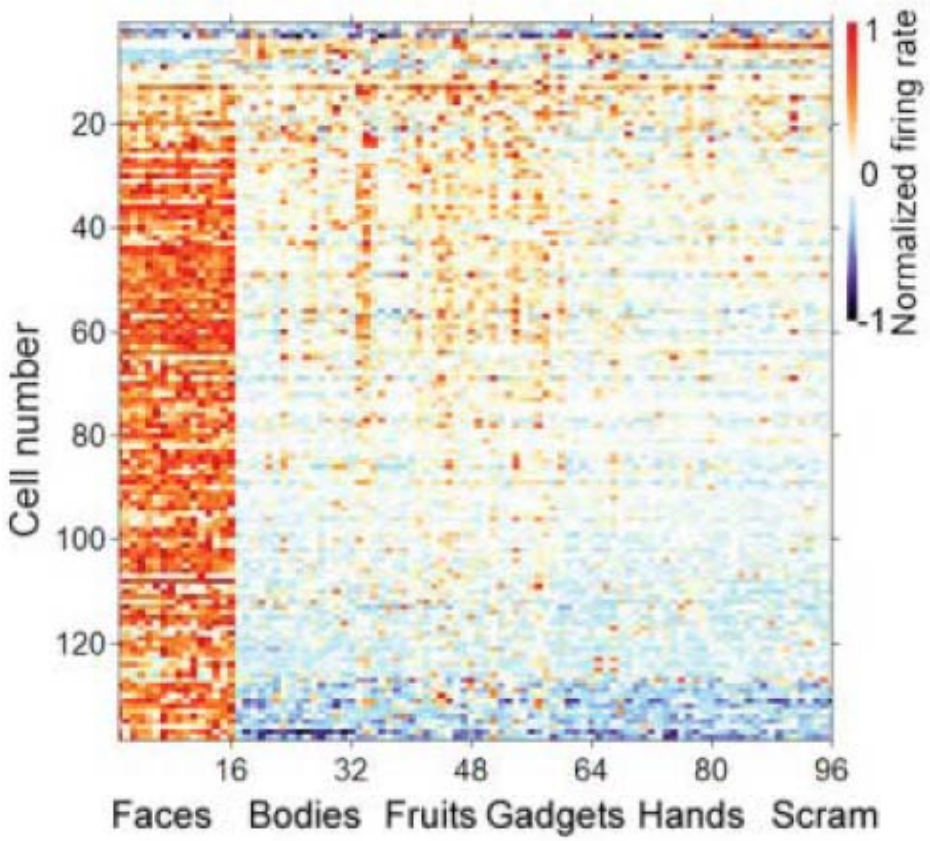


Figure removed due to copyright restrictions. Please see the video.

© AAAS. All rights reserved. This content is excluded from our Creative Commons license. For more information see <https://ocw.mit.edu/help/faq-fair-use/>.  
Source: Tsao, Doris Y., Winrich A. Freiwald, Roger BH Tootell, and Margaret S. Livingstone. "A cortical region consisting entirely of face-selective cells." *Science* 311, no. 5761 (2006): 670-674.

Tsao, Freiwald, and Livingstone used fMRI to reveal a set of face selective regions in IT (aka "face patches")

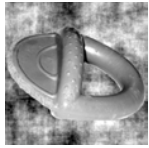
Most of the single neurons in these regions showed a preference for frontal faces

Tsao et al., *Science* 2006

# IT selectivity is particularly clustered for some image contrasts

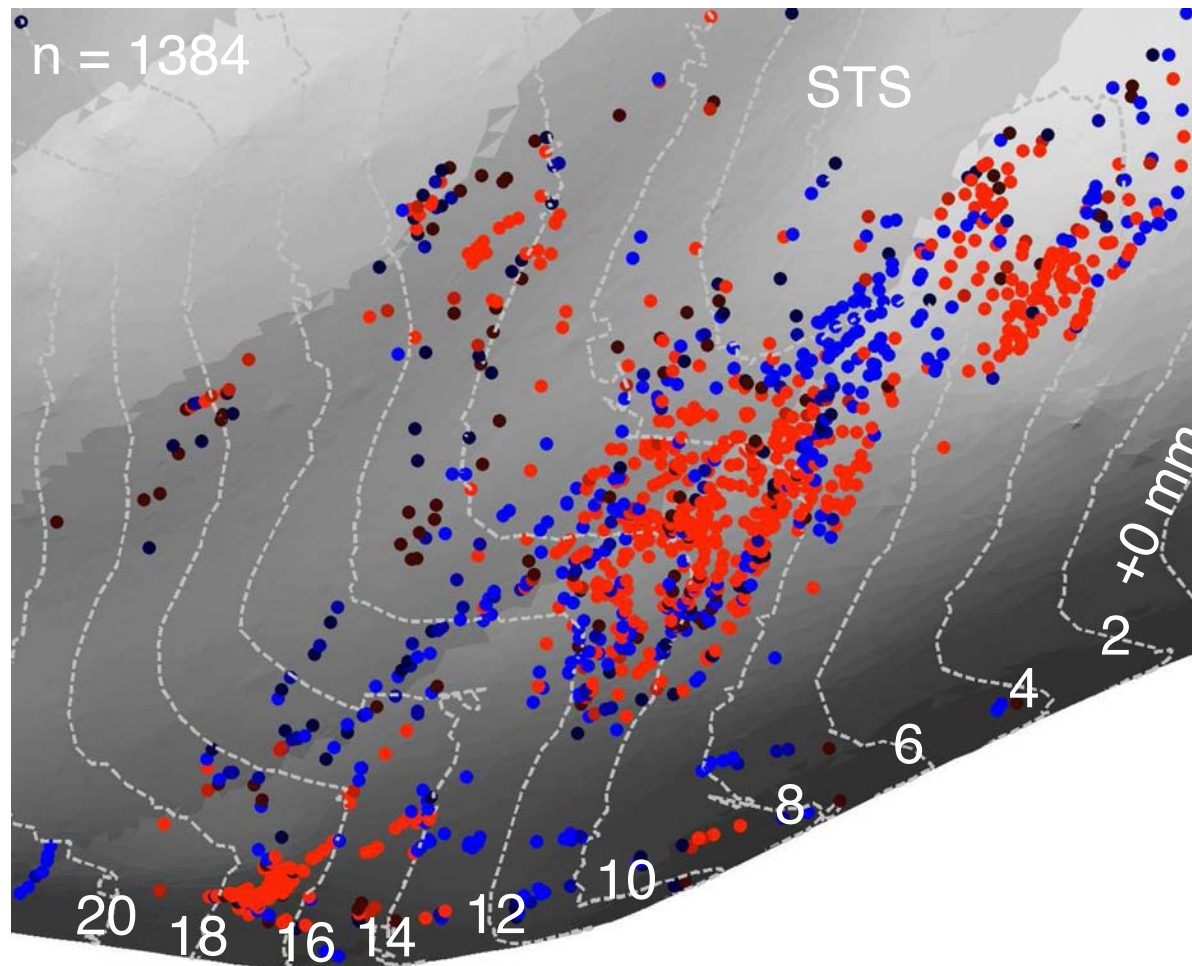
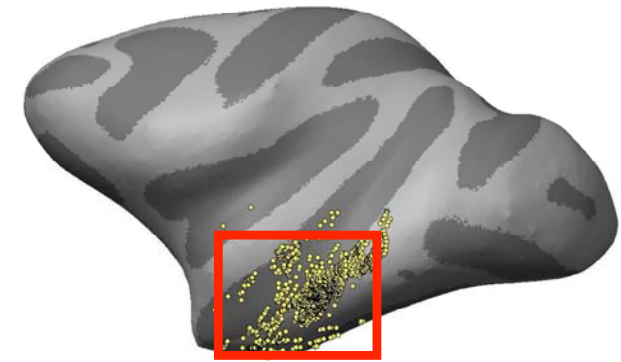


vs



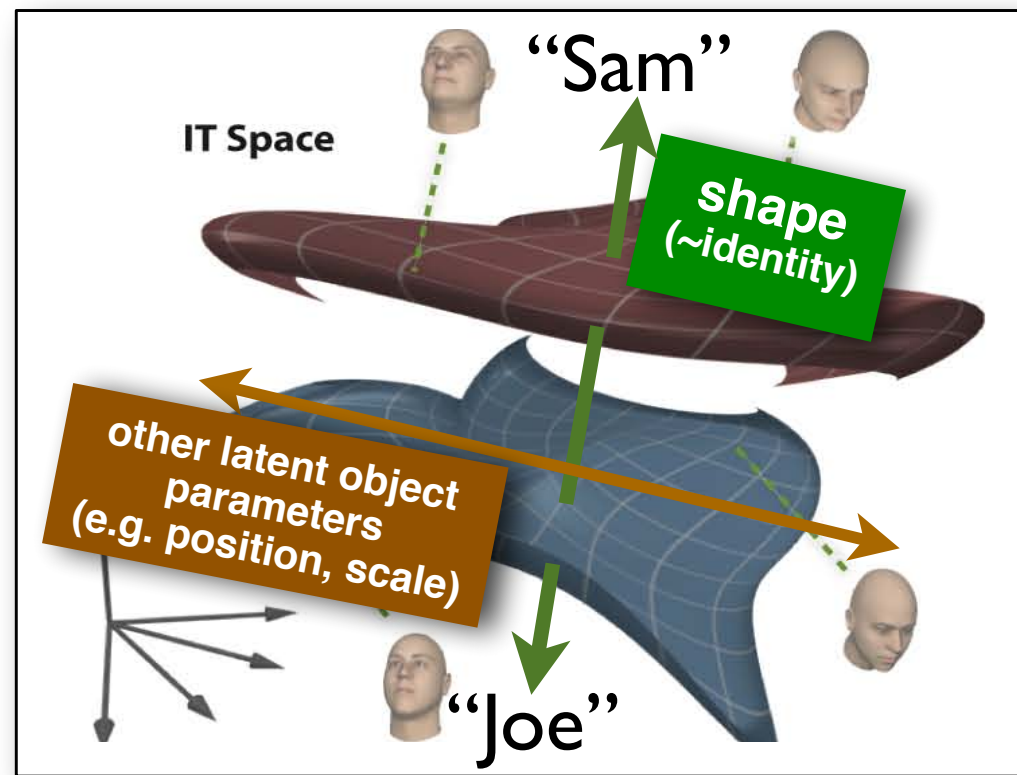
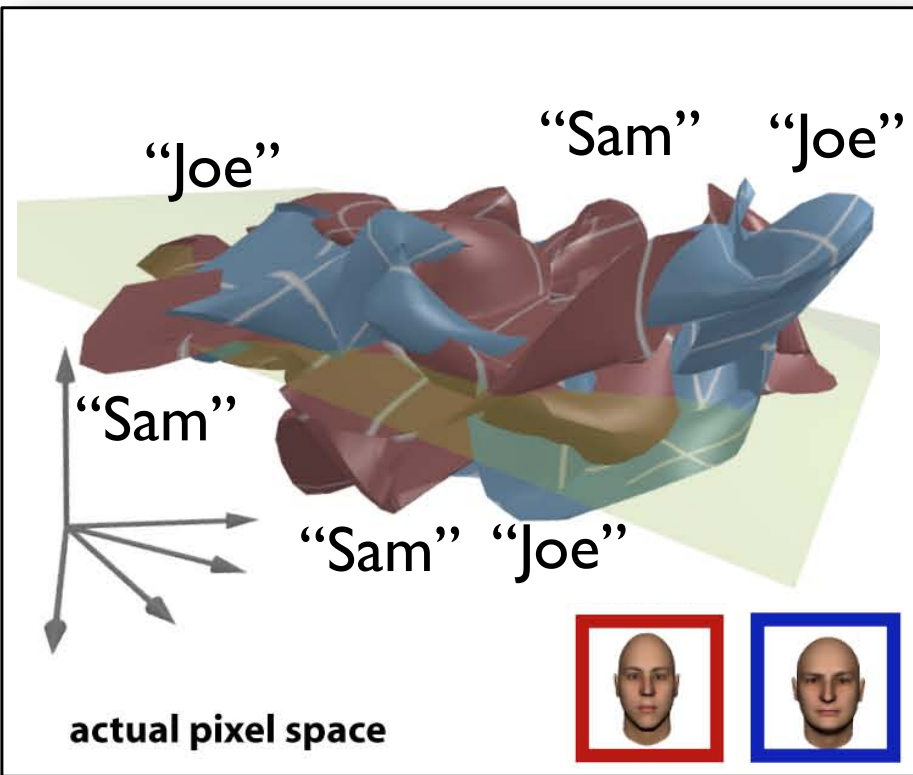
face objects  
non-face objects

## MUA



Courtesy of Journal of Neuroscience. License CC BY NC SA.  
Source: Issa, Elias B., Alex M. Papanastassiou, and James J. DiCarlo.  
"Large-scale, high-resolution neurophysiological maps underlying fMRI  
of macaque temporal lobe." *Journal of Neuroscience* 33, no. 38 (2013):  
15207-15219.

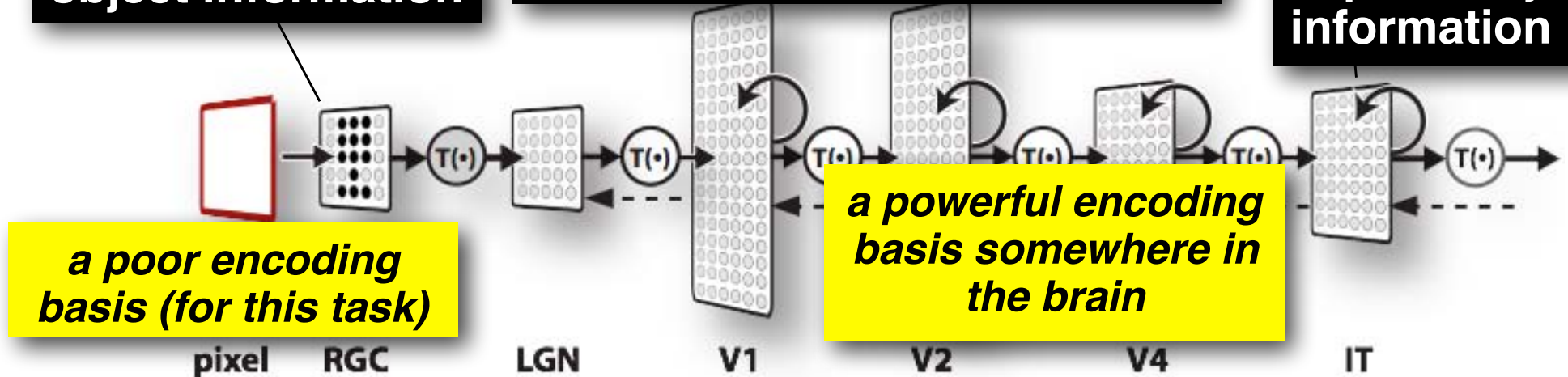
Issa et al., *J Neurosci* 2013  
Aparacio\*, Issa\*, DiCarlo (*In prep*)



**Tangled, implicit object information**

**Transformation** →

**Untangled, explicit object information**



Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.

Source: DiCarlo, James J., and David D. Cox. "Untangling invariant object recognition." *Trends in cognitive sciences* 11, no. 8 (2007): 333-341.

# Example spiking activity in IT

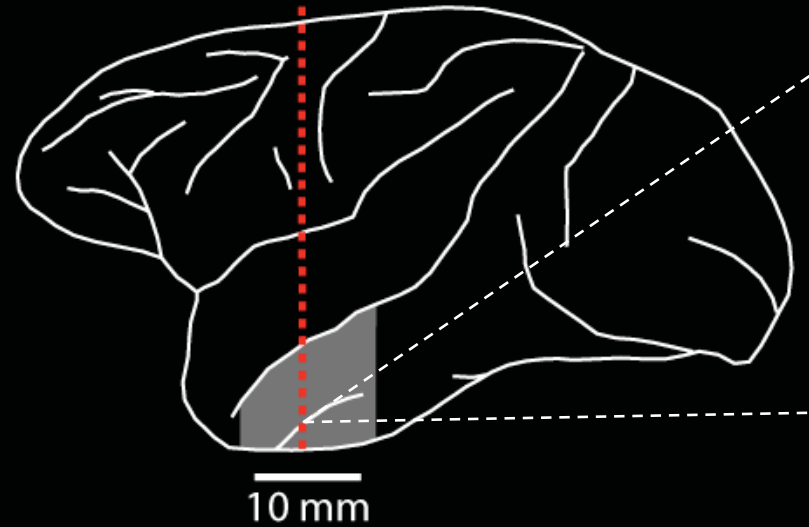
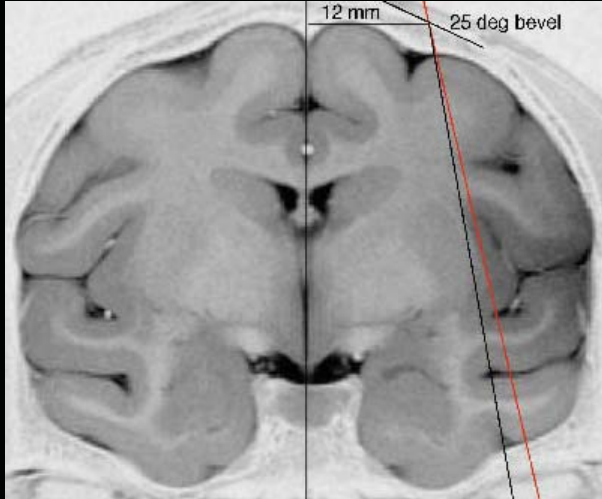


Figure removed due to copyright restrictions. Please see the video. Source: Eye, Brain, and Vision. David H. Hubel. New York: Scientific by W.H. Freeman, c1988. ISBN: 0716750201.

© Source Unknown. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

© Source Unknown. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.



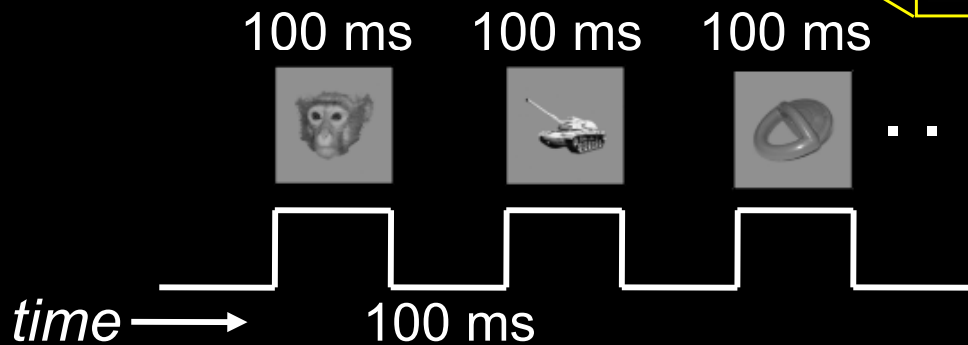
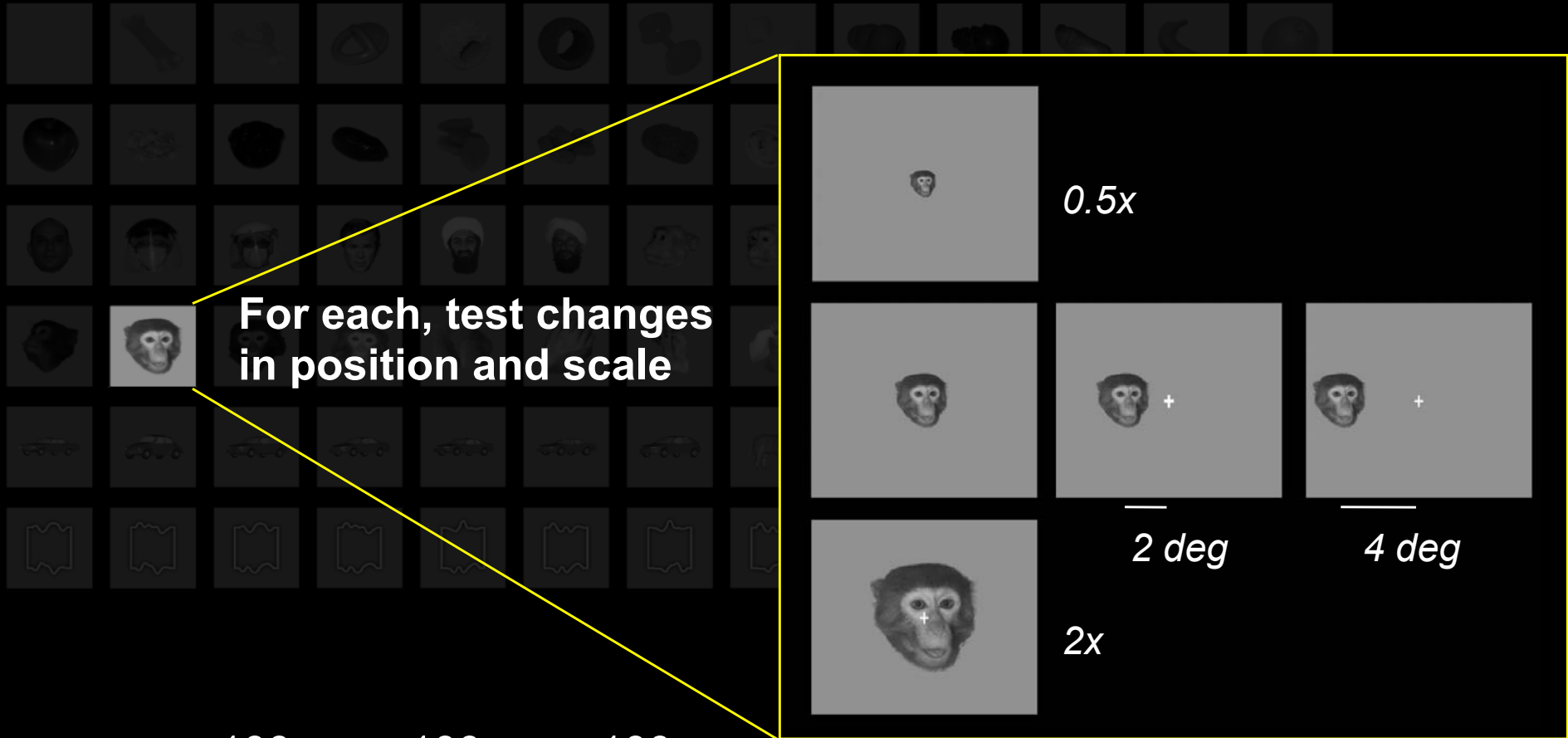
Site 1

© AAAS. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>. Source: Hung, Chou P., Gabriel Kreiman, Tomaso Poggio, and James J. DiCarlo. "Fast readout of object identity from macaque inferior temporal cortex." *Science* 310, no. 5749 (2005): 863-866.



# An early test of the IT population

A broad set of 78 test objects from eight categories ...



- *fixation task*
- *15 images per trial*
- *10 repetitions per image*
- *randomized and counter-balanced*

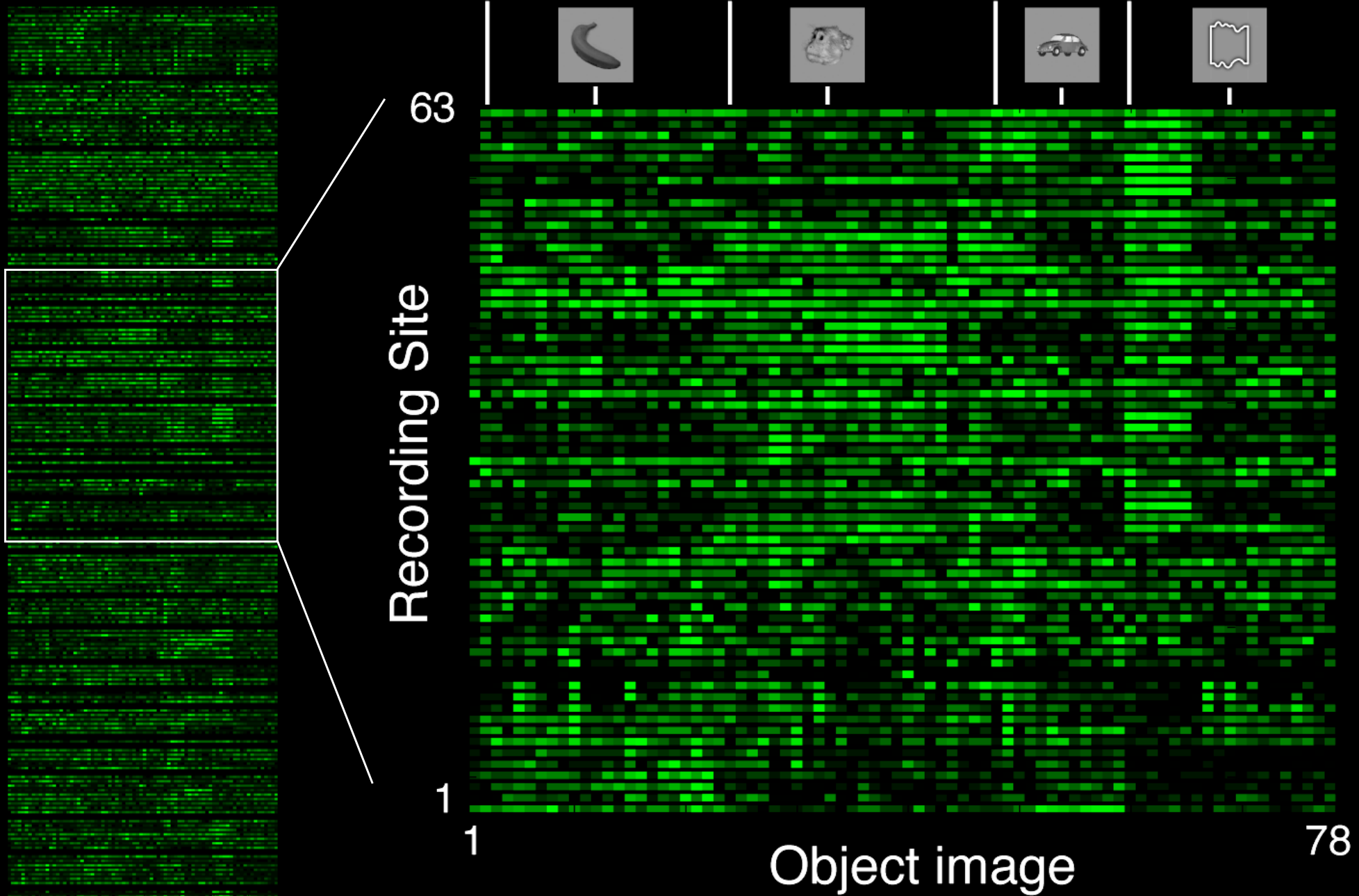
**Hung\*, Kreiman\*, Poggio and DiCarlo, *Science* (2005)**

© AAAS. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

Source: Hung, Chou P., Gabriel Kreiman, Tomaso Poggio, and James J. DiCarlo. "Fast readout of object identity from macaque inferior temporal cortex." *Science* 310, no. 5749 (2005): 863-866.

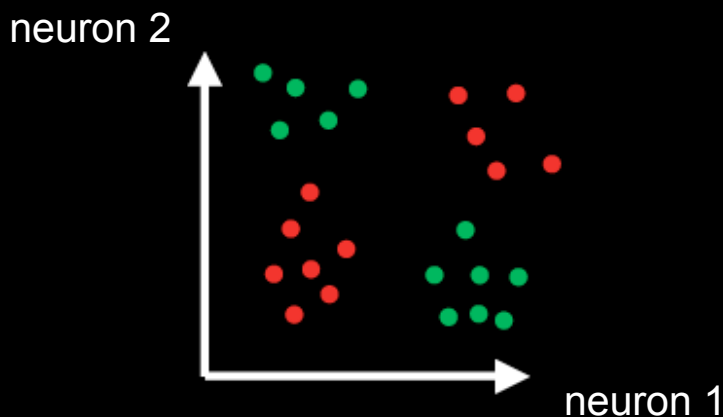
# The “mean” IT population

( $n \sim 350$  IT sites)



# How do we test if the population image is “good”?

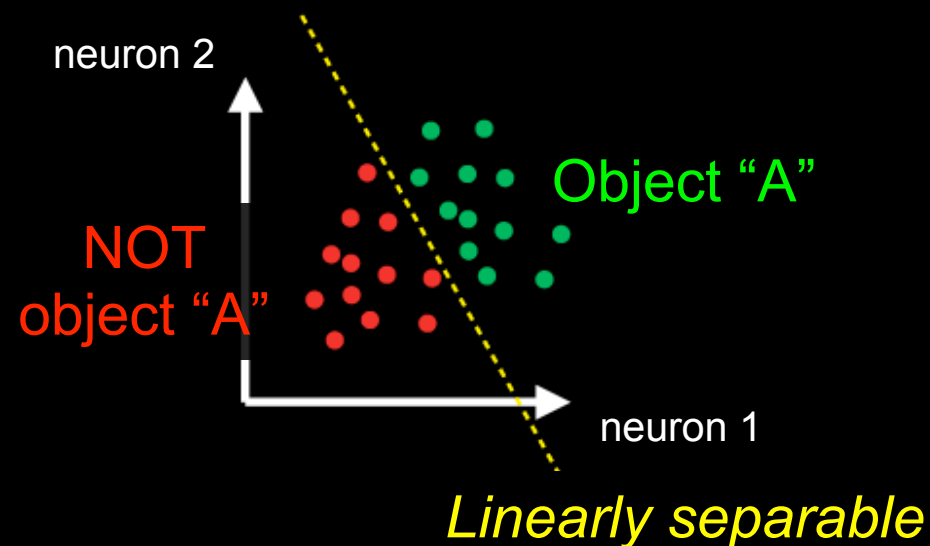
## Implicit representation



**“inaccessible”**  
object information

**BAD**

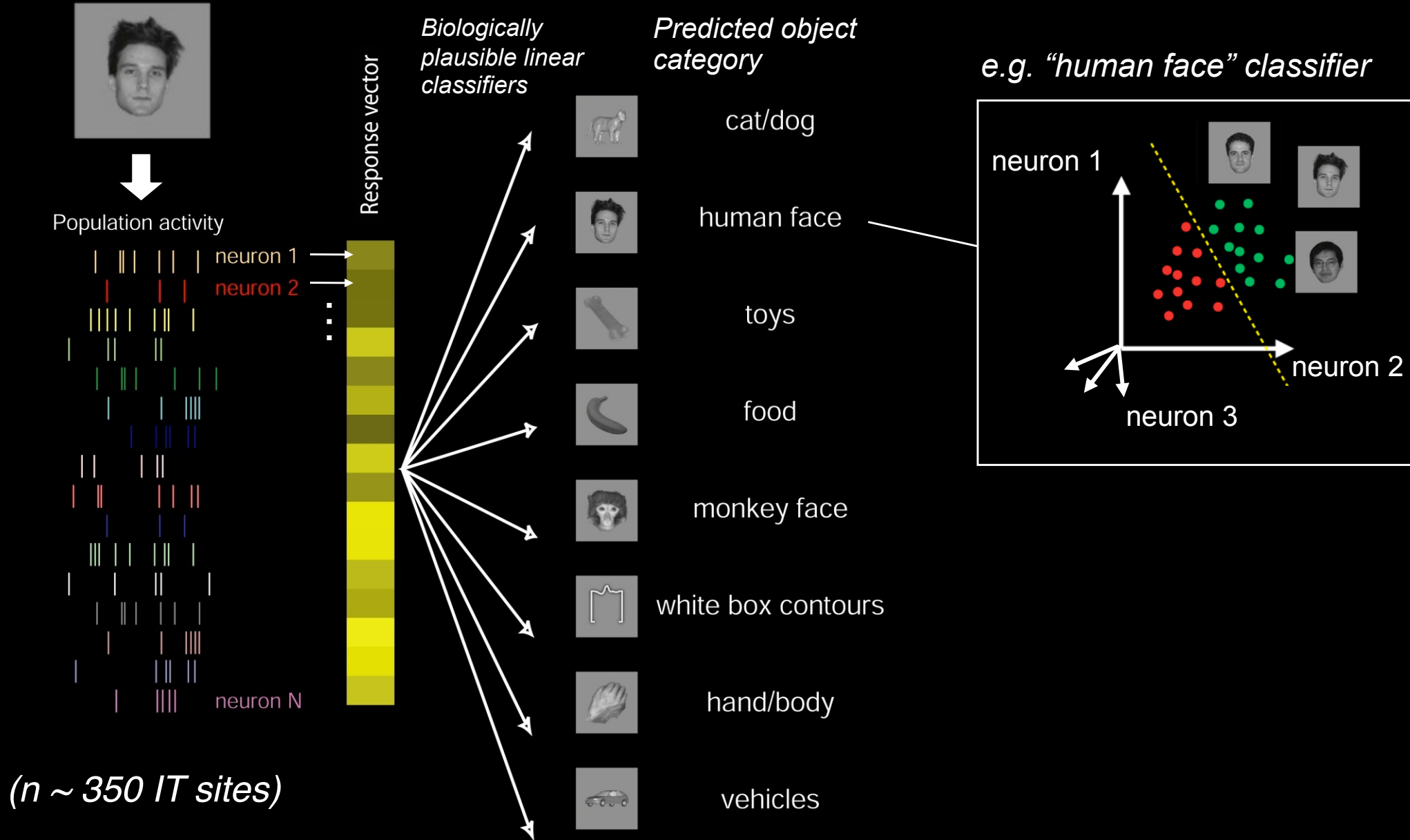
## Explicit representation



**“accessible”**  
object information

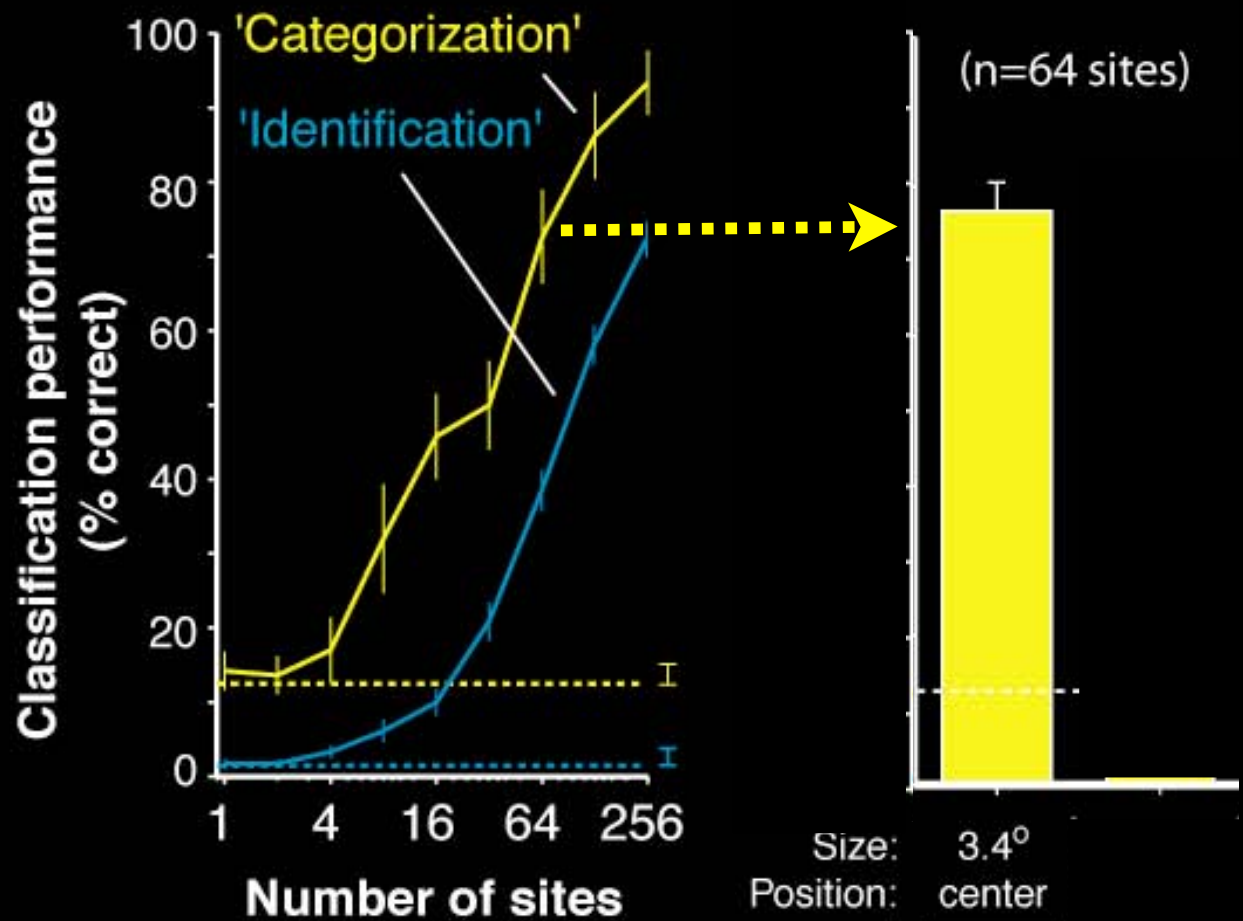
**GOOD**

# How explicit (“good”) is object information in IT?





# Explicit object information in IT ?

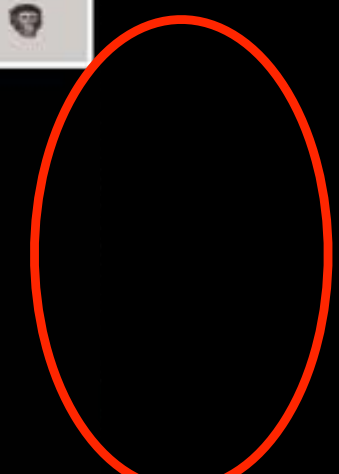


*Does not work in earlier visual areas  
e.g. V1 vs. IT or V4 vs. IT*

- *Consistent with other IT work  
(e.g. Rolls, Tanaka, Miyashita, Yamane, Sugase, Logothetis, Vogels, Connor, ...)*

**Rapid, explicit object representation in IT**

Size:  $3.4^\circ$   
Position: center  
TRAIN {  
TEST {



**Summary so far:**

**the problem of visual object recognition**

**a tour of the ventral stream**

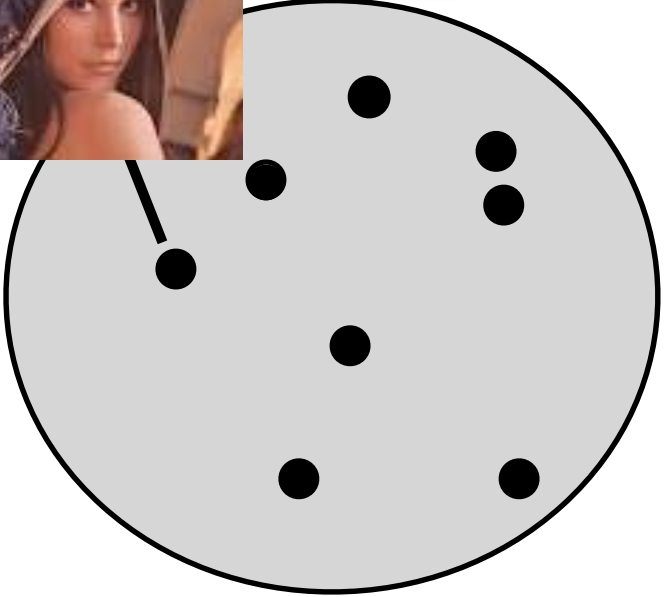
**IT population seems to have solved a key problem**

**Over the last 40 years, we (the field) have largely described important phenomenology**

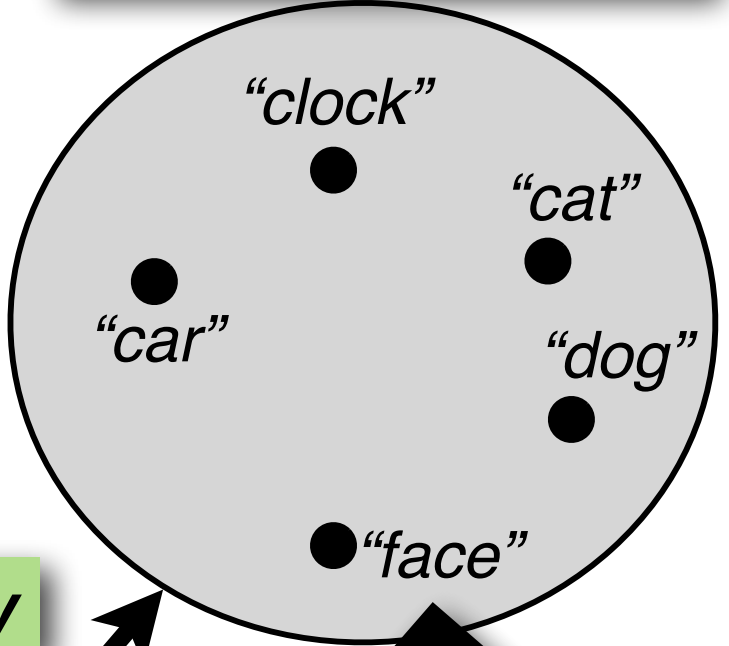
**Next phase of this field: developing and testing predictive models**



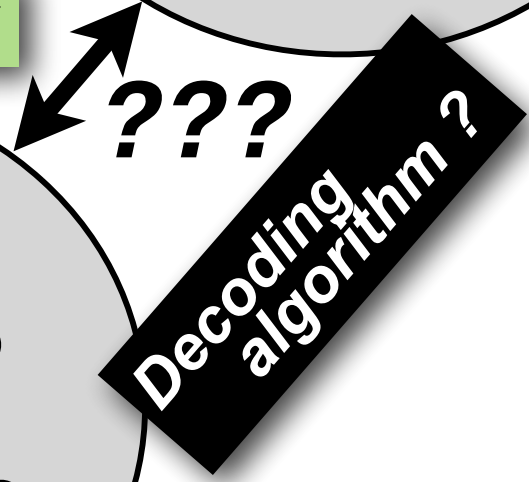
**Images**



**Behavioral reports / perception (“mind”)**



**Neural activity**



*e.g. spiking pattern of a neural population*

**“Neural representation”**

**Goal is accurate predictivity**

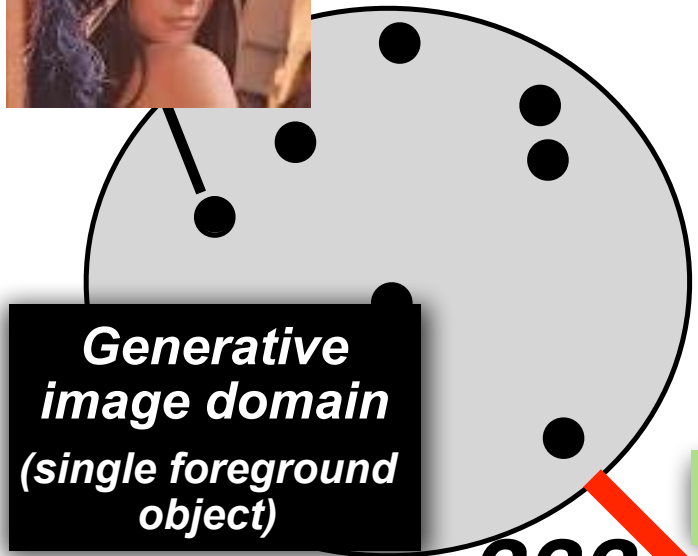
(Domain: core object recognition)

# Goal: end-to-end understanding

1. Can we infer the precise **decoding** mechanism(s) that the brain uses to support perceptual reports about visually presented objects?
2. Can we infer the **encoding** mechanism(s) that accurately predicts the **relevant** ventral stream population patterns of neural activity from each image?



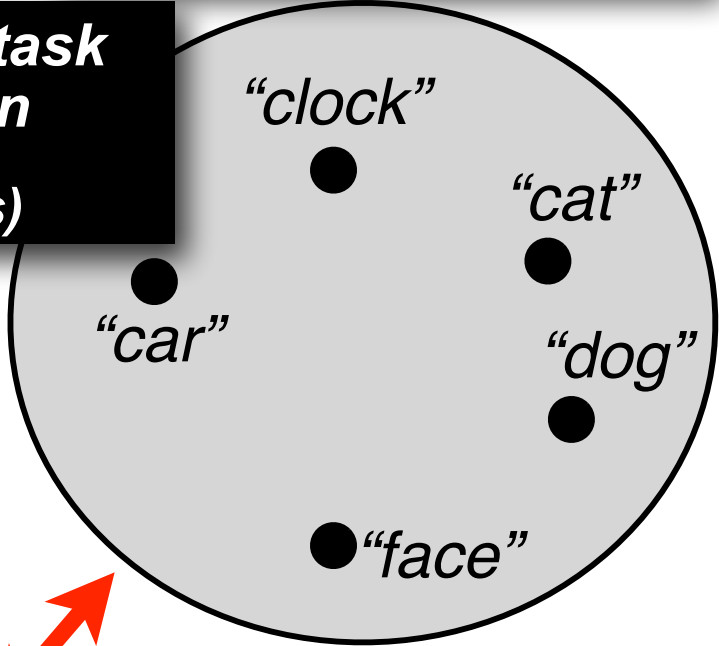
**Images**



**Generative image domain (single foreground object)**

**Specific task domain (nouns)**

**Behavioral reports ("perception")**

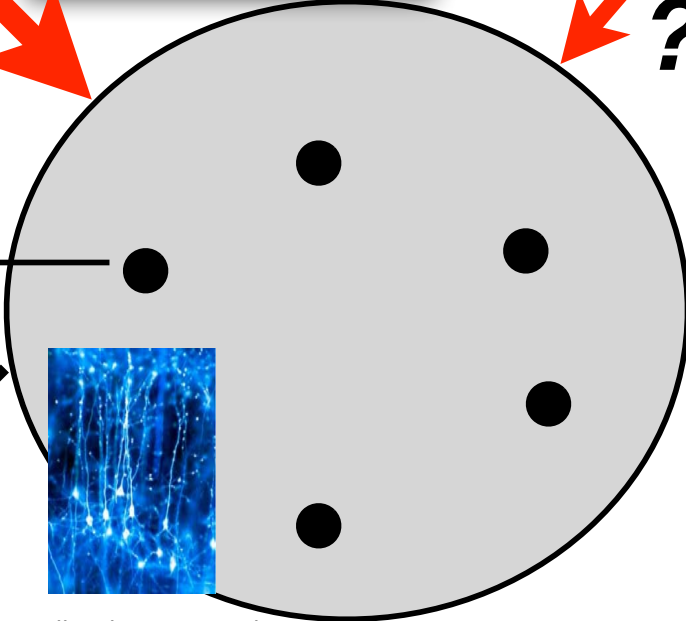


**Neural activity**

???

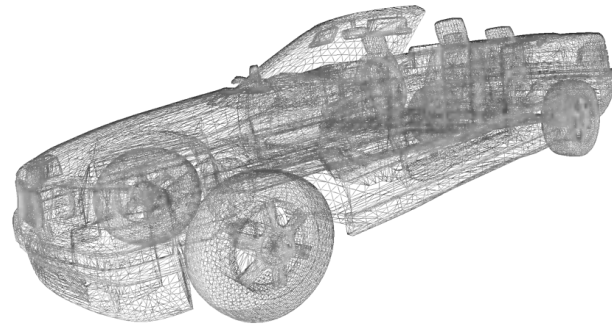
???

*a specific spiking pattern over the IT neural population in response to a specific image*

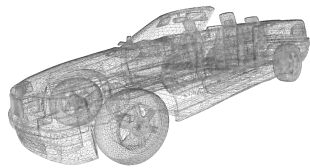


**"IT Neural representation"**

# 3-d object Models (e.g. “car”)



# experimenter-chosen view parameters



+

Position  
Size  
Pose

# ray-trace render





place on a randomly-chosen  
background image





- generative space of images, each with a single foreground object and experimenter-known viewing parameters.
- uncorrelated, new background every image  
==> challenging for computer vision, doable by humans

8 deg image at center of gaze, 100 ms viewing time



One example core object recognition test:

**“face”**



⋮  
n>100

**not “face”**



⋮  
n>700

Another example core object recognition test:

## “Beetle”



⋮  
n>100

## Not “Beetle”



⋮  
n>700

(Domain: core object recognition)

# Goal: end-to-end understanding

1. Can we infer the **decoding** mechanism(s) that the brain uses to support perceptual reports about visually presented objects?

Note: this must **predict** behavioral report and it must include a falsifiable statement of the **relevant** aspects of neural activity (aka “neural code”)

2. Can we infer the **encoding** mechanism(s) that accurately **predicts** the **relevant** ventral stream population patterns of neural activity from each image?

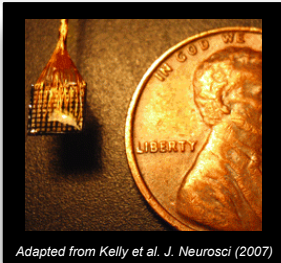
(Domain: core object recognition)

# Goal: end-to-end understanding

1. Can we infer the **decoding** mechanism(s) that the brain uses to support perceptual reports about visually presented objects?

Note: this must **predict** behavioral report and it must include a falsifiable statement of the **relevant** aspects of neural activity (aka “neural code”)

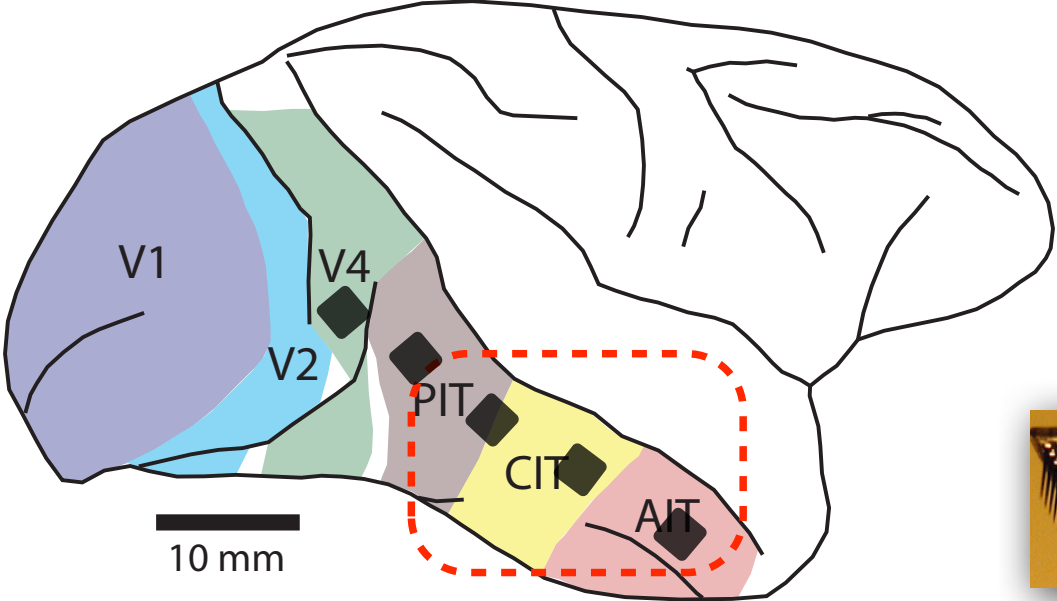
# Simultaneous recording of hundreds of neural sites along the ventral stream



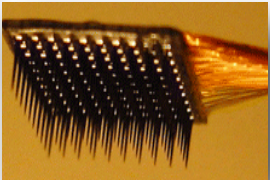
Adapted from Kelly et al. J. Neurosci (2007)

## Three, 96-electrode arrays

Courtesy of Society for Neuroscience.  
License CC BY-NC-SA.  
Source: Kelly, Ryan C., Matthew A. Smith, Jason M. Samonds, Adam Kohn, A. B. Bonds, J. Anthony Movshon, and Tai Sing Lee. "Comparison of recordings from microelectrode arrays and single electrodes in the visual cortex." *Journal of Neuroscience* 27, no. 2 (2007): 261-264.



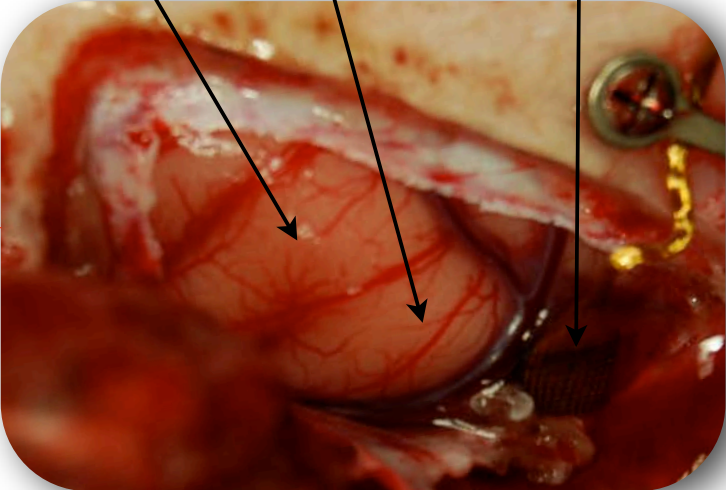
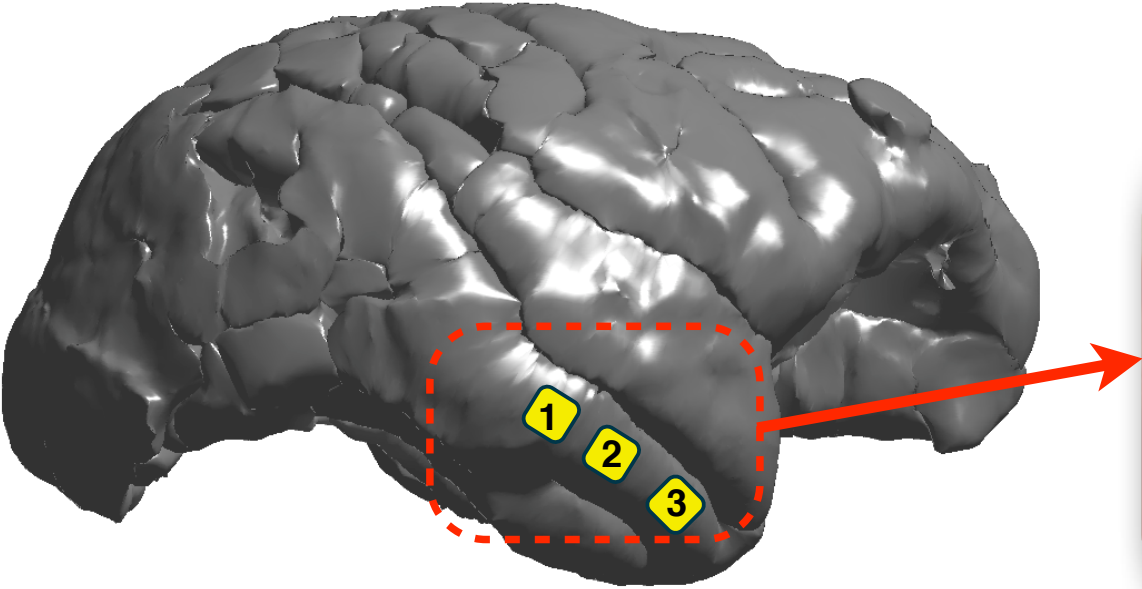
Array 1 location



Array 2 location

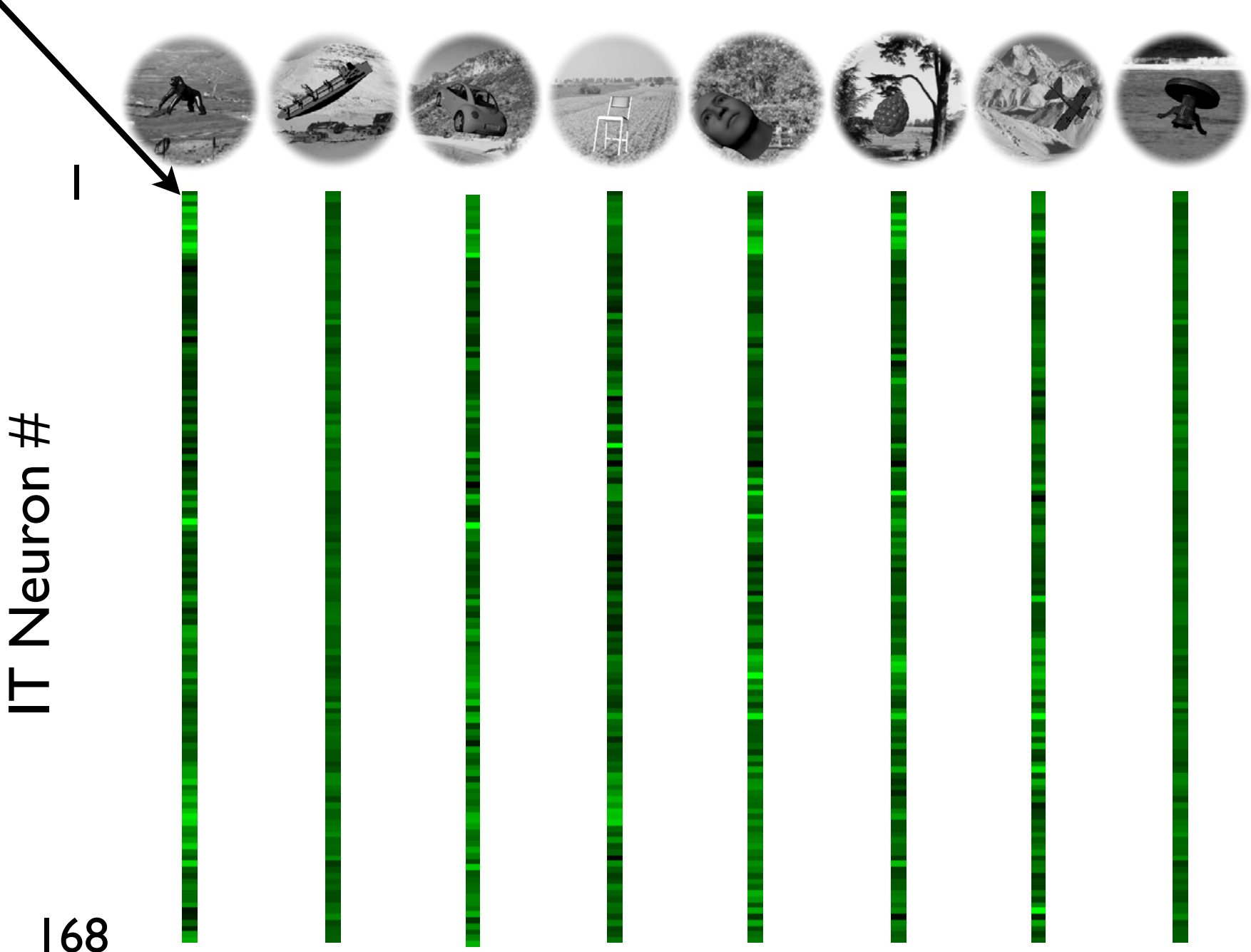


Array 3 (in place)

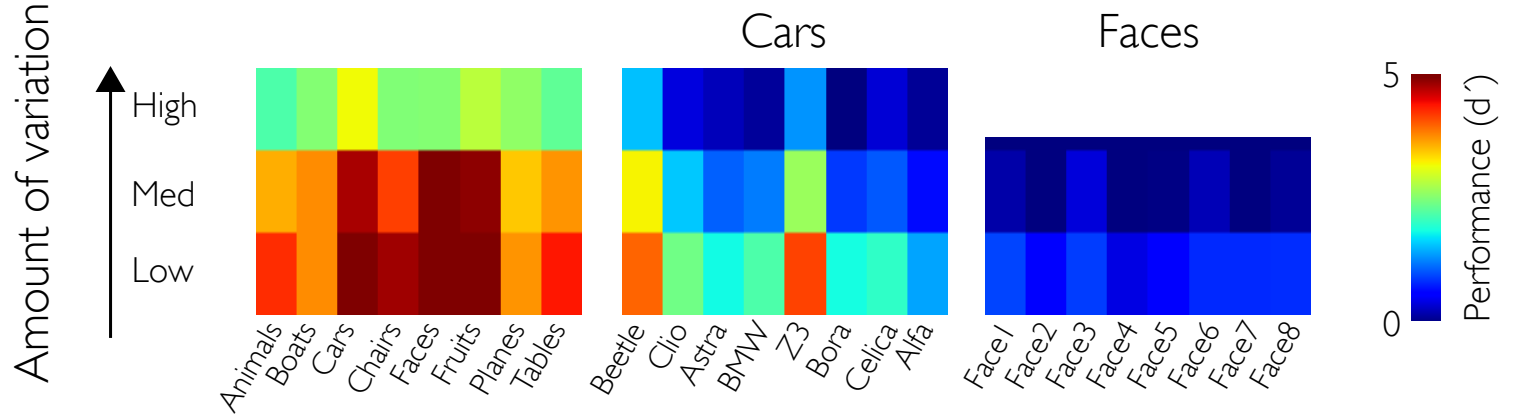




e.g. "response" = mean firing rate 70-170 ms after image onset



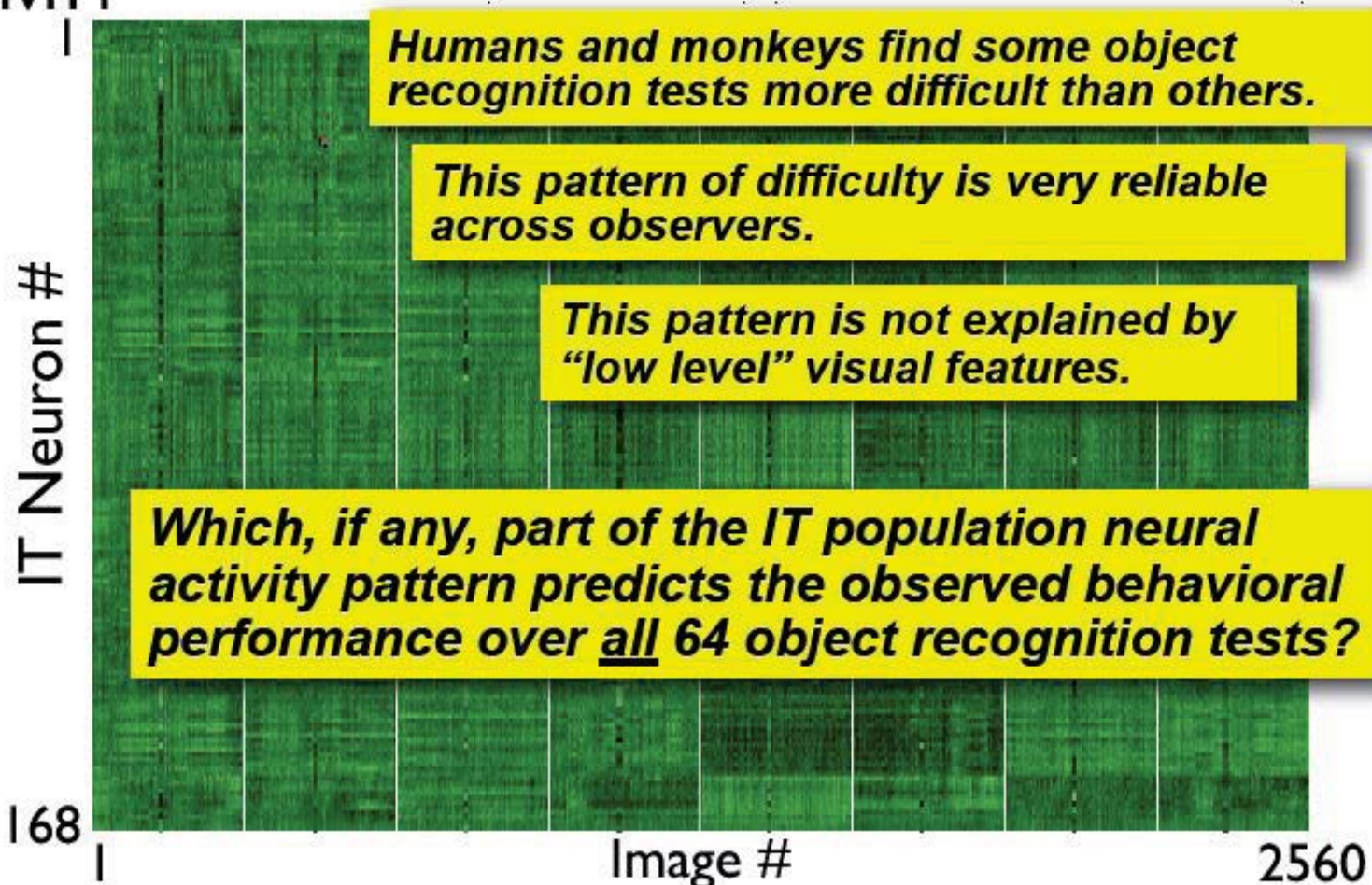
# BEHAVIOR (64 object recognition tests using same images)



Courtesy of Society for Neuroscience. License CC BY NC SA.

Source: Majaj, Najib J., Ha Hong, Ethan A. Solomon, and James J. DiCarlo. "Simple learned weighted sums of inferior temporal neuronal firing rates accurately predict human core object recognition performance." *Journal of Neuroscience* 35, no. 39 (2015): 13402-13418.

# NEURAL ACTIVITY



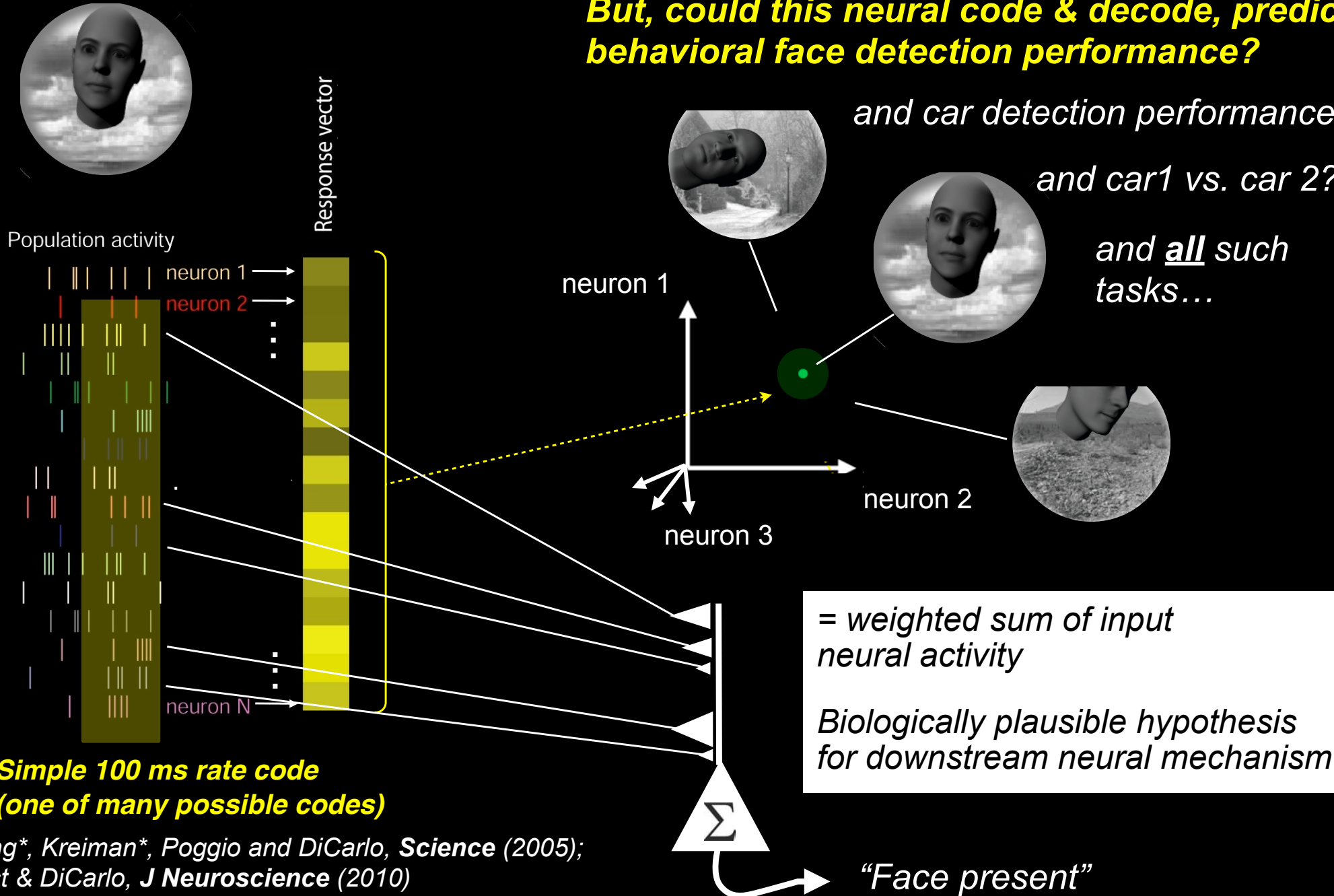
# We had previously shown that simple weighted sums of IT population responses have high performance in recognition tasks

**But, could this neural code & decode, predict behavioral face detection performance?**

*and car detection performance?*

*and car1 vs. car 2?*

*and all such tasks...*



**Simple 100 ms rate code  
(one of many possible codes)**

Hung\*, Kreiman\*, Poggio and DiCarlo, *Science* (2005);  
Rust & DiCarlo, *J Neuroscience* (2010)

Courtesy of Society for Neuroscience. License CC BY NC SA.

Source: Majaj, Najib J., Ha Hong, Ethan A. Solomon, and James J. DiCarlo. "Simple learned weighted sums of inferior temporal neuronal firing rates accurately predict human core object recognition performance." *Journal of Neuroscience* 35, no. 39 (2015): 13402-13418; DOI: <https://doi.org/10.1523/JNEUROSCI.5181-14.2015>.

# What code & decoding mechanism explains object recognition?

## Our working hypothesis from previous work:

Passively-evoked spike rate codes (using a single, fixed time scale) that are spatially distributed over a single, fixed number of non-human primate IT cortex neurons and learned from a reasonable number of examples.

If correct, this code/decode should predict monkey and human reports about object category and object identity for all tasks.

## Other possibilities:

Attentional and/or arousal mechanisms are needed to “activate” IT

Trial-by-trial coordinated spike timing patterns are crucial

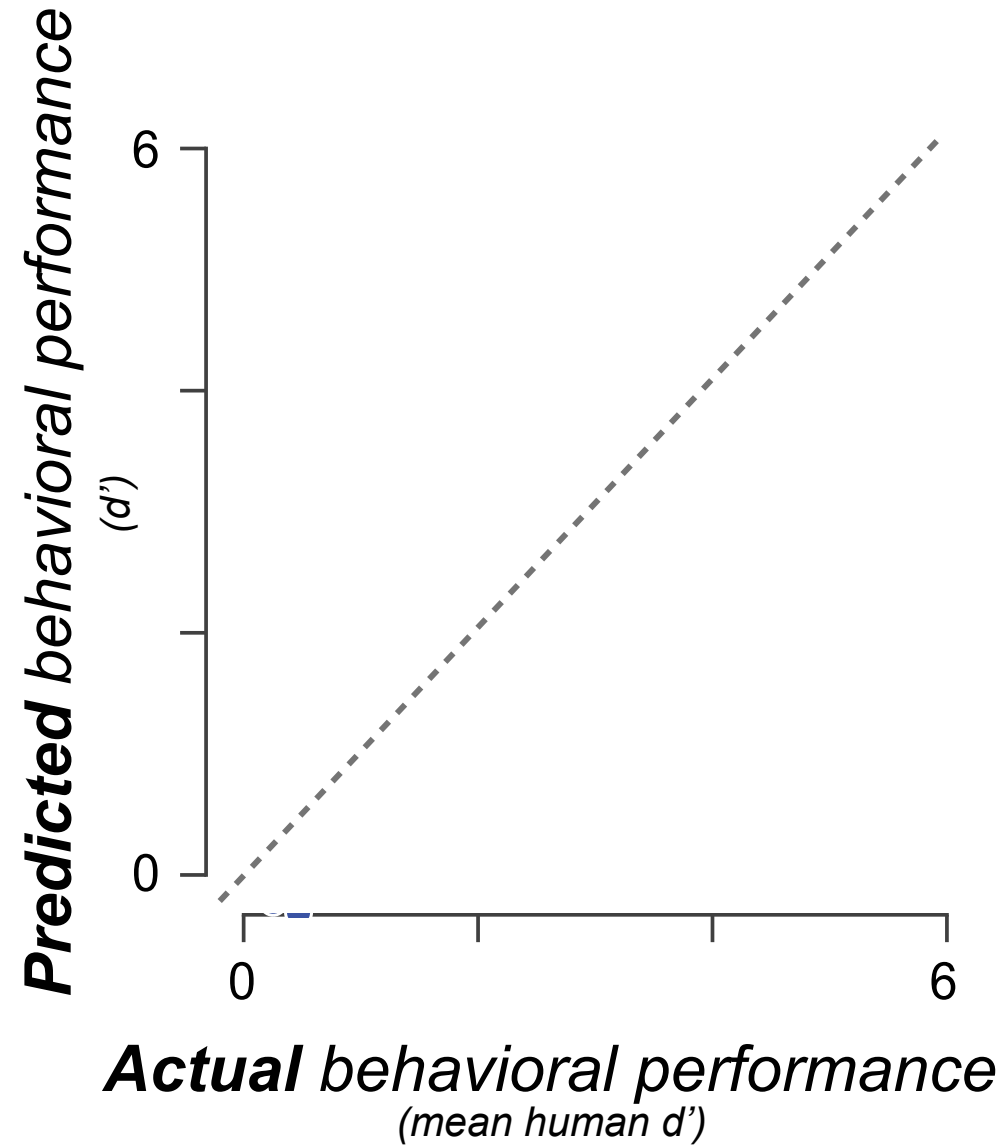
Compartments within IT must be carefully considered (e.g. tasks related to faces handled exclusively by “face patch” network)

IT does not directly underlie object recognition

Performance requires too many training examples

Monkey neuronal codes cannot explain human behavior

**Our first decoder (based on previous work), with number of neurons chosen (once) to match human performance**



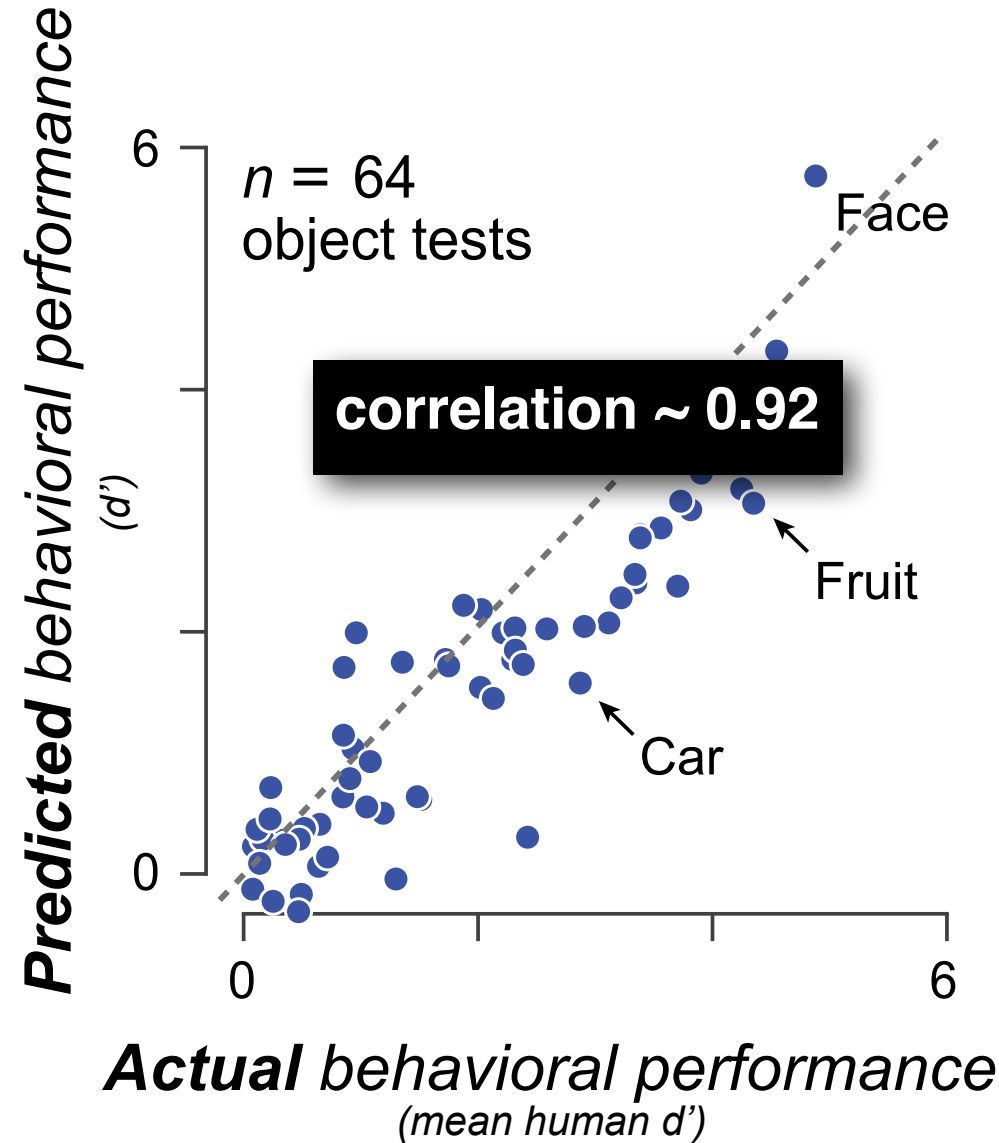
**Take home: simple, learned weighted sums of IT firing rates accurately predict the pattern of PERFORMANCE over all object recognition tests**

**Parameters of inferred neural code/decoding mechanism:**

- for each new object, randomly sample ~50,000 single neurons spatially distributed over IT
- “listen” to each IT site’s average spiking response (ave over 100 ms)
- learn an appropriately weighted sum of those IT spiking outputs, and then use ~10% of them to judge the likelihood of the object being present

**Learned Weighted Sums of (~50,000) Random Average (100 ms) single unit responses Distributed over IT**

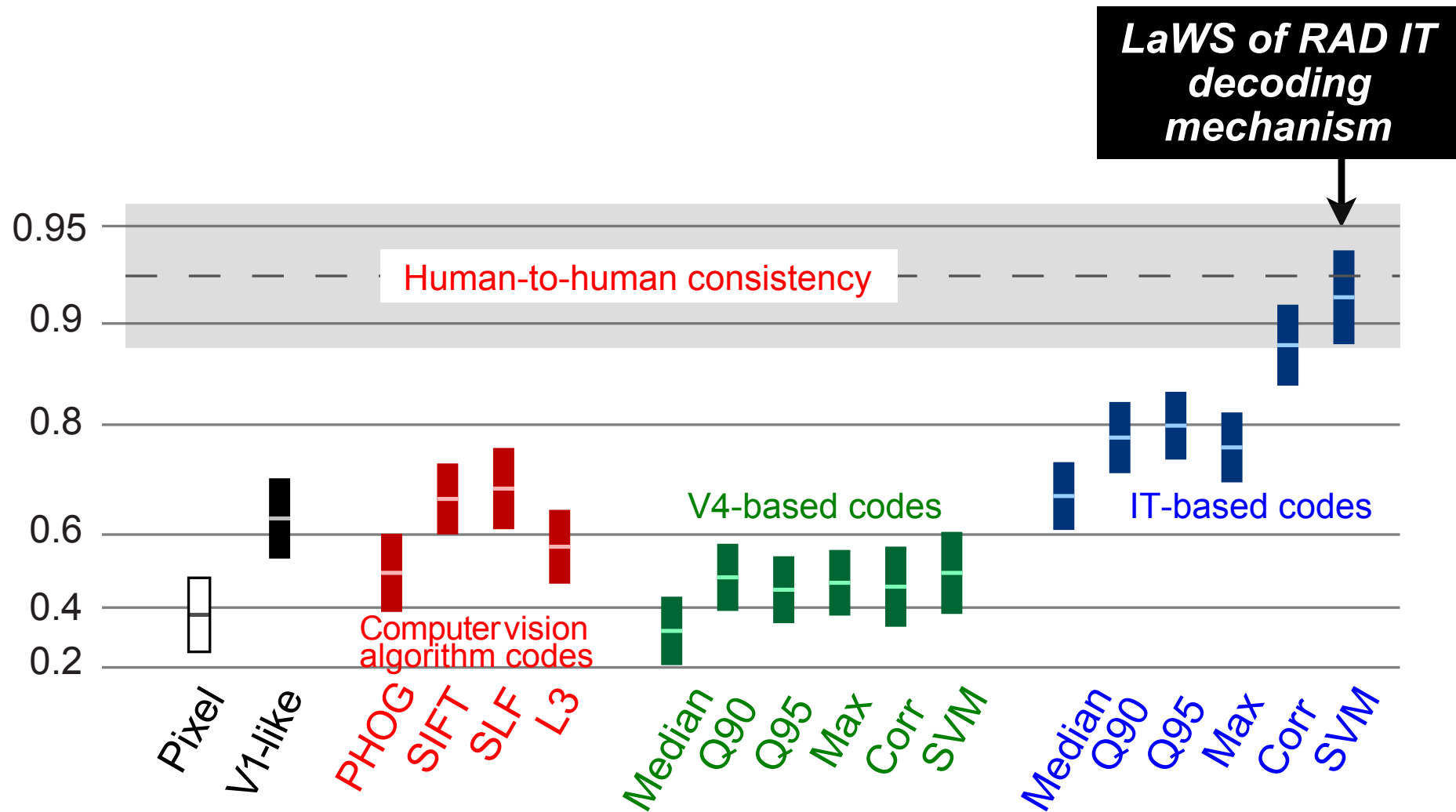
**“LaWS of RAD IT”  
decoding mechanism**



# Some controls...

Most alternative codes/decoding mechanisms are not even close.

Correlation of model performance predictions with human performance

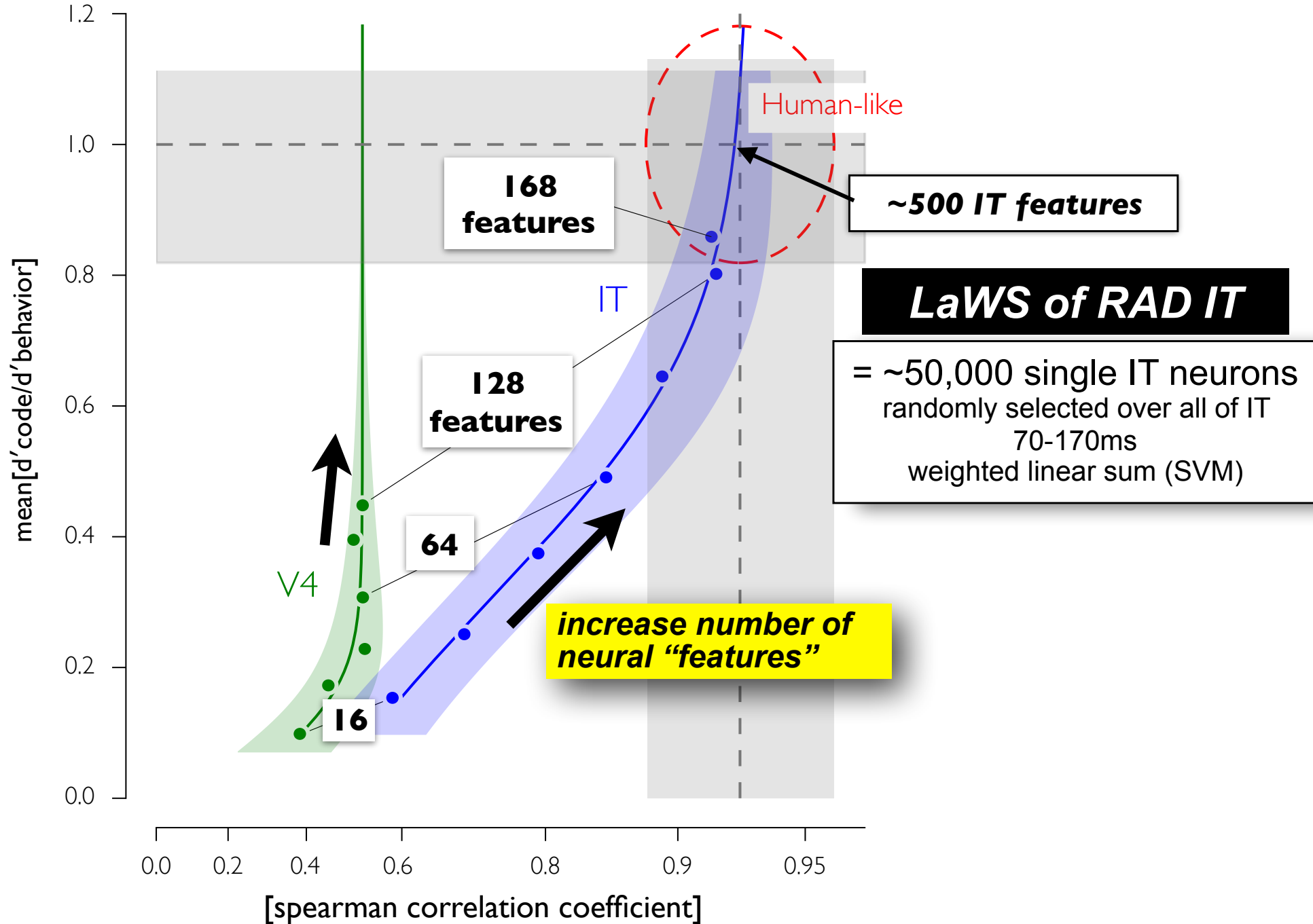


Courtesy of Society for Neuroscience. License CC BY NC SA.

Source: Majaj, Najib J., Ha Hong, Ethan A. Solomon, and James J. DiCarlo. "Simple learned weighted sums of inferior temporal neuronal firing rates accurately predict human core object recognition performance." *Journal of Neuroscience* 35, no. 39 (2015): 13402-13418.

Majaj, Hong, Solomon, and DiCarlo, **Cosyne 2012**  
Majaj, Hong, Solomon, and DiCarlo, **Under Review**

# Performance re humans



## Consistency with humans

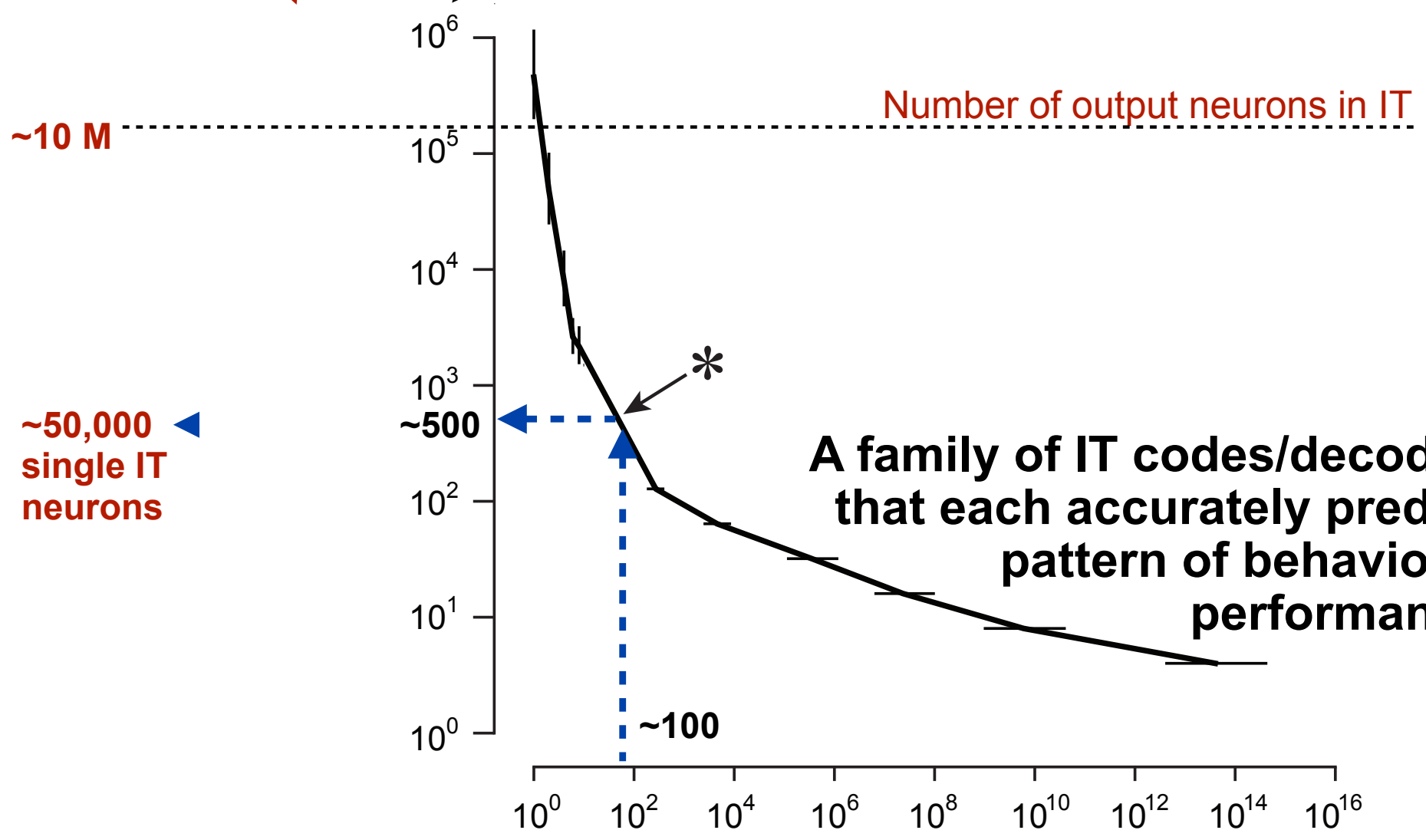
Courtesy of Society for Neuroscience. License CC BY NC SA.  
Source: Majaj, Najib J., Ha Hong, Ethan A. Solomon, and James J. DiCarlo.  
"Simple learned weighted sums of inferior temporal neuronal firing rates accurately predict human core object recognition performance." *Journal of Neuroscience* 35, no. 39 (2015): 13402-13418.

Majaj, Hong, Solomon, and DiCarlo, *Cosyne 2012*  
Majaj, Hong, Solomon, and DiCarlo, *Under Review*



Number of single units needed to support single-trial performance

Number of neural "features" (multi-unit, trial averaged)

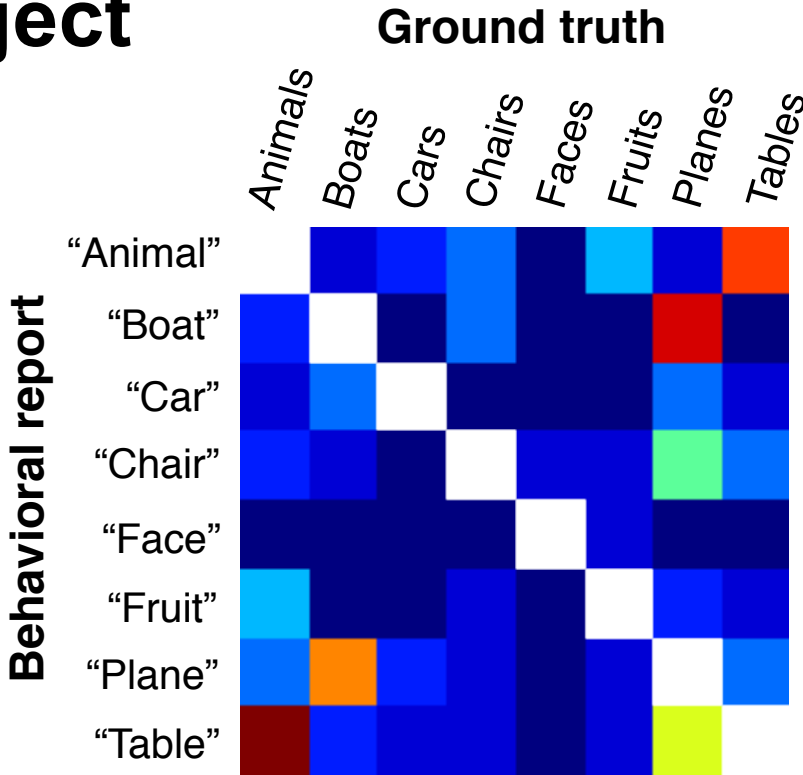


**A family of IT codes/decodes that each accurately predict pattern of behavioral performance**

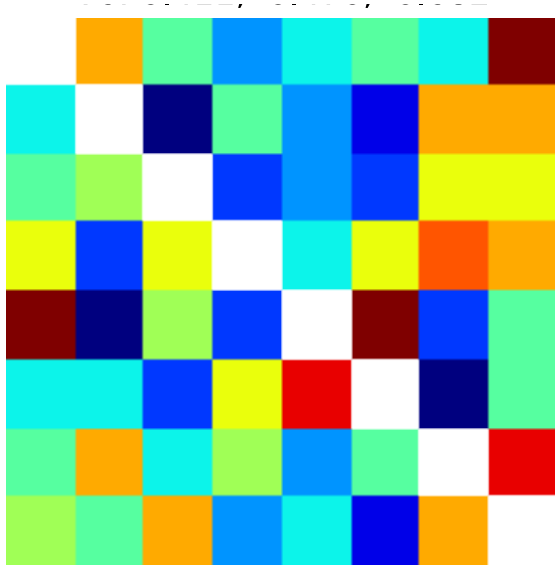
Number of training examples per object

# Behavioral object confusions

***Predicted:***  
***LaWS of RAD IT***  
***decoding***  
***mechanism***



Noise-corrected correlation: **0.91**  $\updownarrow$



Noise-corrected correlation: **0.68**  $\updownarrow$

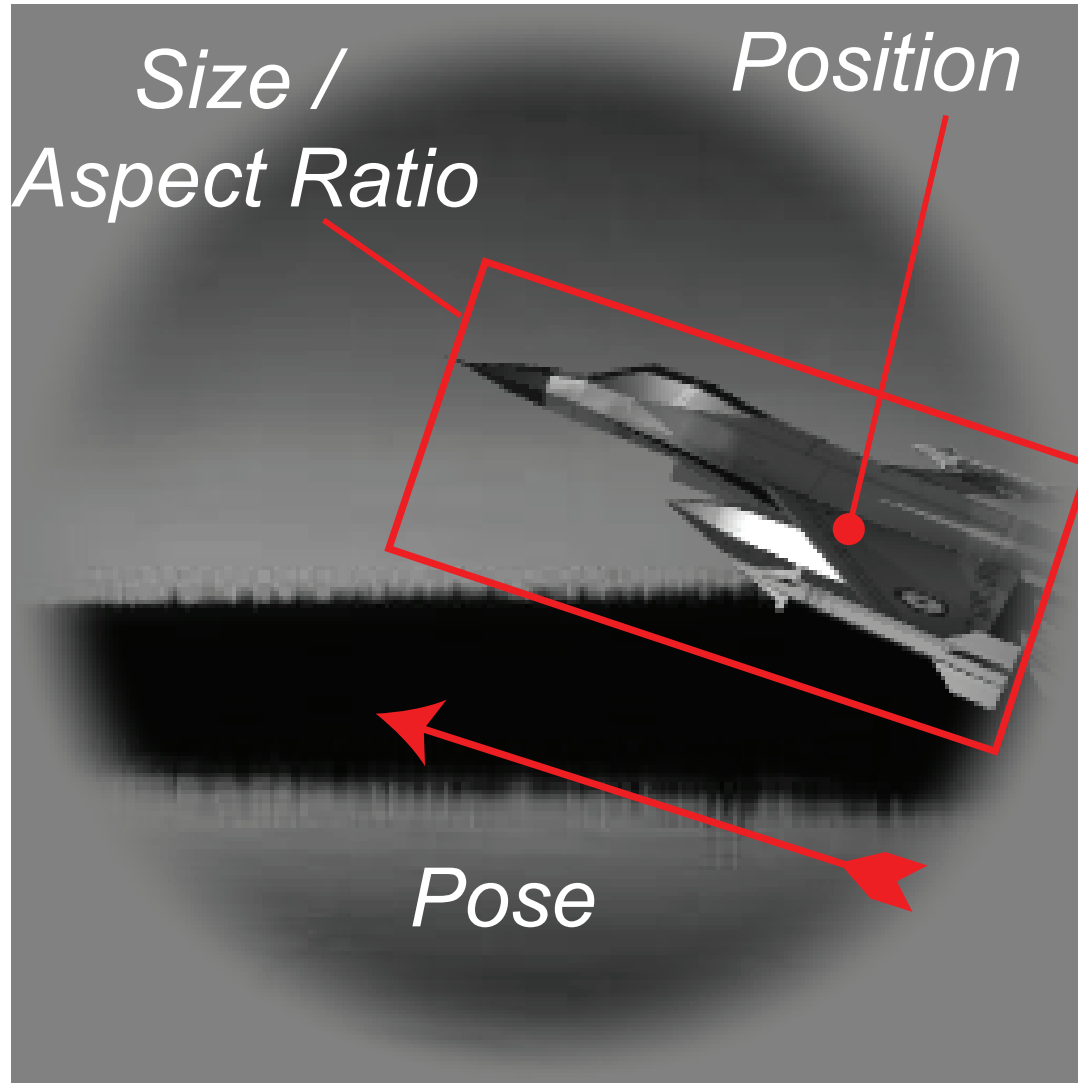
**This is an opportunity to push forward: image grain predictions to distinguish among alternative IT codes**



**High variation**

# Other object latent variables

**Category: plane**  
**Identity: f16**

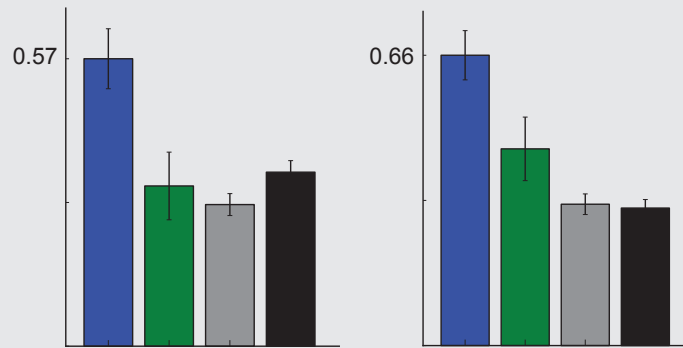
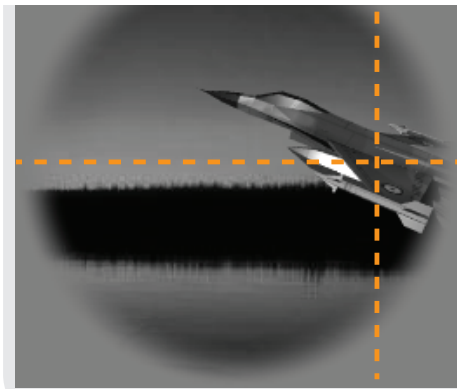
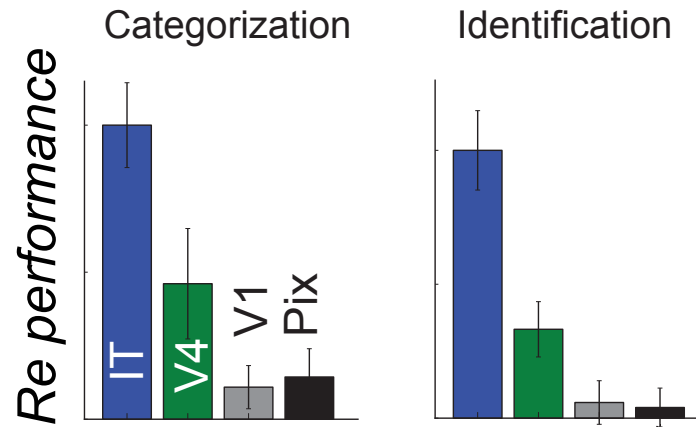
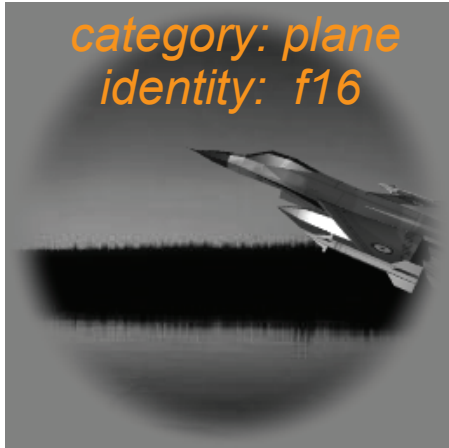


© Nature. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

Source: Hong, Ha, Daniel LK Yamins, Najib J. Majaj, and James J. DiCarlo.

"Explicit information for category-orthogonal object properties increases along the ventral stream." *Nature neuroscience* 19, no. 4 (2016): 613-622.

# LaWS of RAD IT decoding mechanism



Site 10

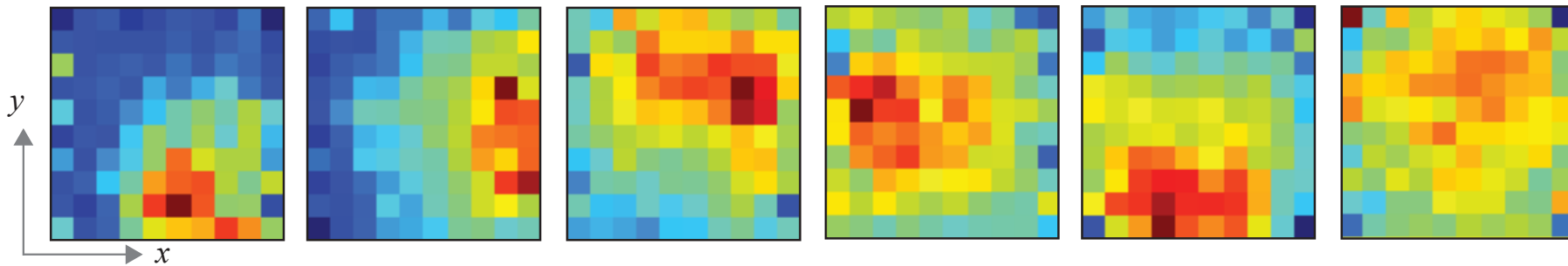
Site 54

Site 43

Site 11

Site 77

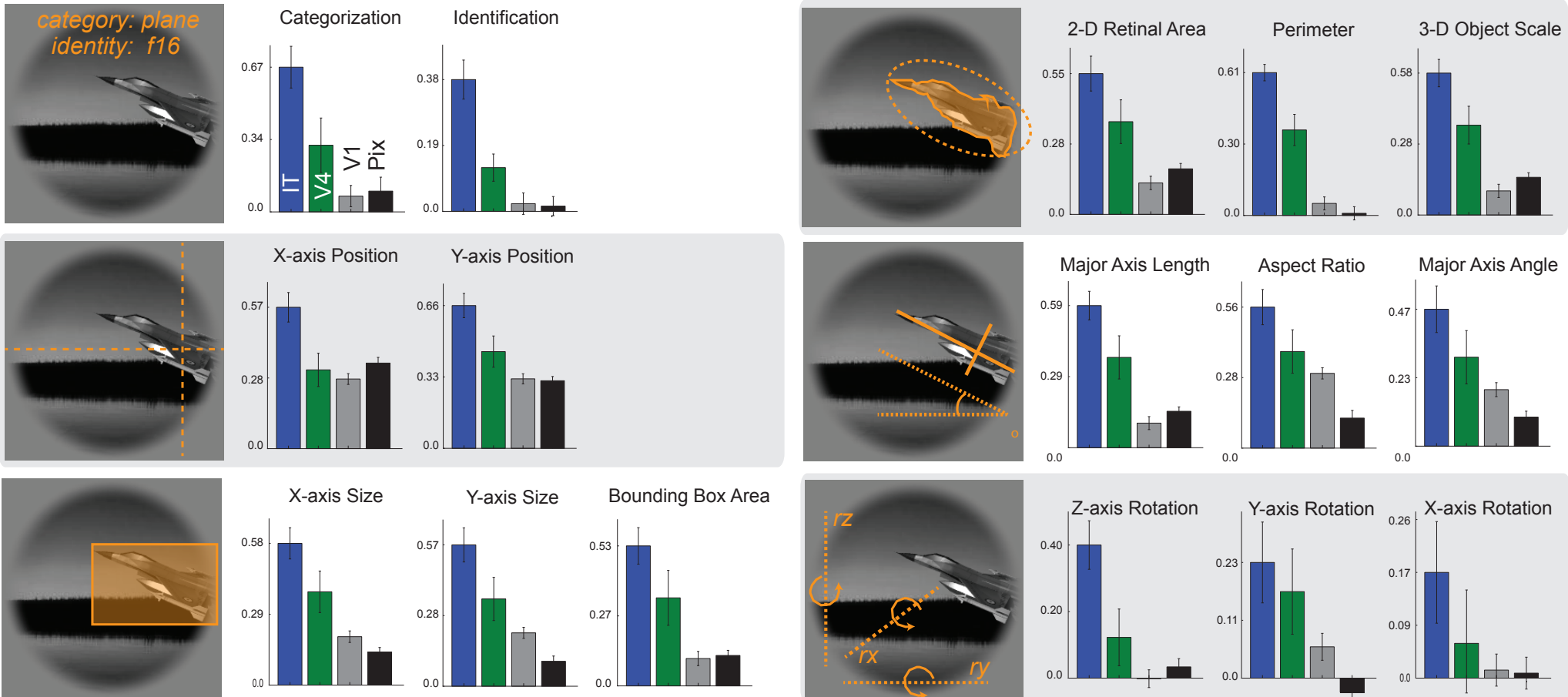
Site 102



© Nature. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.  
 Source: Hong, Ha, Daniel LK Yamins, Najib J. Majaj, and James J. DiCarlo.  
 "Explicit information for category-orthogonal object properties increases along the ventral stream." *Nature neuroscience* 19, no. 4 (2016): 613-622.

**Sum: LaWS of RAD IT performs better than other codes/decodes.**

**LaWS of RAD IT decoding mechanism**

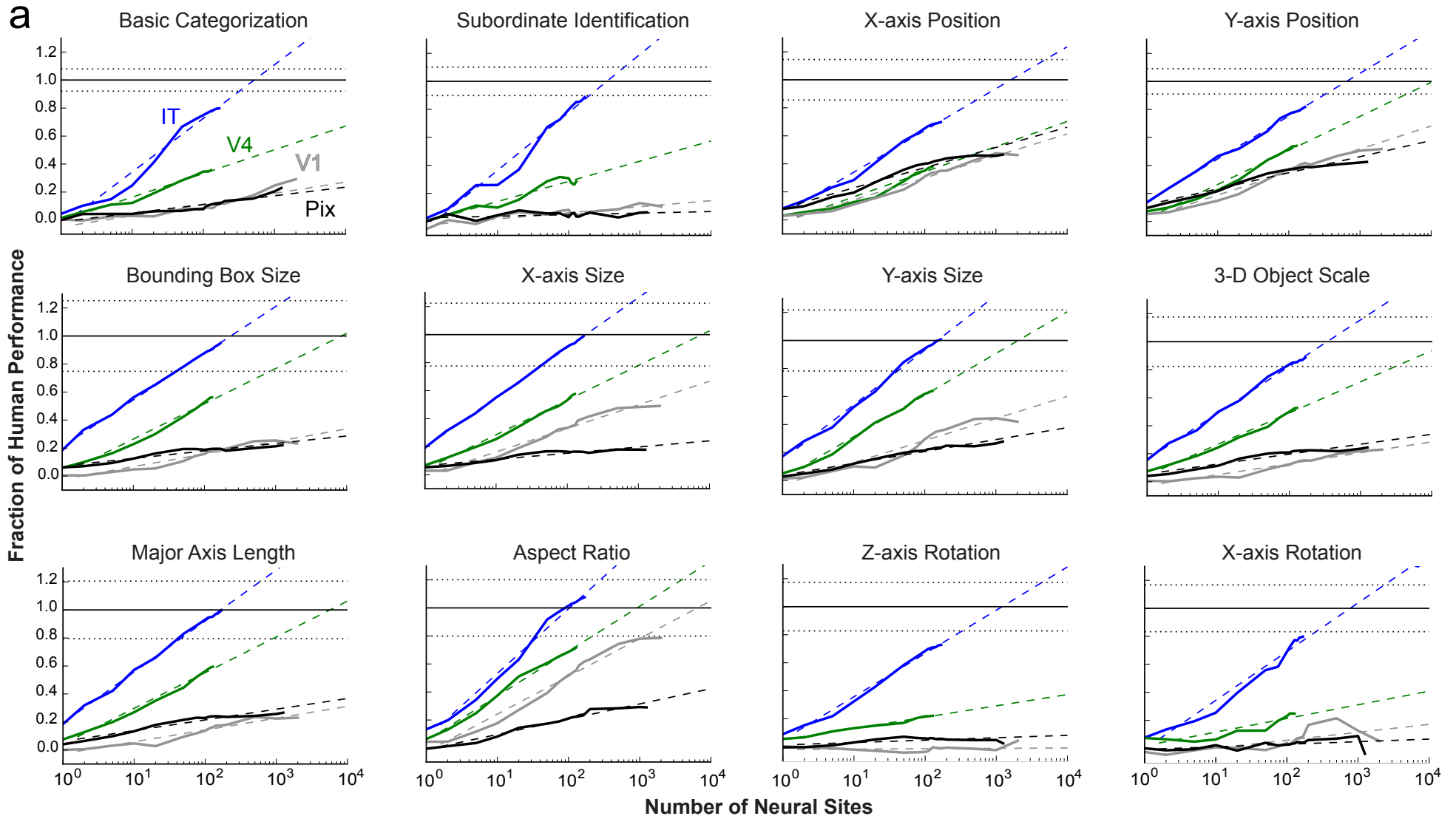


© Nature. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.  
 Source: Hong, Ha, Daniel LK Yamins, Najib J. Majaj, and James J. DiCarlo.  
 "Explicit information for category-orthogonal object properties increases along the ventral stream." Nature neuroscience 19, no. 4 (2016): 613-622.

**But these tasks are not all equally difficult for humans. Does this decoding mechanism predict that pattern of difficulty?**

**To test this, we collected human performance data on these images/tasks.**

# LaWS of RAD IT decoding mechanism



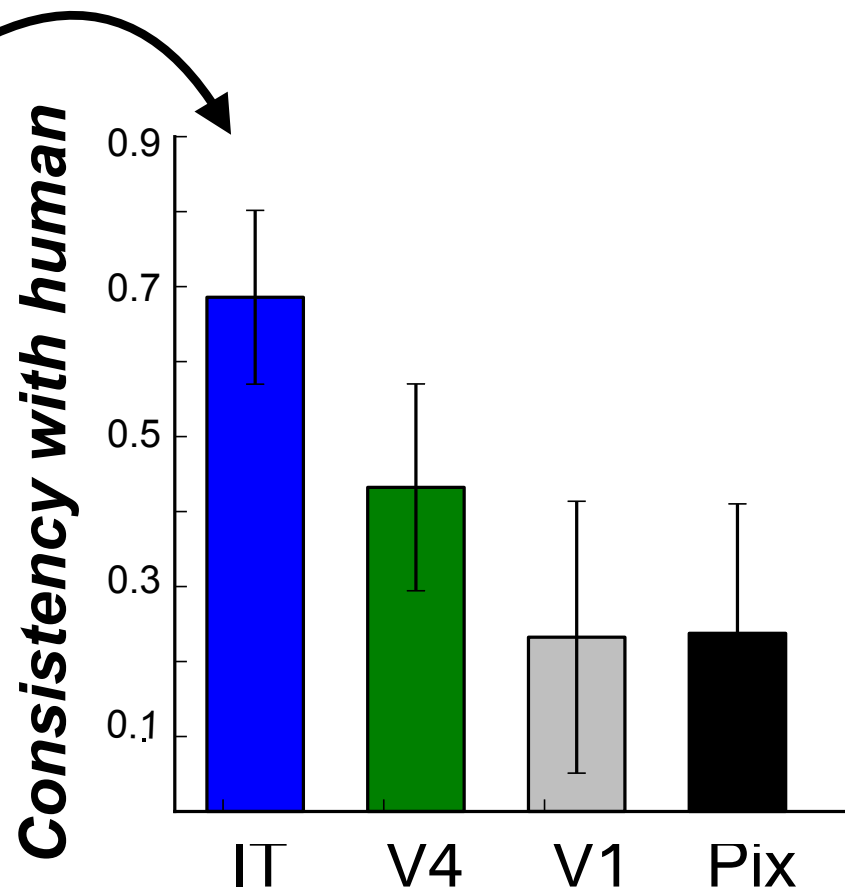
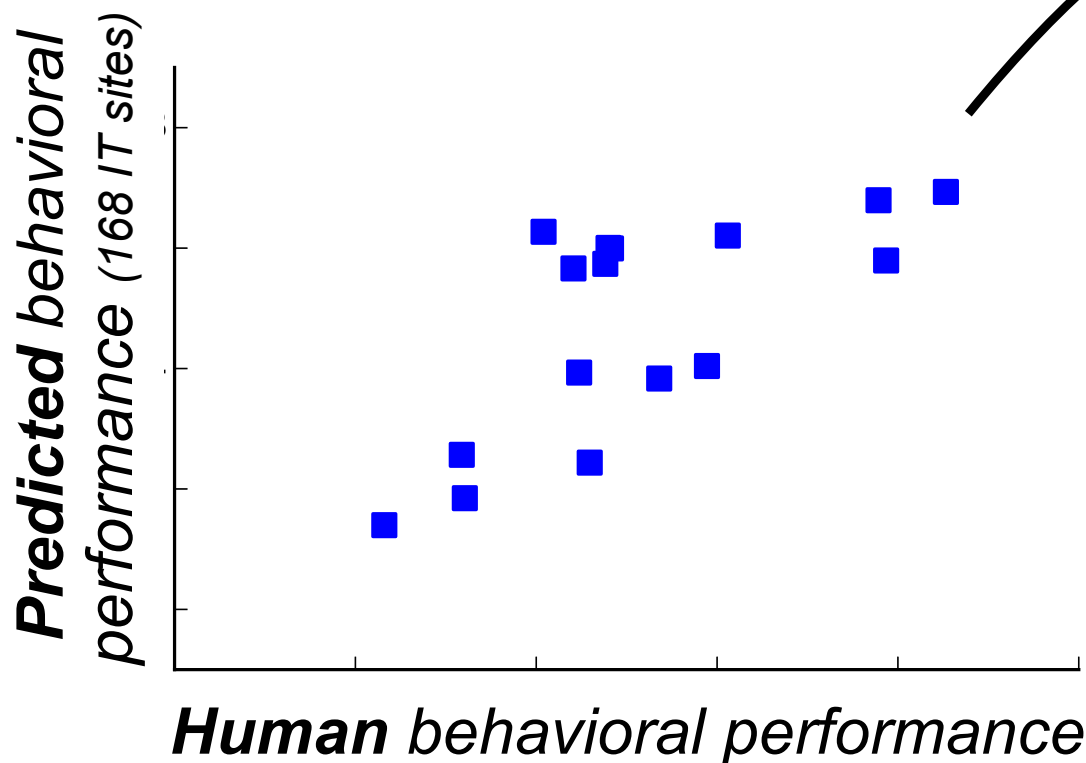
© Nature. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.  
 Source: Hong, Ha, Daniel LK Yamins, Najib J. Majaj, and James J. DiCarlo.  
 "Explicit information for category-orthogonal object properties increases along the ventral stream." *Nature neuroscience* 19, no. 4 (2016): 613-622.

# Number of IT sites needed to match human performance

# LaWS of RAD IT decoding mechanism

	IT	V4	V1	Pix
Basic Categorization	520 +/- 165	$8.84 \times 10^5$	---	---
Subordinate Identification	444 +/- 61	$9.15 \times 10^6$	---	---
X-axis Position	1624 +/- 44	$4.5 \times 10^6$	$3 \times 10^7$	---
Y-axis Position	647 +/- 215	$1.1 \times 10^5$	$8.7 \times 10^6$	---
Bounding Box Size	234 +/- 91	$8.4 \times 10^3$	---	---
X-axis Size	150 +/- 55	$2.1 \times 10^3$	$3.4 \times 10^7$	---
Y-axis Size	182 +/- 62	$7.8 \times 10^3$	$9.5 \times 10^6$	---

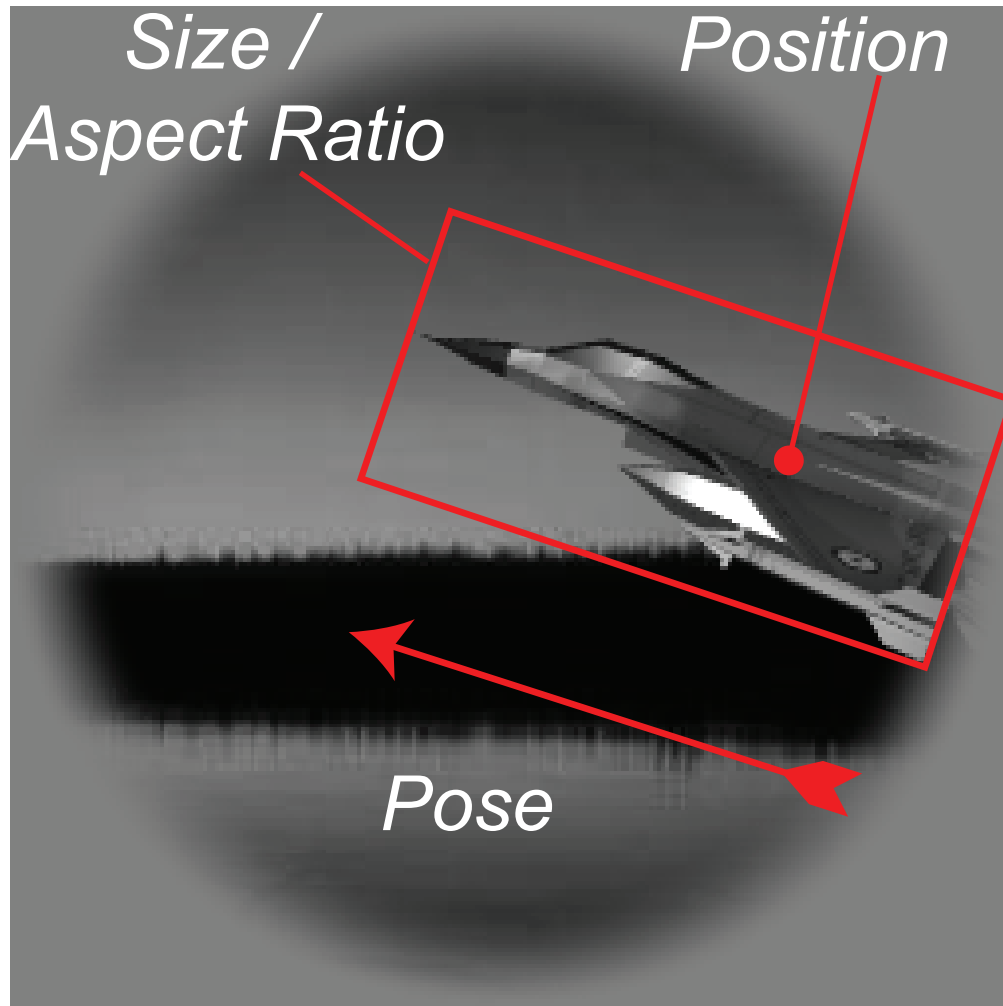
	IT	V4	V1	Pix
3-D Object Scale	339 +/- 79	$1.9 \times 10^5$	---	---
Major Axis Length	165 +/- 59	$5.7 \times 10^3$	---	---
Aspect Ratio	103 +/- 37	922 +/- 59	$6.5 \times 10^3$	---
Major Axis Angle	520 +/- 165	520 +/- 165	---	---
Z-axis Rotation	1206 +/- 473	---	---	---
Y-axis Rotation	1317 +/- 459	$1.1 \times 10^5$	---	---
X-axis Rotation	775 +/- 248	---	---	---



© Nature. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.  
 Source: Hong, Ha, Daniel LK Yamins, Najib J. Majaj, and James J. DiCarlo. "Explicit information for category-orthogonal object properties increases along the ventral stream." Nature neuroscience 19, no. 4 (2016): 613-622.

# LaWS of RAD IT decoding mechanism

**Category: plane**  
**Identity: f16**



**Summary: This ventral stream code/decoding mechanism also predicts human patterns of performance for other object latent variables.**

***This suggests that:***

- ***the IT population conveys a general purpose object representation***
- ***the job of the ventral stream is not to produce category “invariant” representations***

*Edelman (1998), DiCarlo and Cox (2007), Li et al. (2009), etc.*

© Nature. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.  
Source: Hong, Ha, Daniel LK Yamins, Najib J. Majaj, and James J. DiCarlo.  
"Explicit information for category-orthogonal object properties increases along the ventral stream." *Nature neuroscience* 19, no. 4 (2016): 613-622.

***Hong, Yamins, Majaj, and DiCarlo, Cosyne 2014***

***Hong, Yamins, Majaj, and DiCarlo, (in prep)***

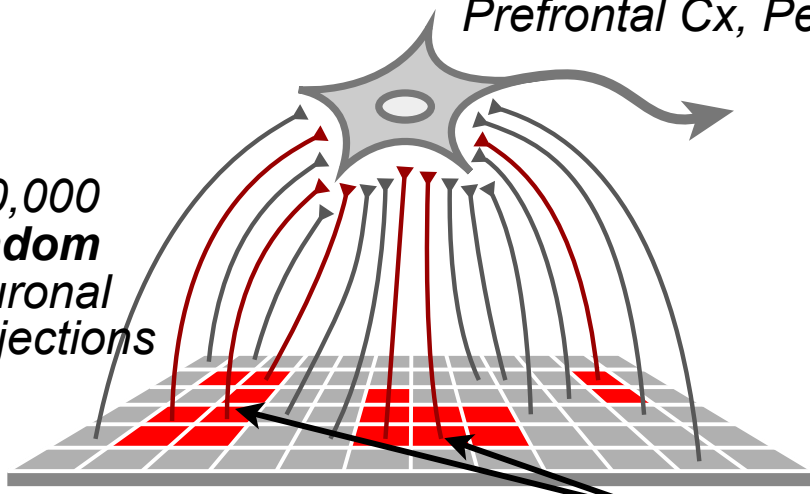


# Sketch of the inferred anatomy:

**LaWS of RAD IT** [70-170ms, 50,000n, 100t]

Prefrontal Cx, Perirhinal Cx, Amygdala

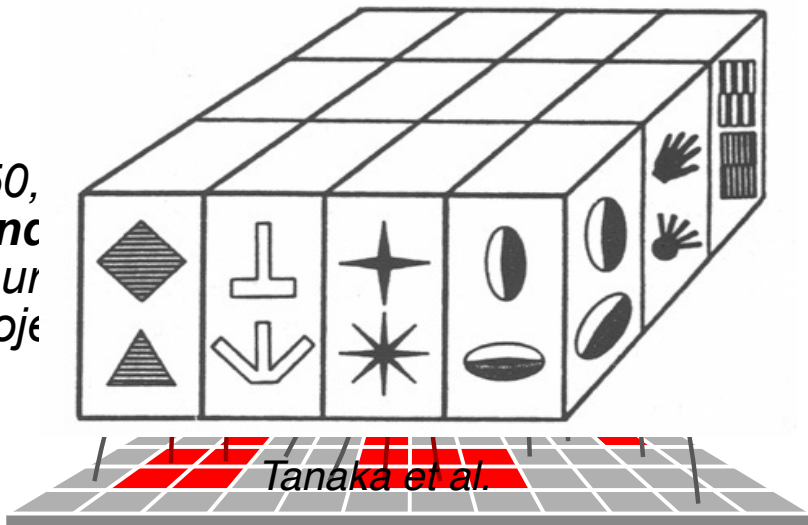
~50,000  
random  
neuronal  
projections



**IT cortex (AIT + CIT)** **“Face patches”**  
(2-5 mm)

© Source Unknown. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

~50,  
ranc  
neur  
proje



© AAAS. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

Source: Tanaka, Keiji. "Neuronal mechanisms of object recognition."

Science-New York Then Washington 262 (1993): 685-685.

# Causal tests of this model

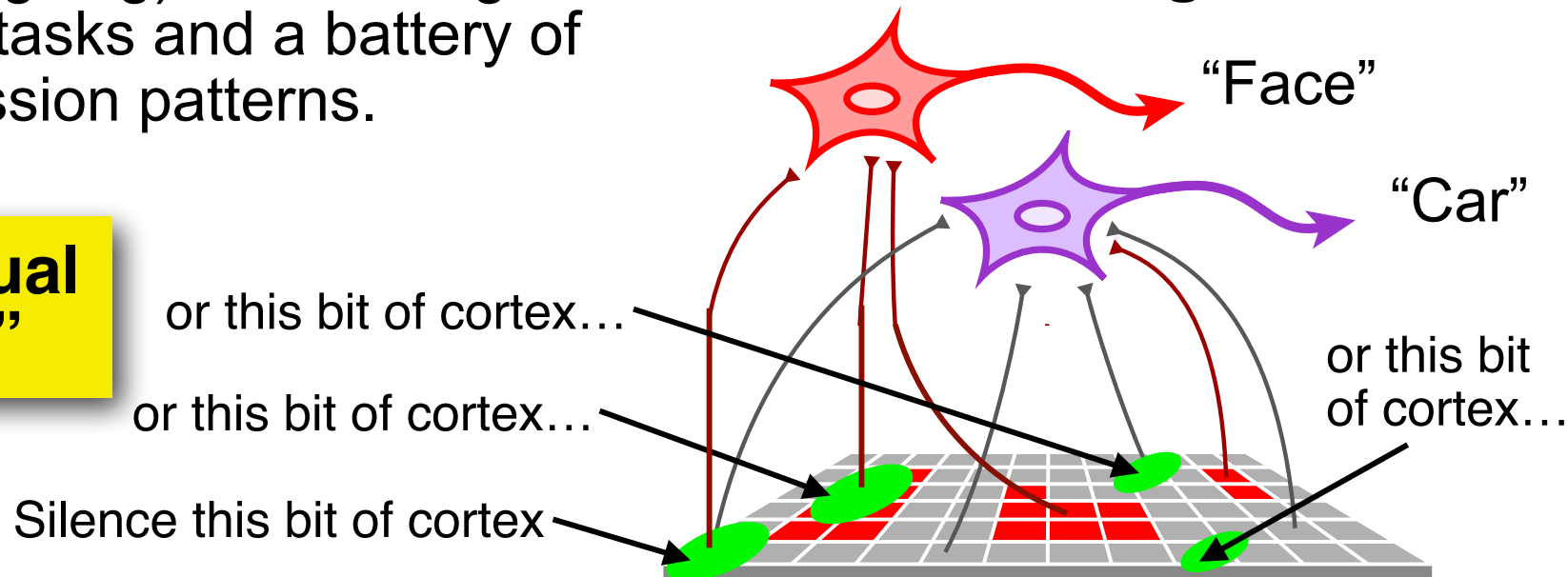
**LaWS of RAD IT** [70-170ms, 50,000n, 100t]

The model allows us to predict how much any object recognition task will be disrupted by direct suppression of IT neurons.

Step 1: (done) Tool building and testing: Can we reliably disrupt performance of a recognition task by directly suppressing the activity of ~1mm IT neural sub-populations?

Step 2 (ongoing): Test a large battery of tasks and a battery of IT suppression patterns.

**Post-learning:**

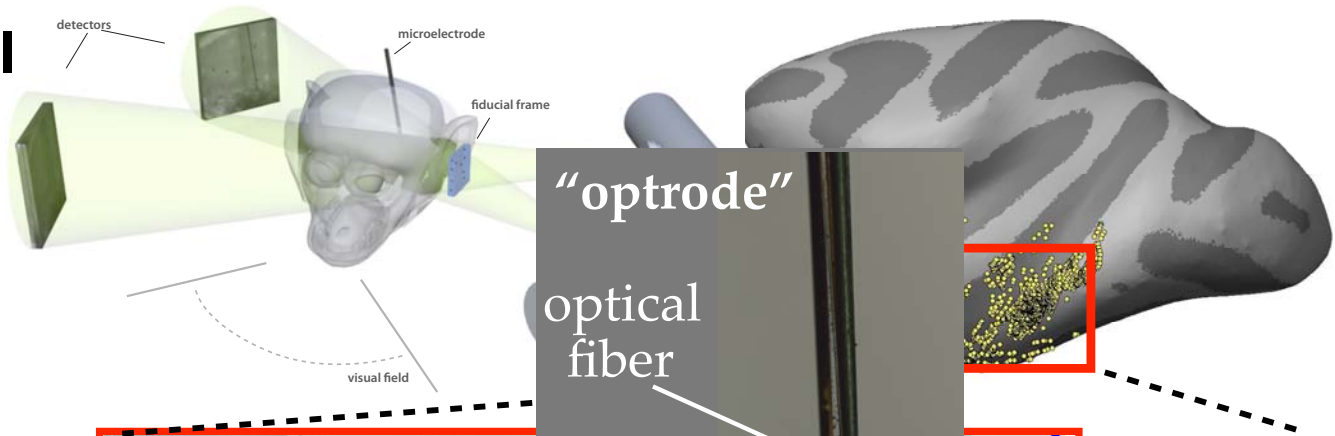


© Source Unknown. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

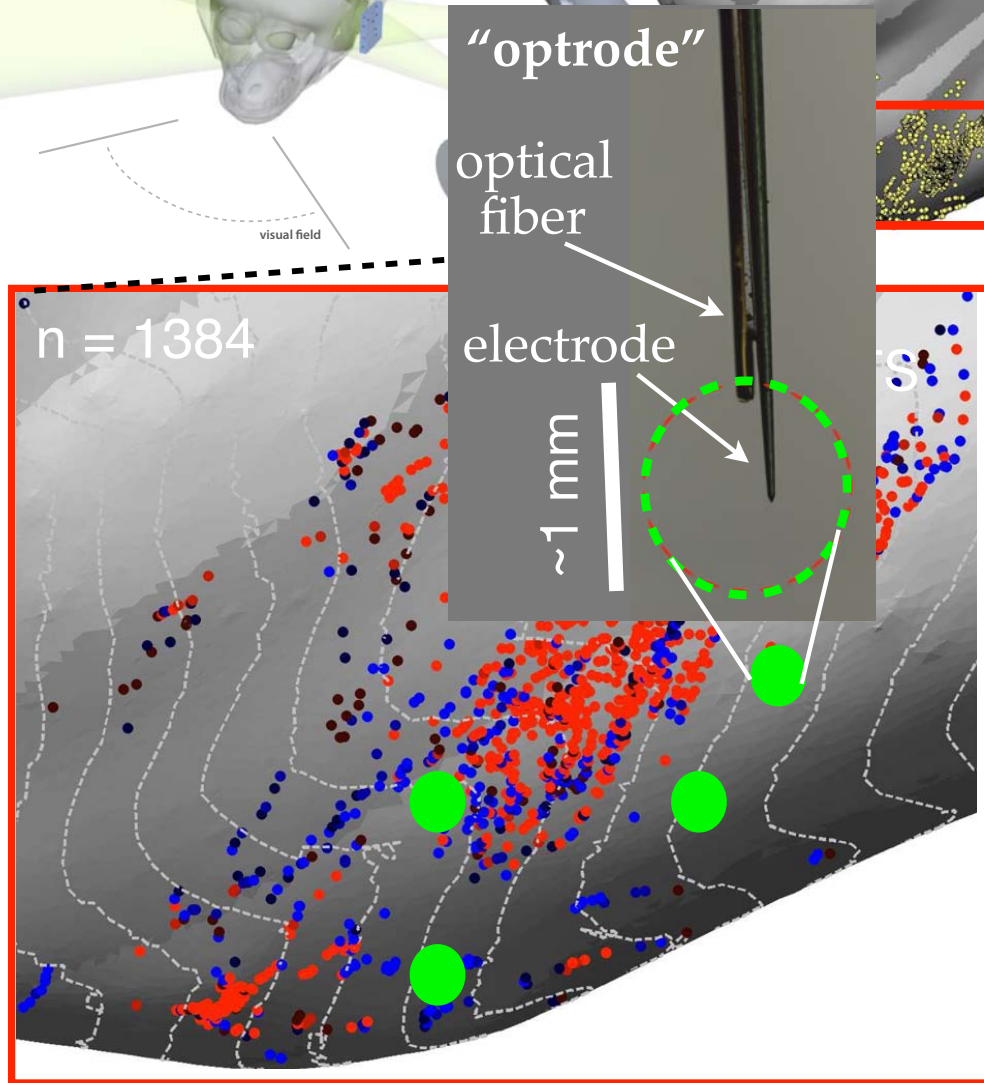
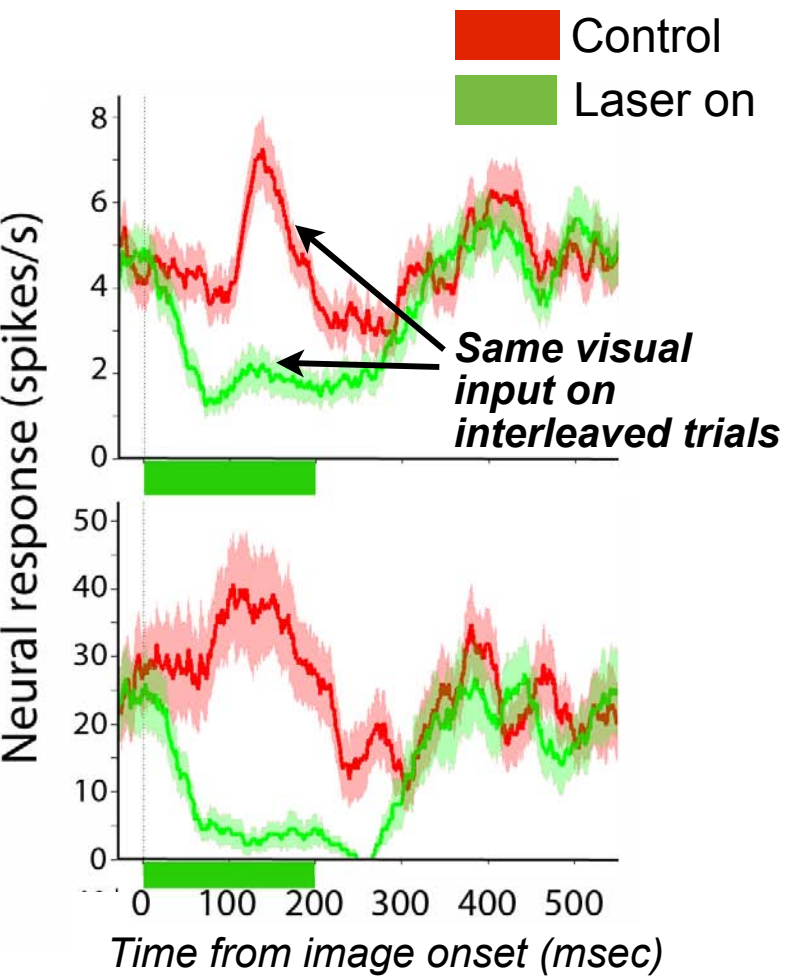
**IT cortex (AIT + CIT) ~150 IT sub-regions, each ~1 mm in scale**

**Towards actual  
“inception”**

# Stereo, microfocal x-ray system



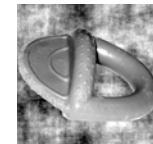
## Optogenetic (ArchT, CAG, AAV) suppression of visually-driven IT activity



Courtesy of Society for Neuroscience. License CC BY NC SA.  
Source: Issa, Elias B., and James J. DiCarlo. "Precedence of the eye region in neural processing of faces." *Journal of Neuroscience* 32, no. 47 (2012): 16666-16682.



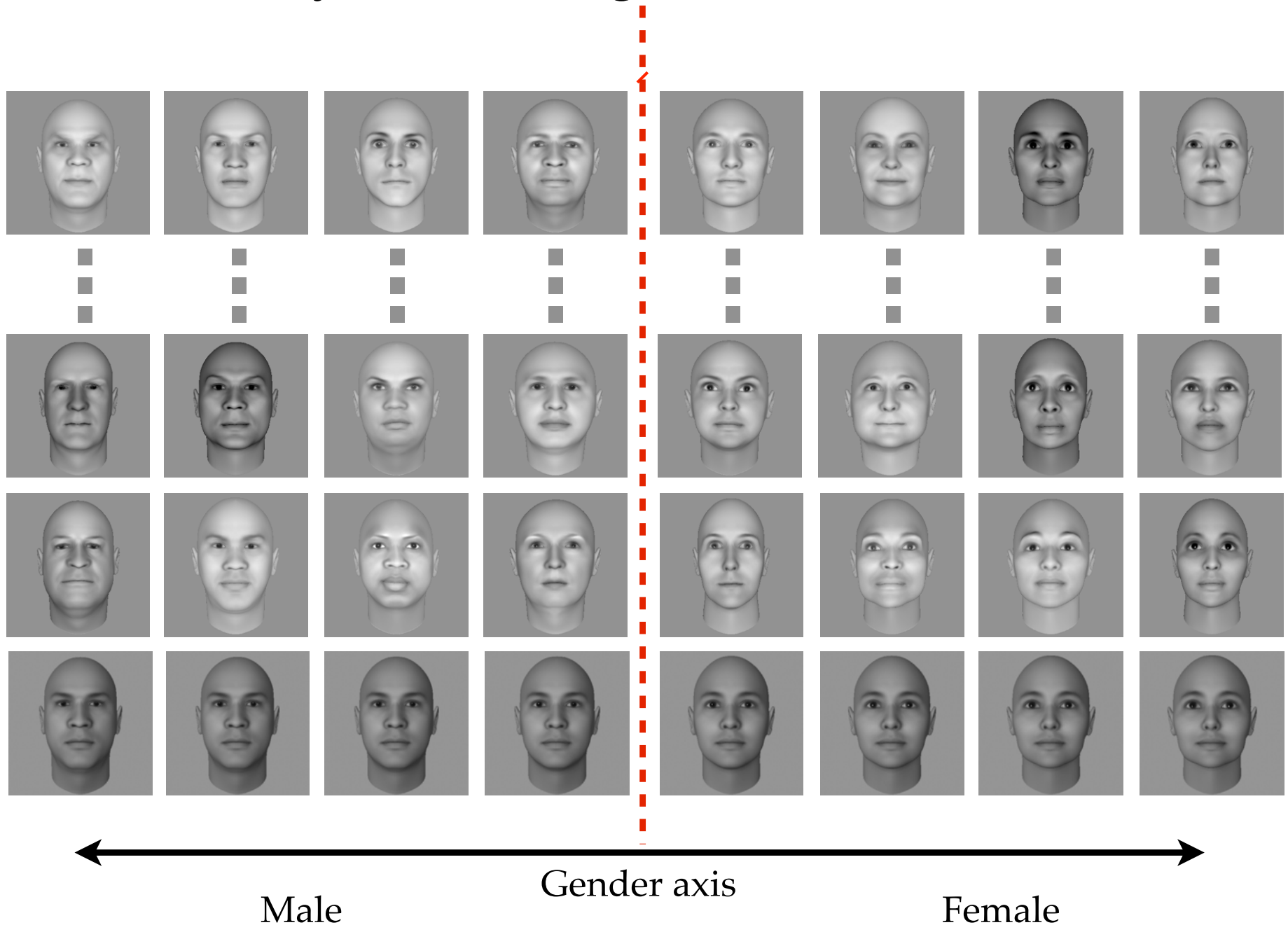
vs



face

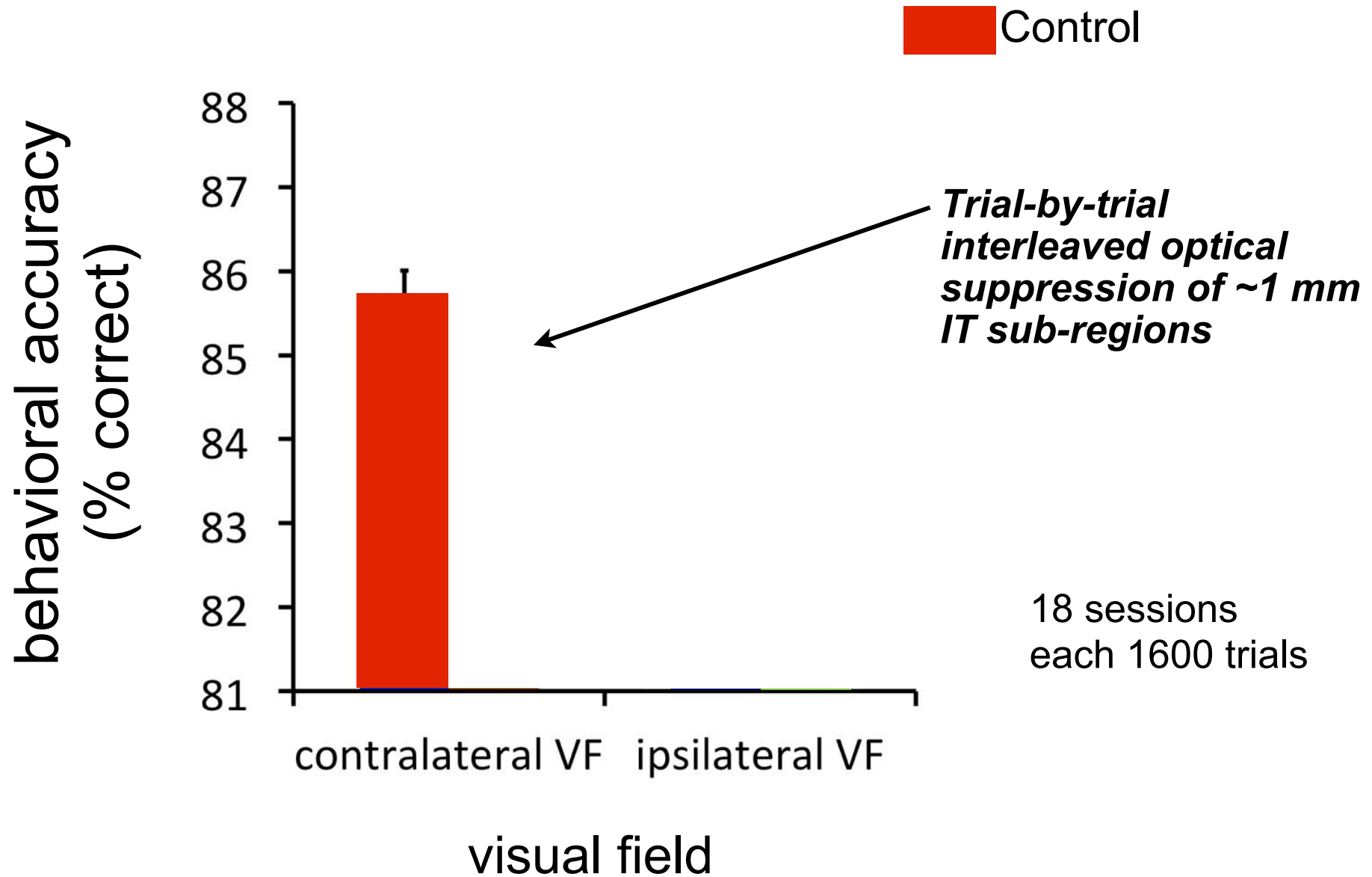
object

# Monkey task: face gender discrimination



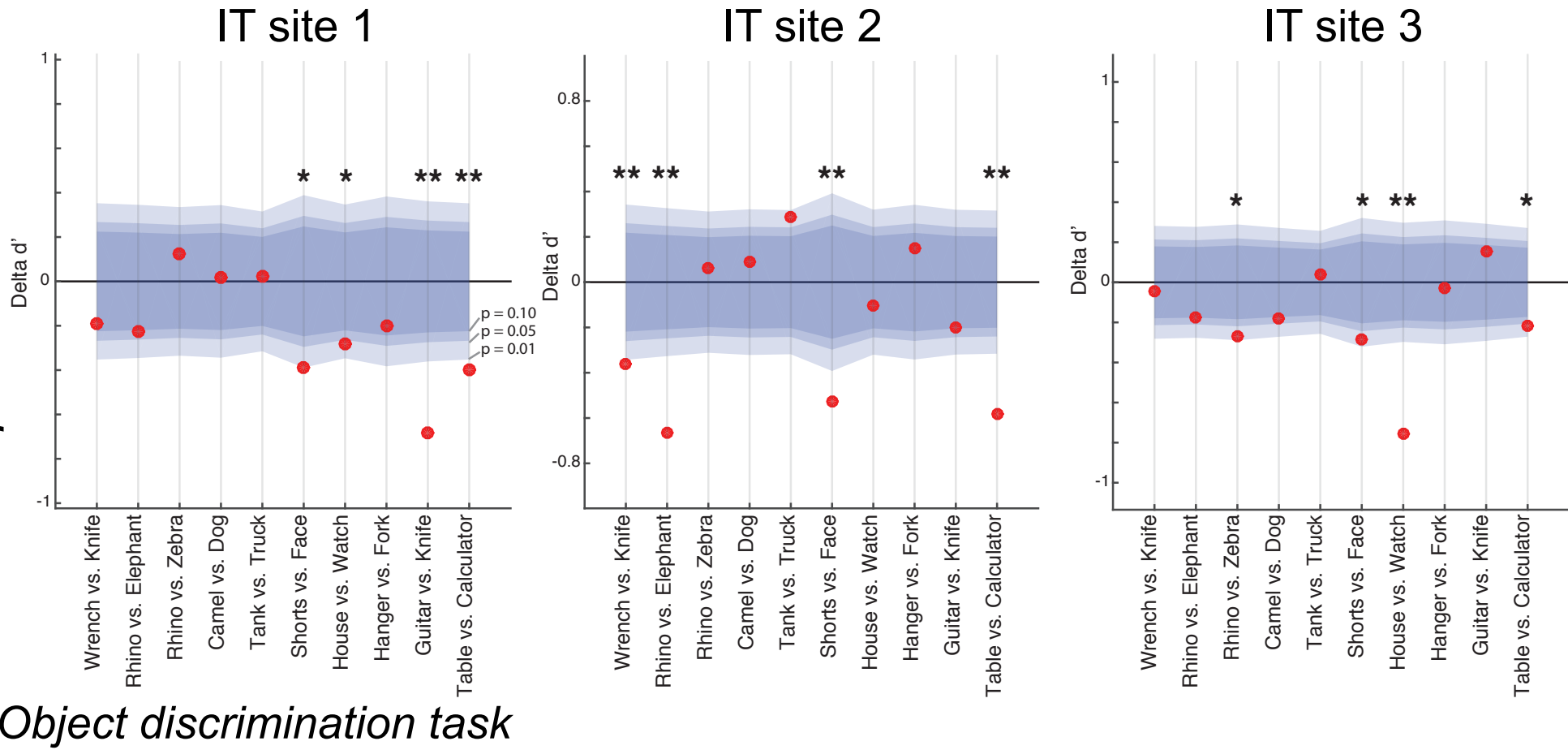
© Proceedings of the National Academy of Sciences. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.  
Source: Afraz, Arash, Edward S. Boyden, and James J. DiCarlo. "Optogenetic and pharmacological suppression of spatial clusters of face neurons reveal their causal role in face gender discrimination." Proceedings of the National Academy of Sciences 112, no. 21 (2015): 6730-6735.

# We found a spatially-specific behavioral effect on this object discrimination task



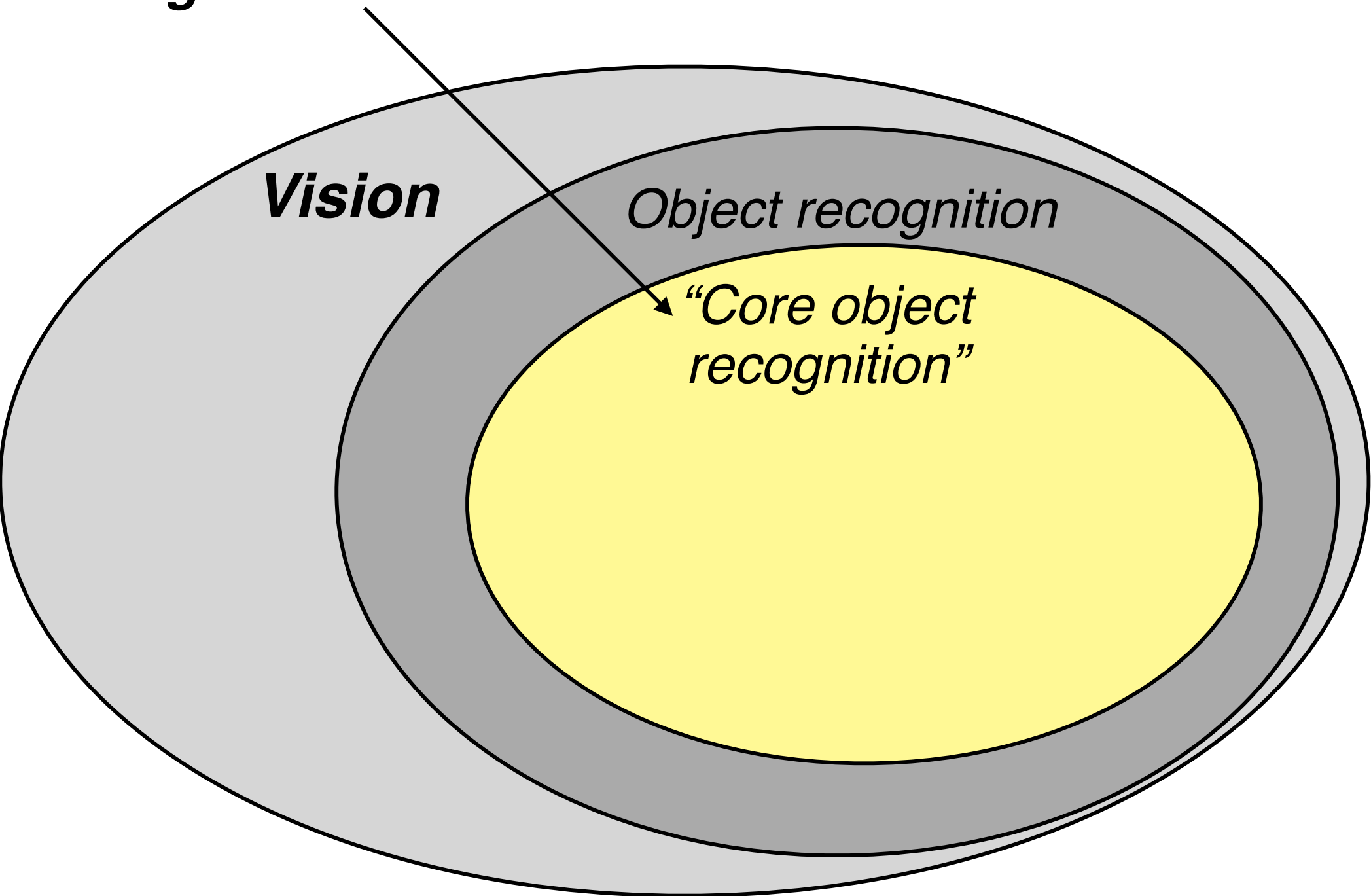
# Pharmacological suppression of different IT sub-regions results in different patterns of deficit in basic level object tasks

Change in behavioral performance



Our current aim is to **systematically** measure the specific pattern of behavioral change induced by suppression of each IT sub-region (~100) and compare with model predictions

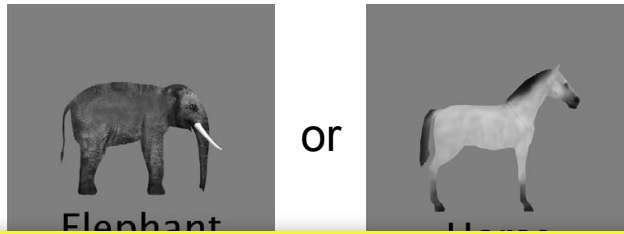
***Can we span the entire domain of core recognition tasks? How?***



Presentation  
(100ms)



Choices on this particular trial  
(post-cue, many possible)



Confusion matrix for an object pair

		Stimuli	
		E	H
response	E	120	10
	H	5	115

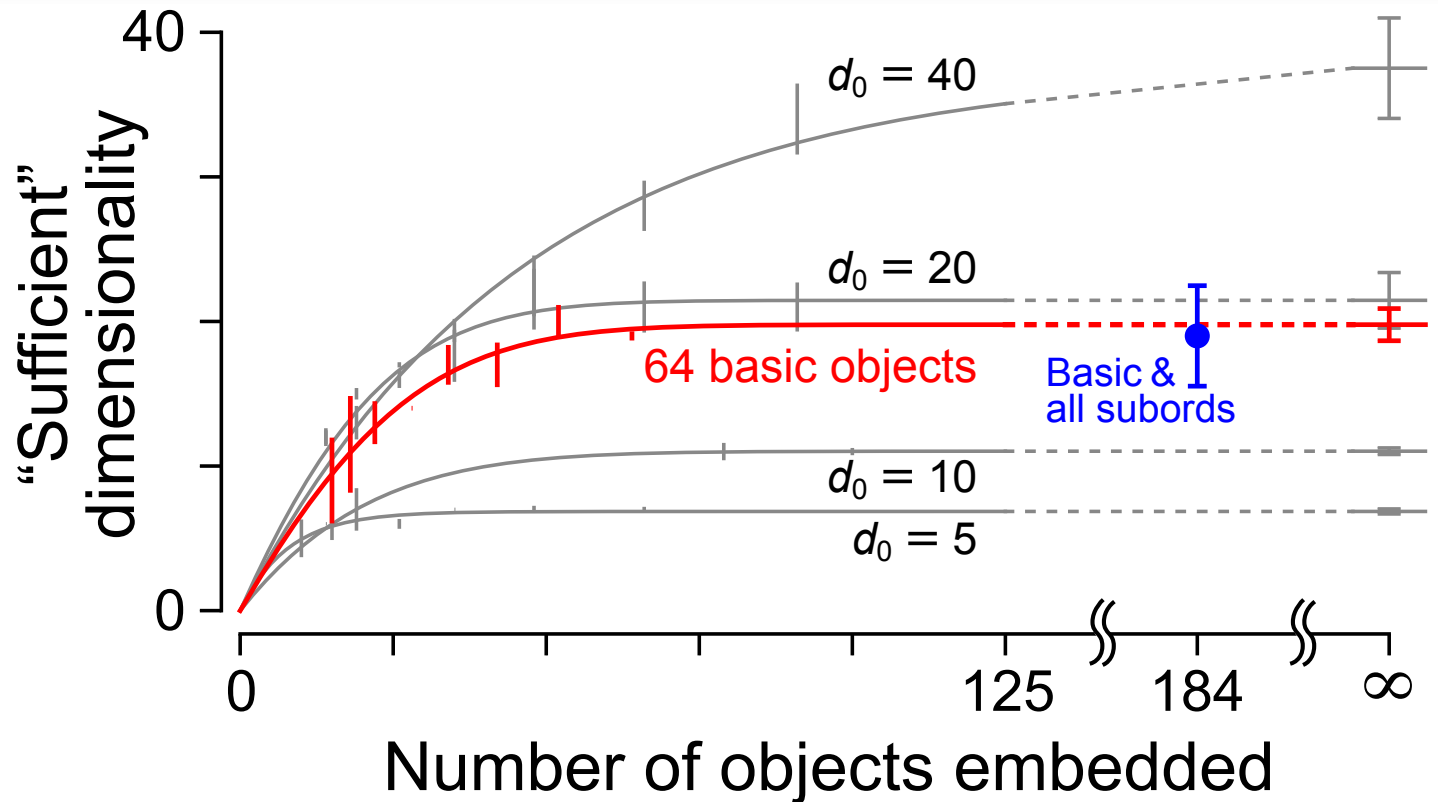
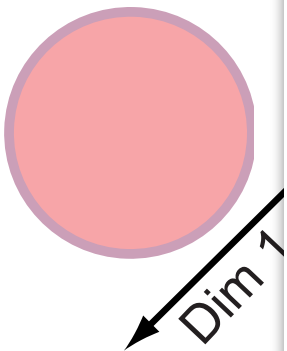
... 8,556 matrices

**Core recognition: only ~20 dimensions needed to characterize confusions among all basic and subordinate-level objects**

Faces



Cars



Hong\*, Solomon\*, Yamins\*, and DiCarlo. Large-scale Characterization of a Universal and Compact Visual Perceptual Space. VSS, 2014; in prep

© Vision Science Society. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

Source: Hong, Ha, Ethan Solomon, Dan Yamins, and James J. DiCarlo. "Large-scale Characterization of a Universal and Compact Visual Perceptual Space." Dim 1501 (2014): 1.



A

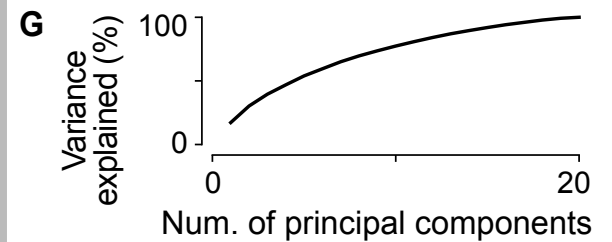
**Axes in this space correspond to human shape adjectives (subjective magnitude reports)**

C

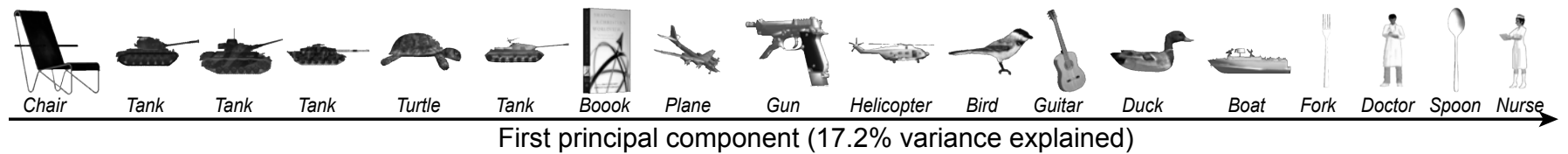
**One important use of this result: for efficient causal testing of the entire domain, we can focus on measuring impacts on object discrimination tasks that span this space**

B

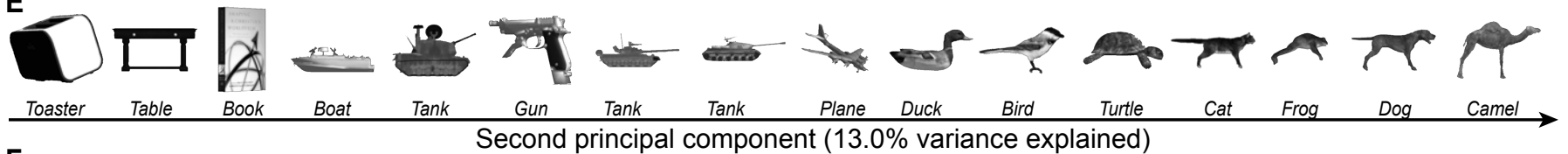
**Ongoing ....**



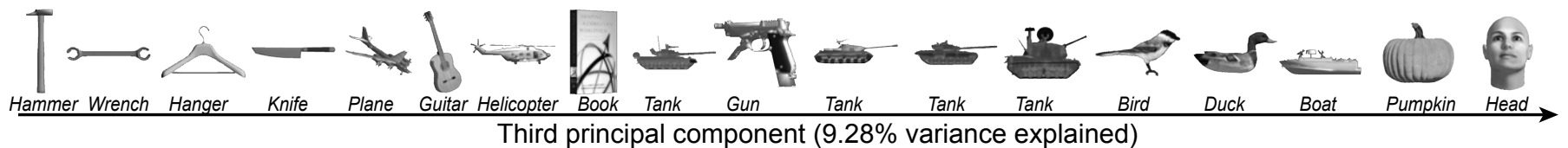
D



E



F



(Domain: core object recognition)

# Goal: end-to-end understanding

1. Can we infer the **decoding** mechanism that the brain uses to support perceptual reports about visually presented object?

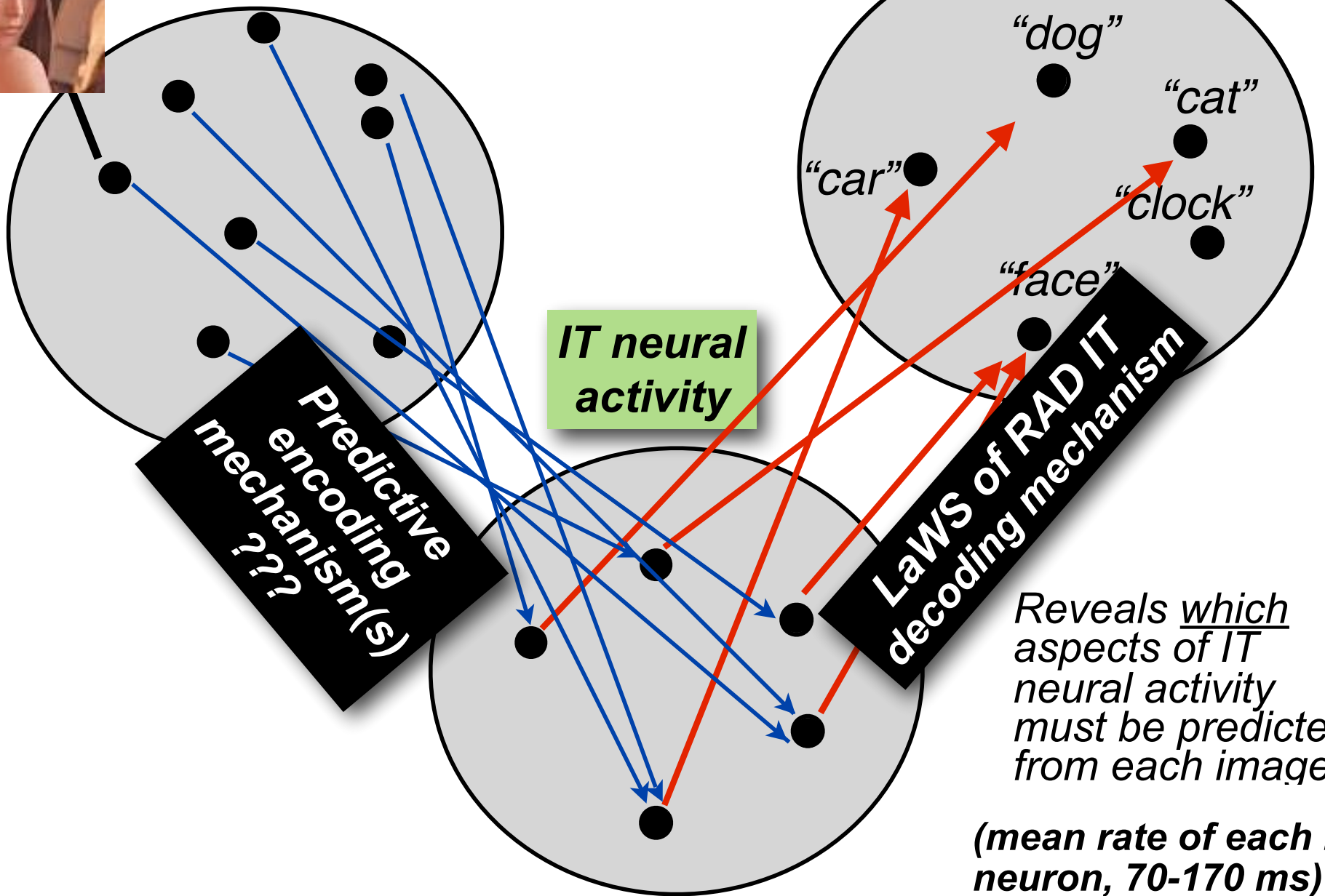
Note: this must **predict** behavioral report and it must include a falsifiable statement of the **relevant** aspects of neural activity (aka “neural code”)

2. Can we infer the **encoding** mechanism(s) that accurately **predict** the **relevant** ventral stream population patterns of neural activity from each image?



**Images**

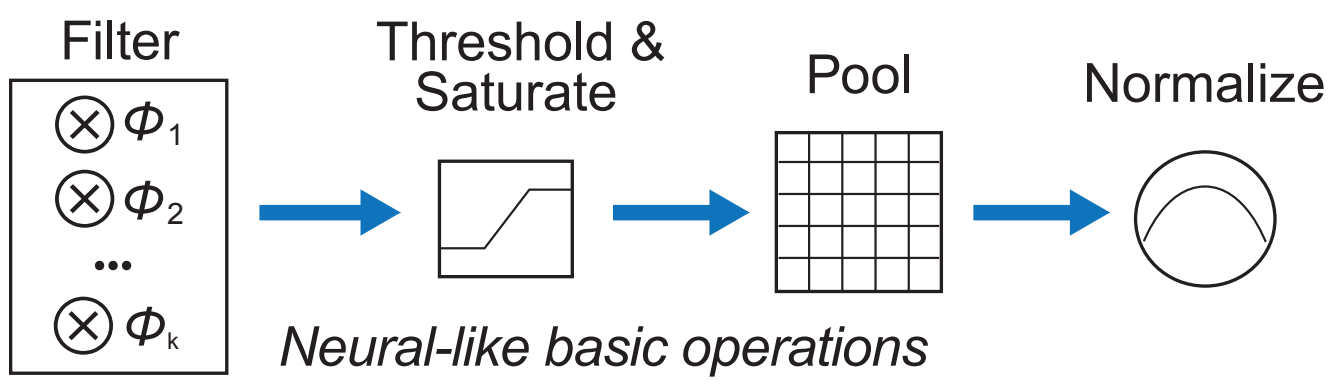
**Behavioral reports  
("perception")**



# Our goal (2008): explore a family of possible encoding mechanisms

## “Deep convolutional neural networks” (Deep CNN’s)

Basic operations:  $\Theta = (\theta_{\text{filter}}, \theta_{\text{thr}}, \theta_{\text{sat}}, \theta_{\text{pool}}, \theta_{\text{norm}})$



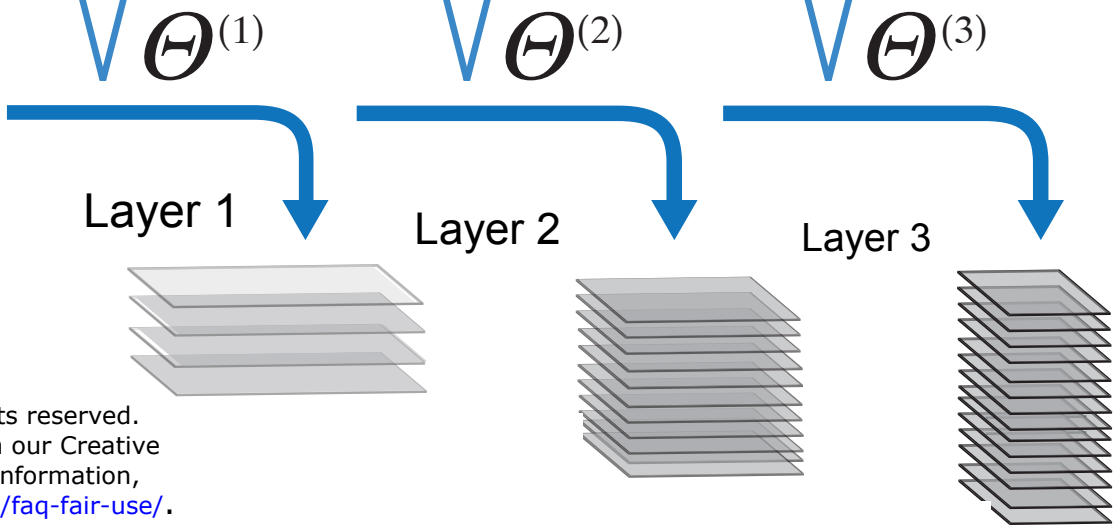
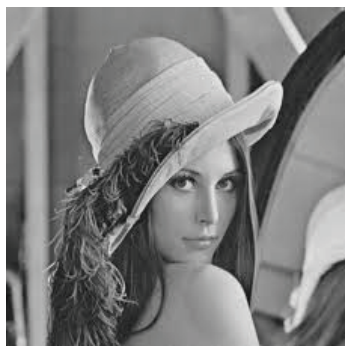
**Elements (“neurons”) have large fan-in**

**Simple, bio-known non-linearities**

**Each layer: is convolutional (i.e. retinotopy)**

**has many types of tuning functions**

**Deep stack of layers**



→ **Top layer has thousands of visual “neurons”**

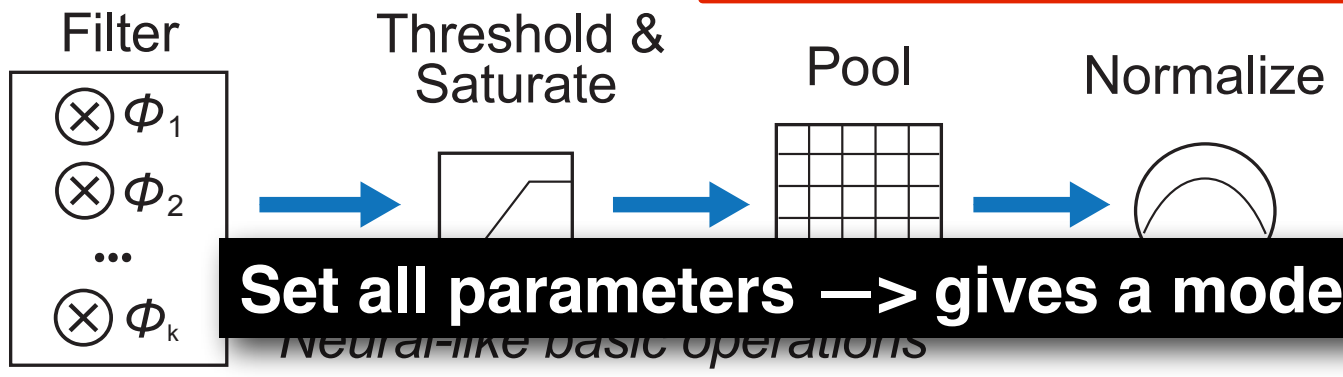
© Playboy Magazine. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

*Pinto, Doukan, DiCarlo & Cox, PLoS Comp Biol (2009)*  
*Hubel & Wiesel (1962), Fukushima (1980); Perrett & Oram (1993); Wallis & Rolls (1997); LeCun et al. (1998); Riesenhuber & Poggio (1999); Serre, Kouh, et al. (2005), etc....*

**Our goal (2008): explore a family of possible encoding mechanisms**

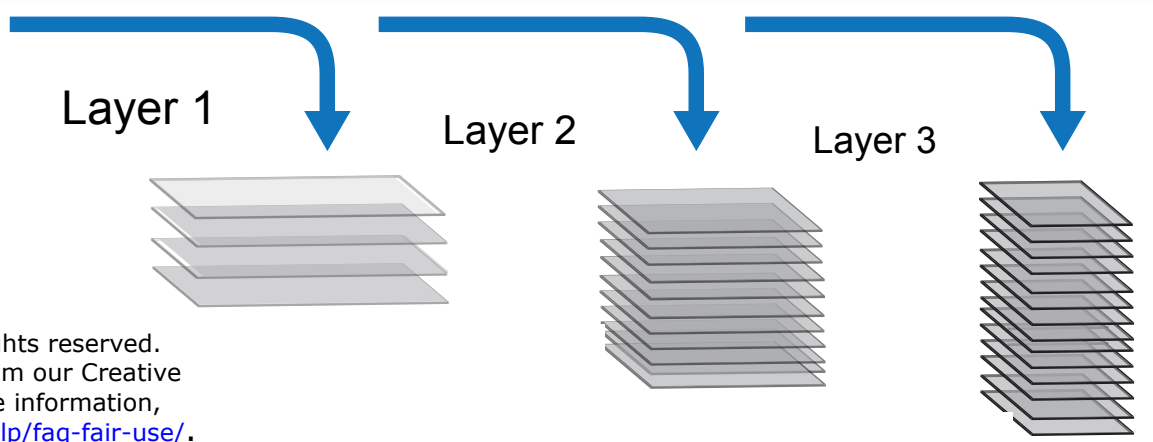
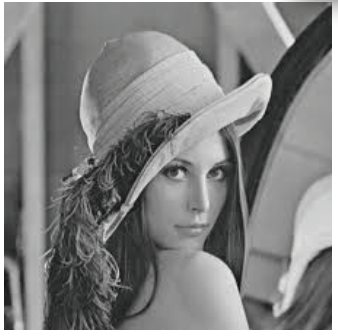
**“Deep convolutional neural networks” (Deep CNN’s)**

Basic operations:  $\Theta = (\theta_{\text{filter}}, \theta_{\text{thr}}, \theta_{\text{sat}}, \theta_{\text{pool}}, \theta_{\text{norm}})$



**Thousands of unknown parameters**  
(i.e. not directly determined by neurobiology)

**That model PREDICTS the entire neural population response to ANY image, in each successive visual area**



**Top layer has thousands of visual “neurons”**

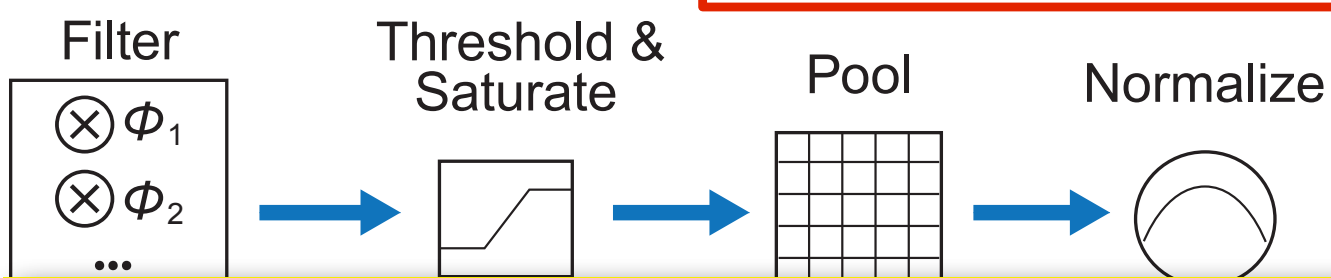
© Playboy Magazine. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

*Pinto, Doukan, DiCarlo & Cox, PLoS Comp Biol (2009)*  
*Hubel & Wiesel (1962), Fukushima (1980); Perrett & Oram (1993); Wallis & Rolls (1997); LeCun et al. (1998); Riesenhuber & Poggio (1999); Serre, Kouh, et al. (2005), etc....*

Our goal (2008): explore a family of possible encoding mechanisms

**“Deep convolutional neural networks” (Deep CNN’s)**

Basic operations:  $\Theta = (\theta_{\text{filter}}, \theta_{\text{thr}}, \theta_{\text{sat}}, \theta_{\text{pool}}, \theta_{\text{norm}})$

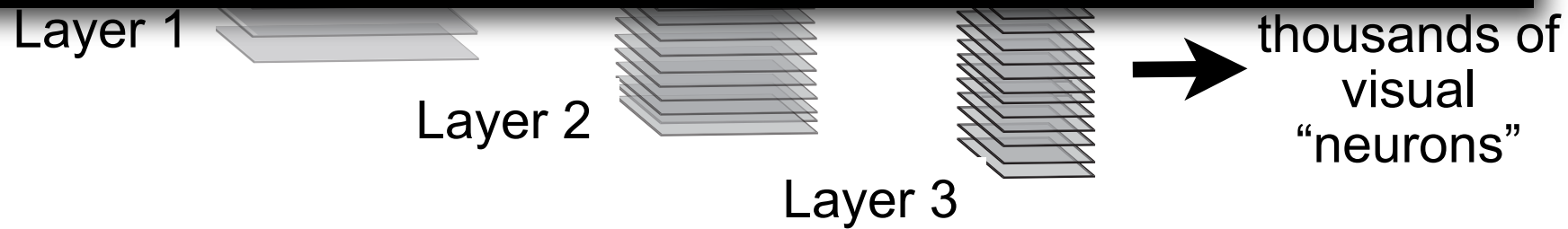


**Thousands of unknown parameters**  
(i.e. not directly determined by neurobiology)

**How do we determine which of these models, if any, is a model of the ventral stream?**

**1. Use optimization methods to find specific models (i.e. parameter settings) in this model family.**

**2. Optimization target = visual tasks that we hypothesize that the ventral stream evolved and/or developed to solve.**



Hubel & Wiesel (1962), Fukushima (1980); Perrett & Oram (1993); Wallis & Rolls (1997); LeCun et al. (1998); Riesenhuber & Poggio (1999); Serre, Kouh, et al. (2005), etc.... Yamins, Hong, Solomon, Seibert and DiCarlo **PNAS (2014)**

## 2. Optimization target

- ▶ **variety of 3D objects (36)** with semantic breadth (e.g. not all faces)
- ▶ rendered with large amount of **variation**
- ▶ These are **different objects** that those we will use later in testing

Nine example objects:

Bodies



Buildings



Flowers



Guns



Instruments



Jewelry



Shoes



Tools



Trees



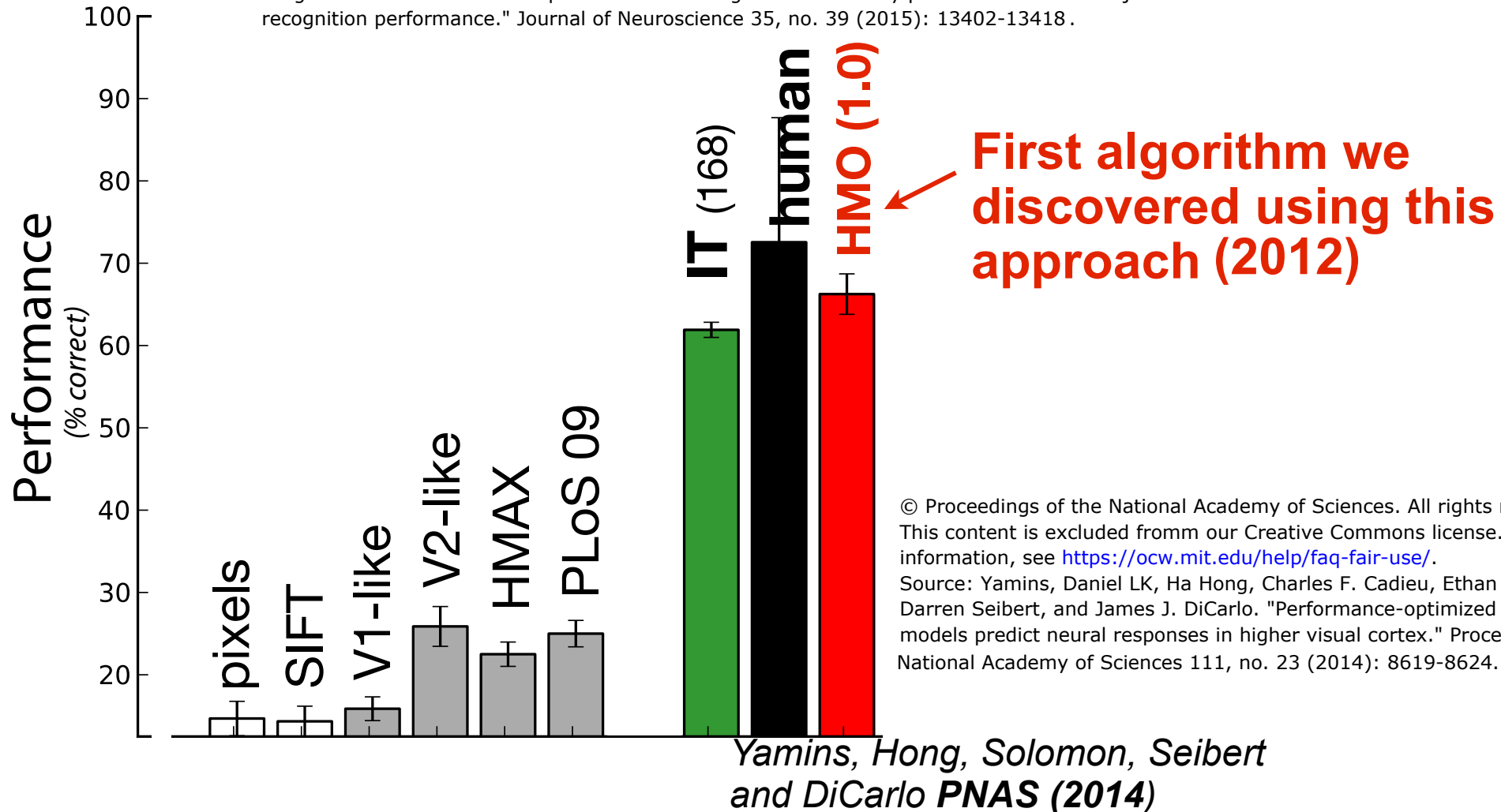
© Proceedings of the National Academy of Sciences. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>. Source: Yamins, Daniel LK, Ha Hong, Charles F. Cadieu, Ethan A. Solomon, Darren Seibert, and James J. DiCarlo. "Performance-optimized hierarchical models predict neural responses in higher visual cortex." Proceedings of the National Academy of Sciences 111, no. 23 (2014): 8619-8624.



## Test on Core Object Recognition 1.0

Courtesy of Society for Neuroscience. License CC BY NC SA.

Source: Majaj, Najib J., Ha Hong, Ethan A. Solomon, and James J. DiCarlo. "Simple learned weighted sums of inferior temporal neuronal firing rates accurately predict human core object recognition performance." *Journal of Neuroscience* 35, no. 39 (2015): 13402-13418.



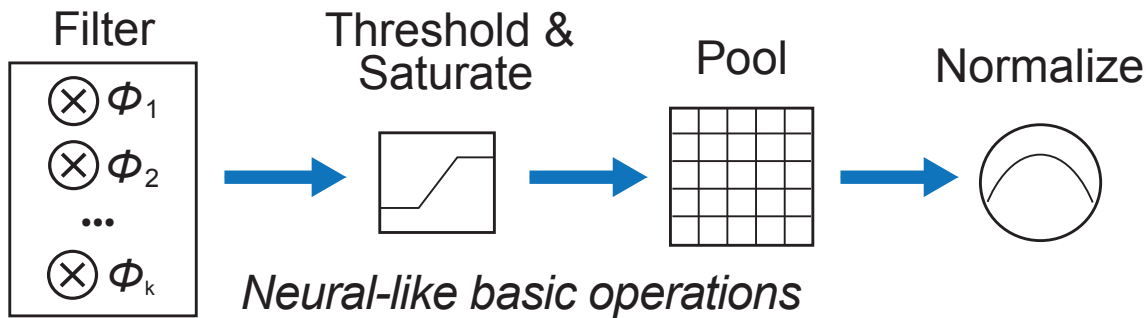
© Proceedings of the National Academy of Sciences. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>. Source: Yamins, Daniel LK, Ha Hong, Charles F. Cadieu, Ethan A. Solomon, Darren Seibert, and James J. DiCarlo. "Performance-optimized hierarchical models predict neural responses in higher visual cortex." *Proceedings of the National Academy of Sciences* 111, no. 23 (2014): 8619-8624.



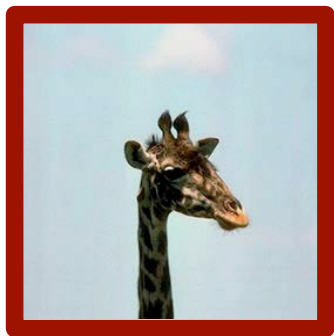
# HMO 1.0

(all parameters fixed)

Basic operations:  $\Theta = (\theta_{\text{filter}}, \theta_{\text{thr}}, \theta_{\text{sat}}, \theta_{\text{pool}}, \theta_{\text{norm}})$



© Proceedings of the National Academy of Sciences. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>. Source: Yamins, Daniel LK, Ha Hong, Charles F. Cadieu, Ethan A. Solomon, Darren Seibert, and James J. DiCarlo. "Performance-optimized hierarchical models predict neural responses in higher visual cortex." Proceedings of the National Academy of Sciences 111, no. 23 (2014): 8619-8624.



$\Theta^{(1)}$

$\Theta^{(2)}$

$\Theta^{(3)}$

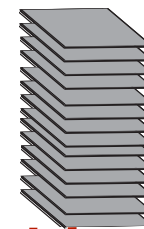
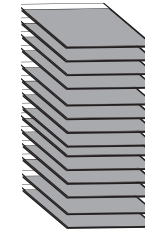
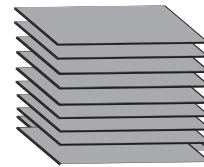
$\Theta$

Model layer 1

Model layer 2

Model layer 3

Model layer 4



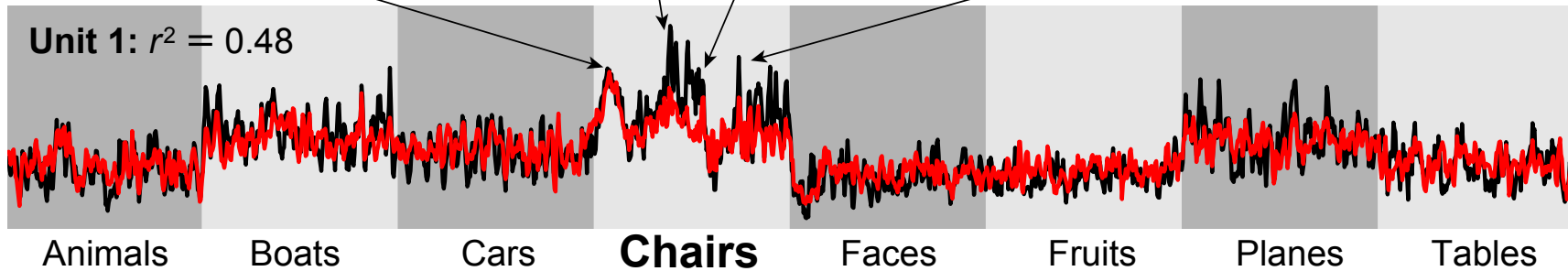
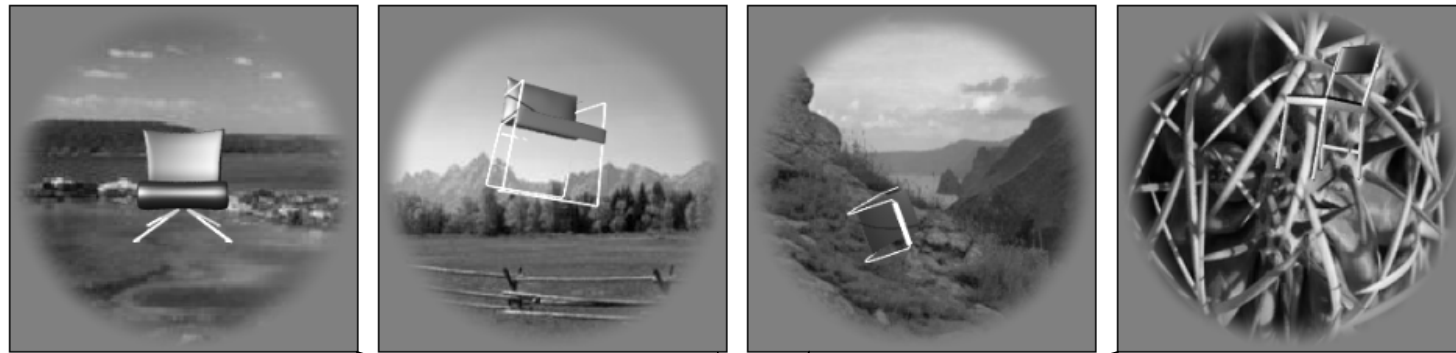
© Source Unknown. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.

**Cross-validated linear regression** ↓ **Predict IT?**

# Predictions of single site IT responses from layer 4 of HMO 1.0 model

**These are PREDICTIONS: All of these objects and images were never previously seen by the HMO model**

**d**



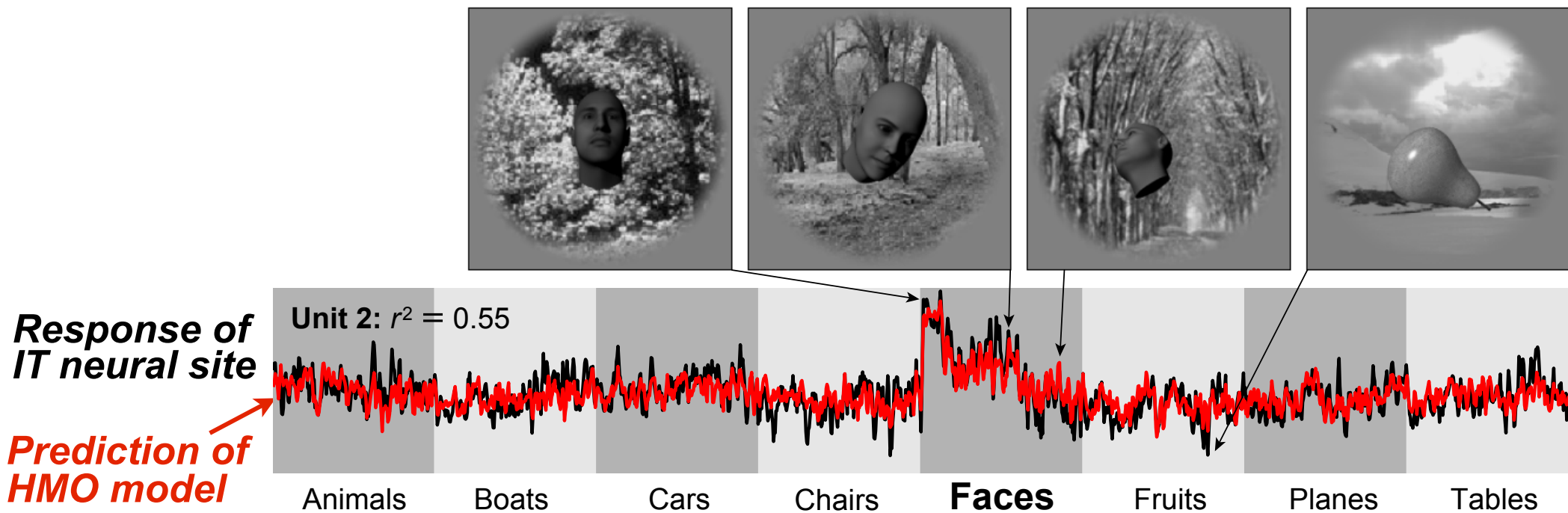
© Proceedings of the National Academy of Sciences. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.  
Source: Yamins, Daniel LK, Ha Hong, Charles F. Cadieu, Ethan A. Solomon, Darren Seibert, and James J. DiCarlo. "Performance-optimized hierarchical models predict neural responses in higher visual cortex." Proceedings of the National Academy of Sciences 111, no. 23 (2014): 8619-8624.

(\* mean rate 70-170 ms after image onset)

*Yamins, Hong, Solomon, Seibert and DiCarlo PNAS (2014)*

# Predictions of single site IT responses from layer 4 of HMO 1.0 model

***These are PREDICTIONS: All of these objects and images were never previously seen by the HMO model***



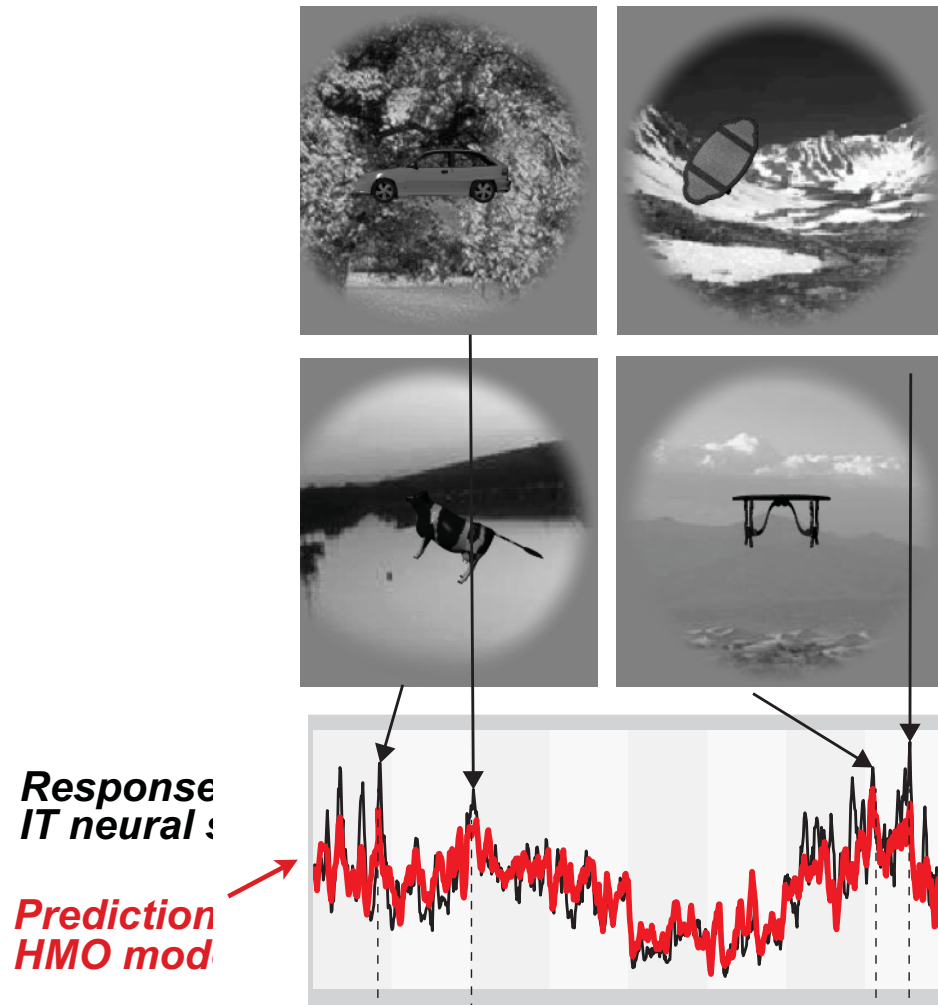
© Proceedings of the National Academy of Sciences. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.  
Source: Yamins, Daniel LK, Ha Hong, Charles F. Cadieu, Ethan A. Solomon, Darren Seibert, and James J. DiCarlo. "Performance-optimized hierarchical models predict neural responses in higher visual cortex." Proceedings of the National Academy of Sciences 111, no. 23 (2014): 8619-8624.

**(\* mean rate 70-170 ms after image onset)**

***Yamins, Hong, Solomon, Seibert and DiCarlo PNAS (2014)***

# Predictions of single site IT responses from layer 4 of HMO 1.0 model

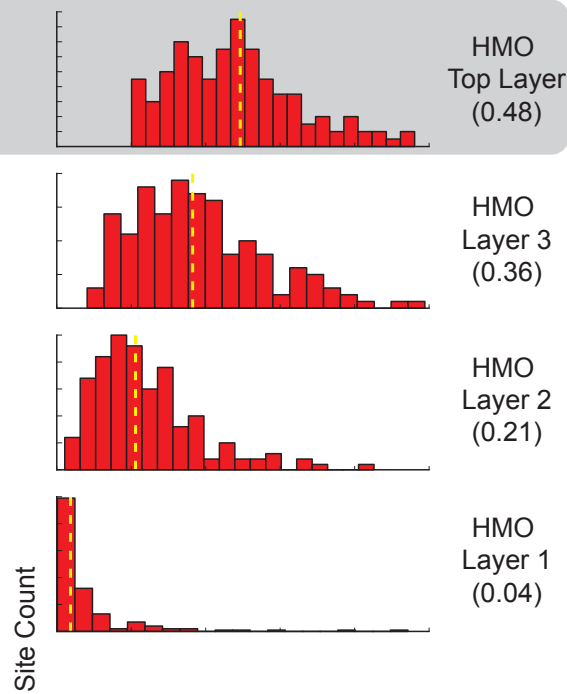
## IT Site 42



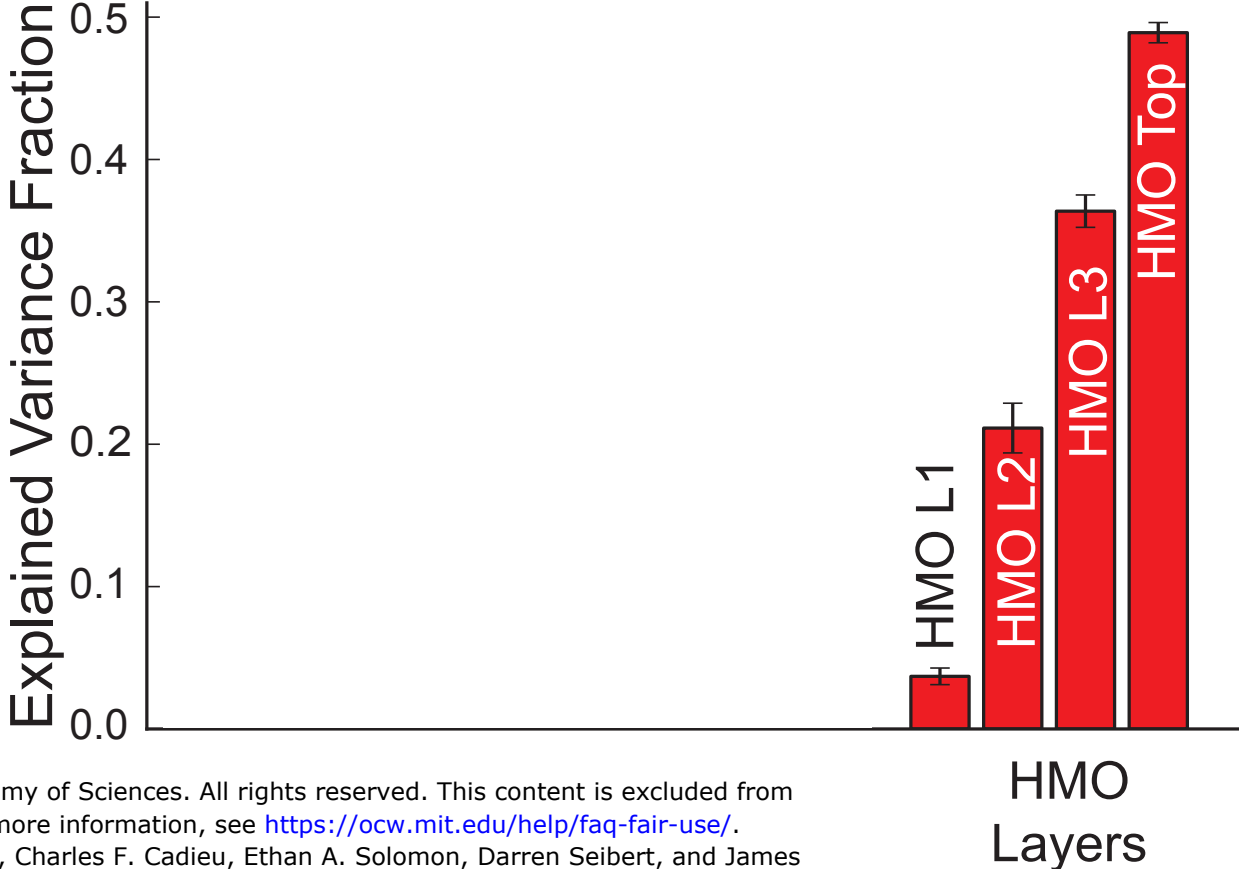
© Proceedings of the National Academy of Sciences. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.  
Source: Yamins, Daniel LK, Ha Hong, Charles F. Cadieu, Ethan A. Solomon, Darren Seibert, and James J. DiCarlo. "Performance-optimized hierarchical models predict neural responses in higher visual cortex." Proceedings of the National Academy of Sciences 111, no. 23 (2014): 8619-8624.

*Yamins, Hong, Solomon, Seibert  
and DiCarlo PNAS (2014)*

# Ability of various encoding mechanisms (specific models) to predict IT responses to naturalistic images



**~50% of IT single unit response variance predicted. Dramatic improvement over previous models.**

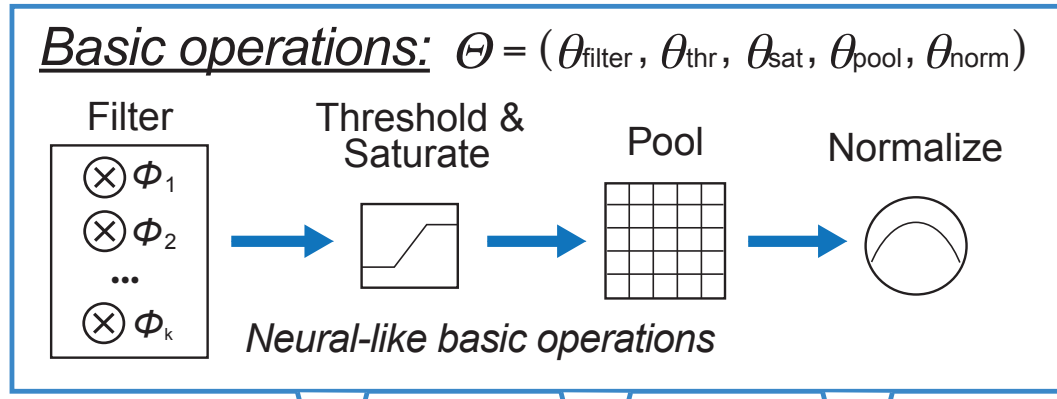


© Proceedings of the National Academy of Sciences. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>. Source: Yamins, Daniel LK, Ha Hong, Charles F. Cadieu, Ethan A. Solomon, Darren Seibert, and James J. DiCarlo. "Performance-optimized hierarchical models predict neural responses in higher visual cortex." Proceedings of the National Academy of Sciences 111, no. 23 (2014): 8619-8624.

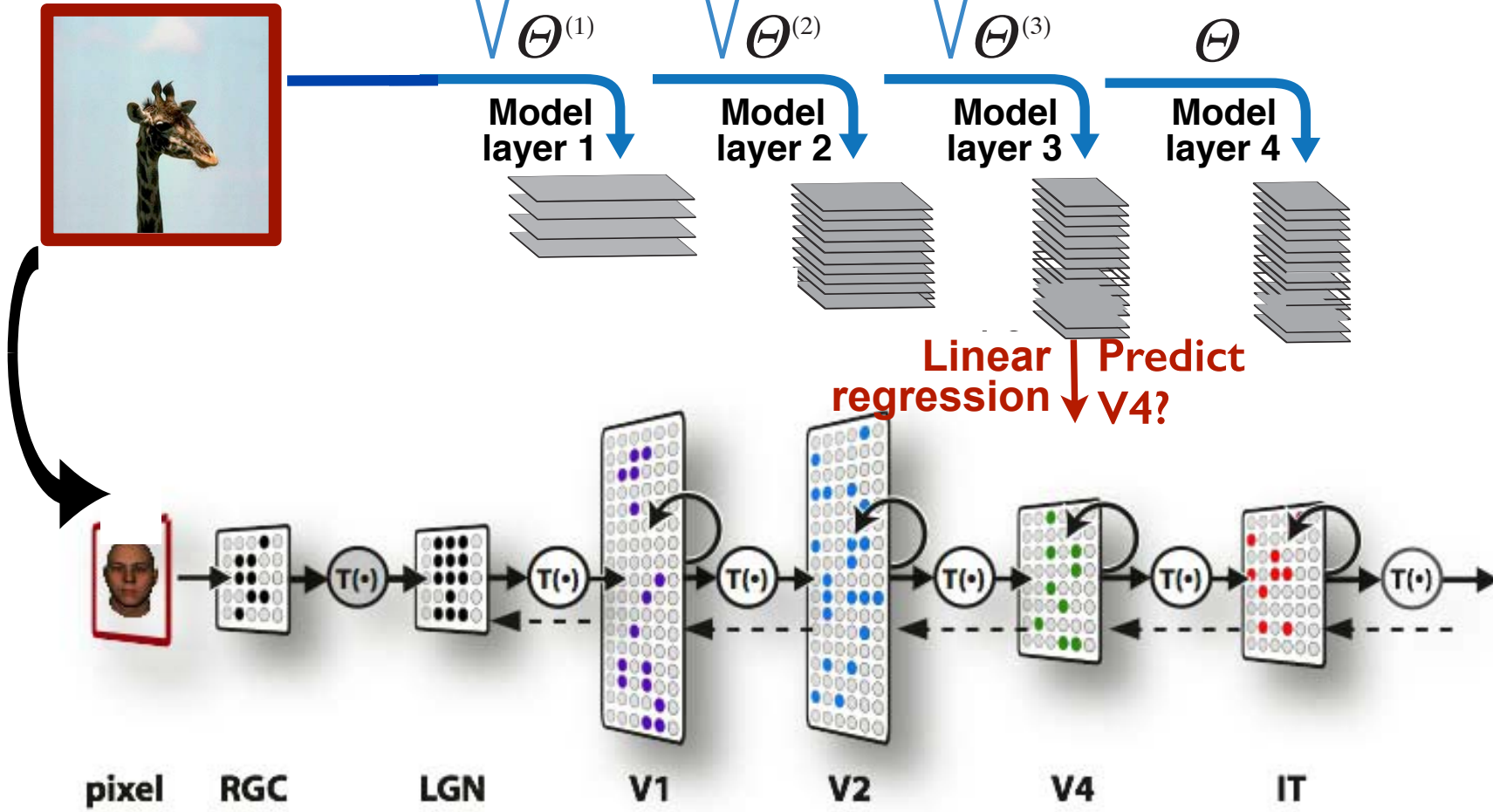
*Yamins, Hong, Solomon, Seibert and DiCarlo PNAS (2014)*

# HM0 1.0

(all parameters fixed)



© Proceedings of the National Academy of Sciences. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>. Source: Yamins, Daniel LK, Ha Hong, Charles F. Cadieu, Ethan A. Solomon, Darren Seibert, and James J. DiCarlo. "Performance-optimized hierarchical models predict neural responses in higher visual cortex." Proceedings of the National Academy of Sciences 111, no. 23 (2014): 8619-8624.



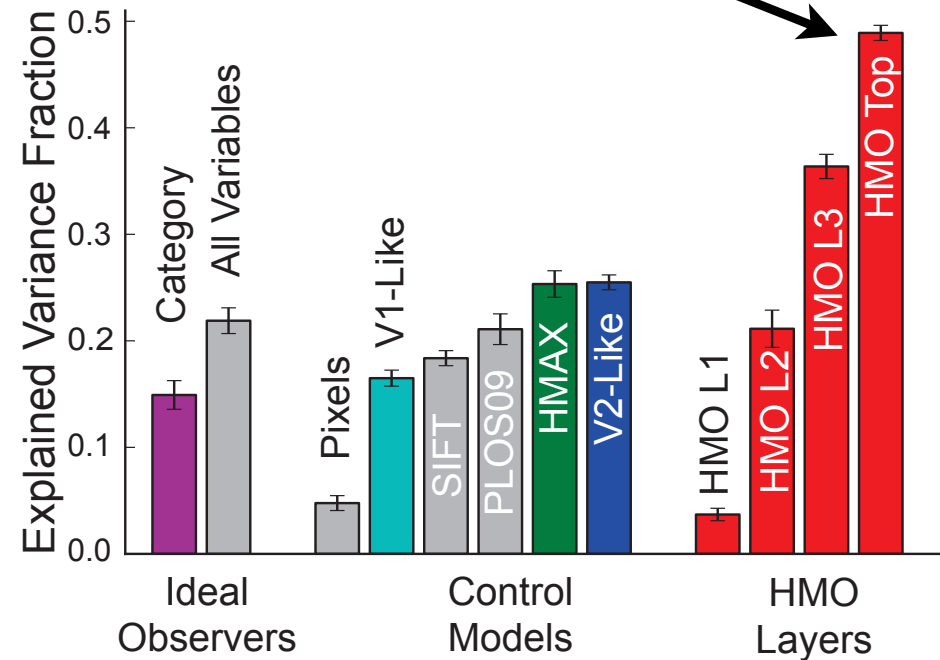
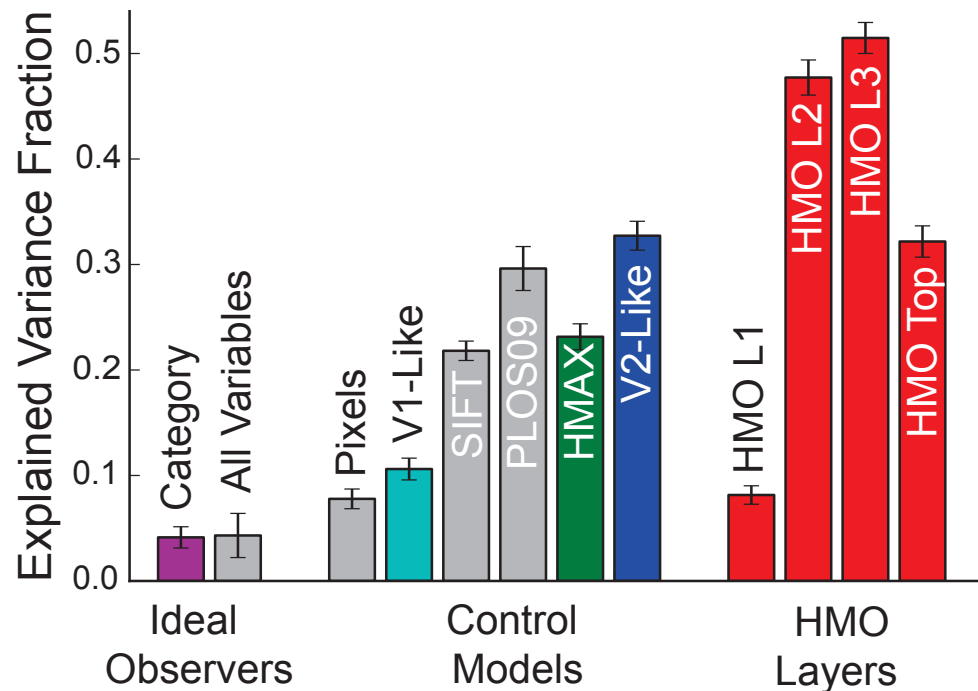
Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission. Source: DiCarlo, James J., and David D. Cox. "Untangling invariant object recognition." Trends in cognitive sciences 11, no. 8 (2007): 333-341.

# Bio-inspired algorithm class + tasks in domain + optimization ==> neural-like encoding functions!

Even in intermediate layers!

## V4 predictive power (median over all neurons)

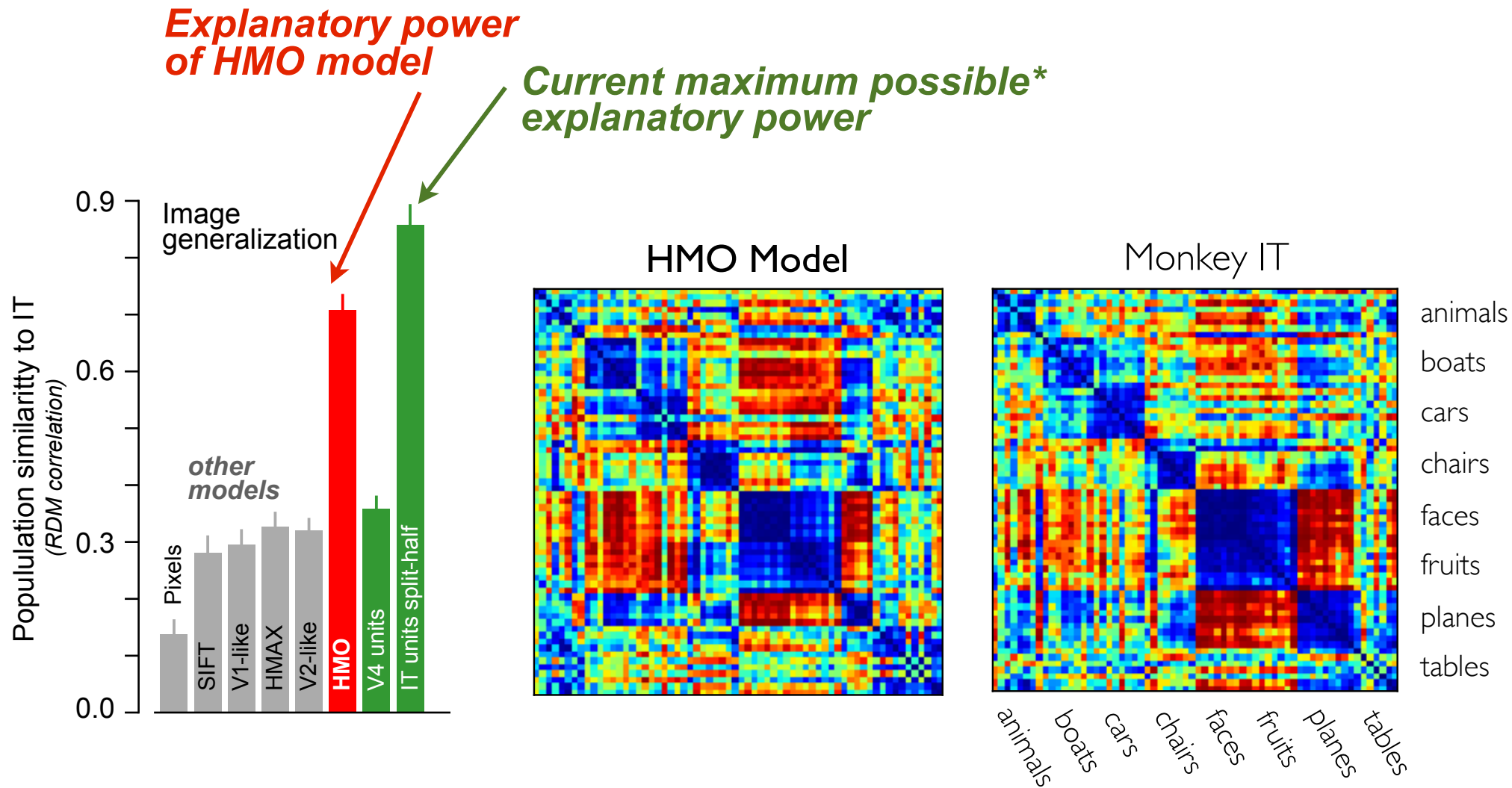
## IT predictive power (median over all neurons)



© Proceedings of the National Academy of Sciences. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.  
 Source: Yamins, Daniel LK, Ha Hong, Charles F. Cadieu, Ethan A. Solomon, Darren Seibert, and James J. DiCarlo. "Performance-optimized hierarchical models predict neural responses in higher visual cortex." Proceedings of the National Academy of Sciences 111, no. 23 (2014): 8619-8624.

*Yamins, Hong, Solomon, Seibert and DiCarlo PNAS (2014)*

# Representation Dissimilarity Matrices (Kriegeskorte, 2008)



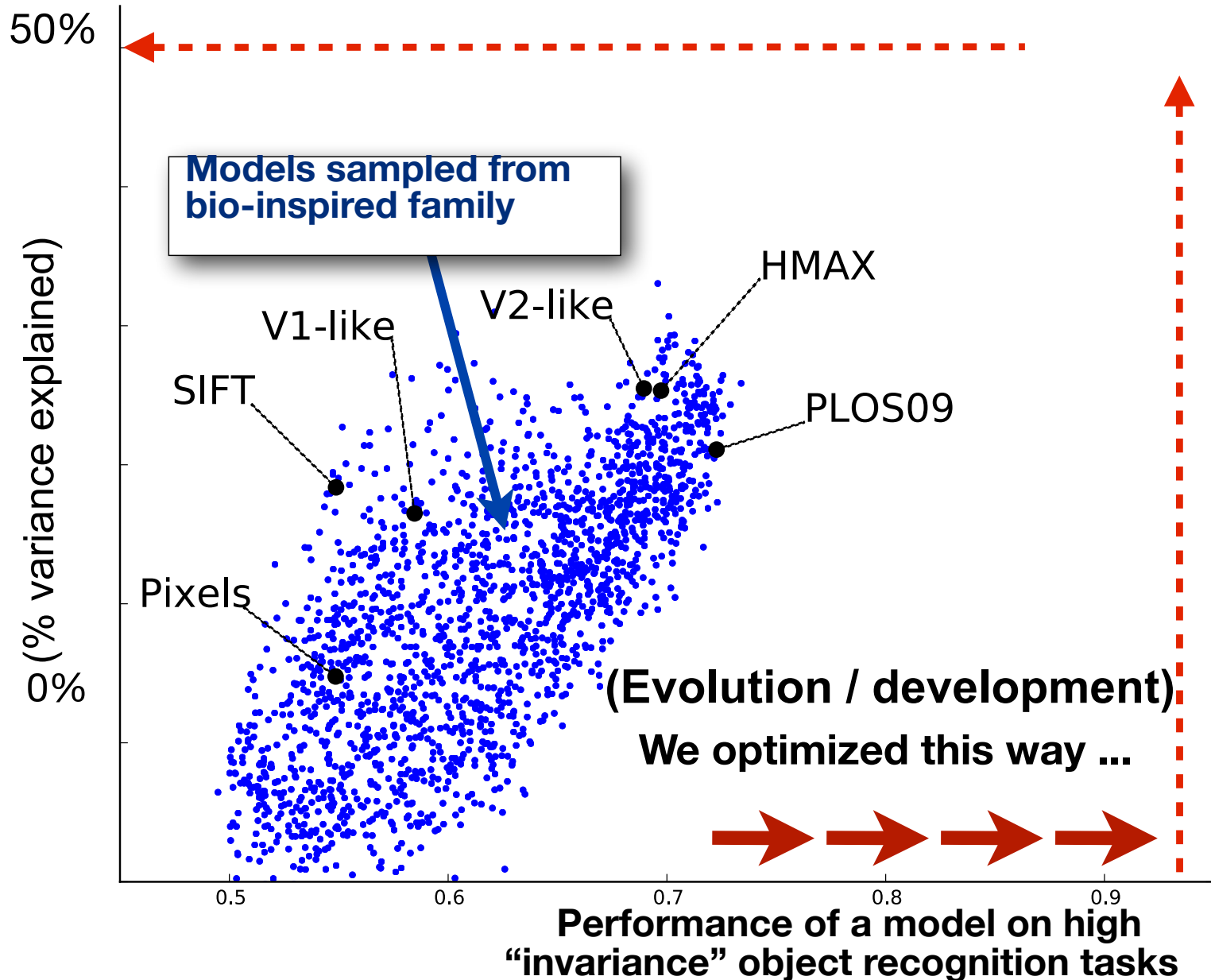
© Proceedings of the National Academy of Sciences. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.  
 Source: Yamins, Daniel LK, Ha Hong, Charles F. Cadieu, Ethan A. Solomon, Darren Seibert, and James J. DiCarlo. "Performance-optimized hierarchical models predict neural responses in higher visual cortex." Proceedings of the National Academy of Sciences 111, no. 23 (2014): 8619-8624.

**Yamins, Hong, Solomon, Seibert and DiCarlo PNAS (2014)**



**Suggests that continued optimization within this family of models would lead to even higher neural predictive power.**

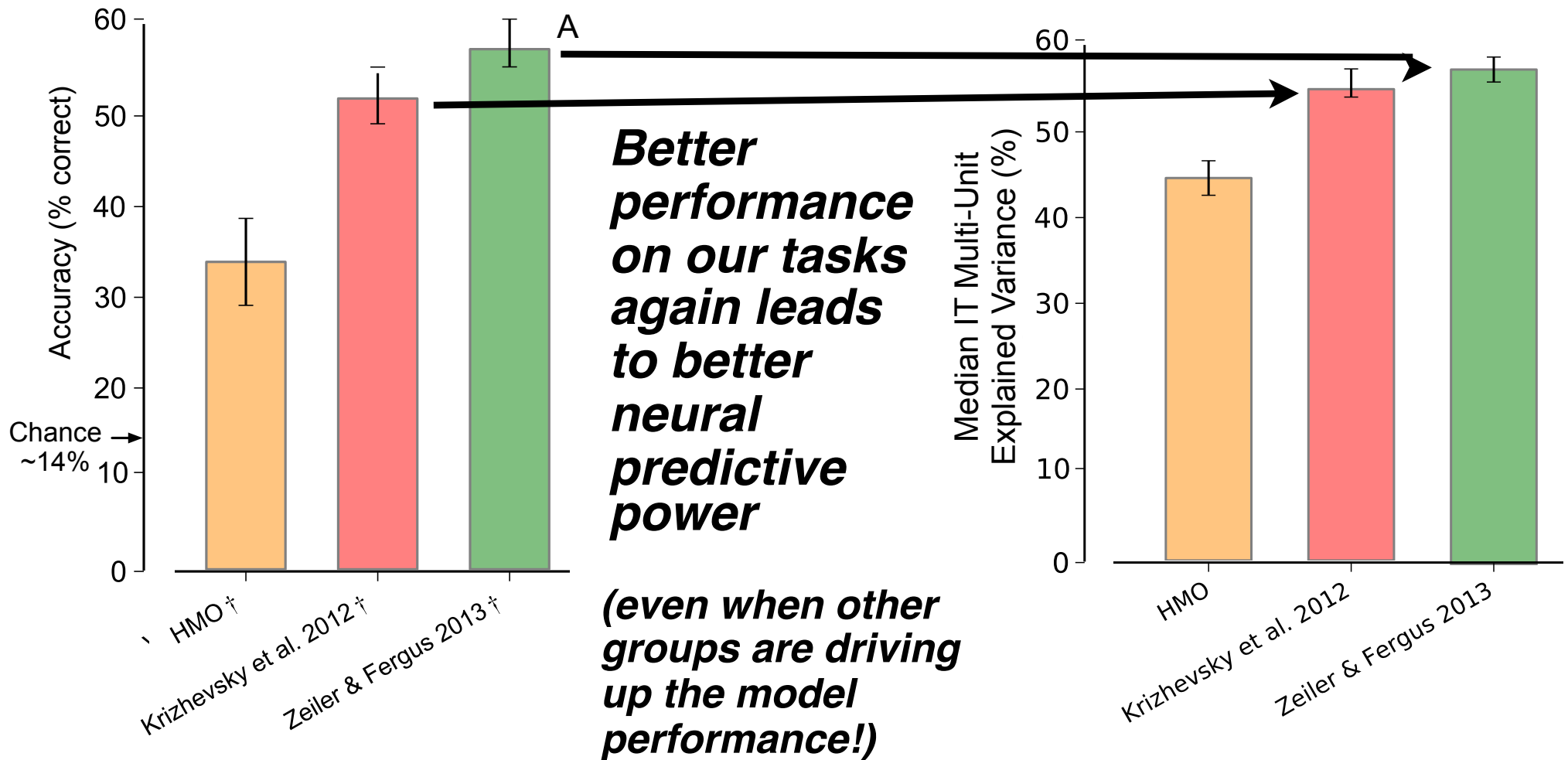
**Ability of top level neurons in the model to predict IT responses**



|2)

© Proceedings of the National Academy of Sciences. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>.  
Source: Yamins, Daniel LK, Ha Hong, Charles F. Cadieu, Ethan A. Solomon, Darren Seibert, and James J. DiCarlo. "Performance-optimized hierarchical models predict neural responses in higher visual cortex." Proceedings of the National Academy of Sciences 111, no. 23 (2014): 8619-8624.

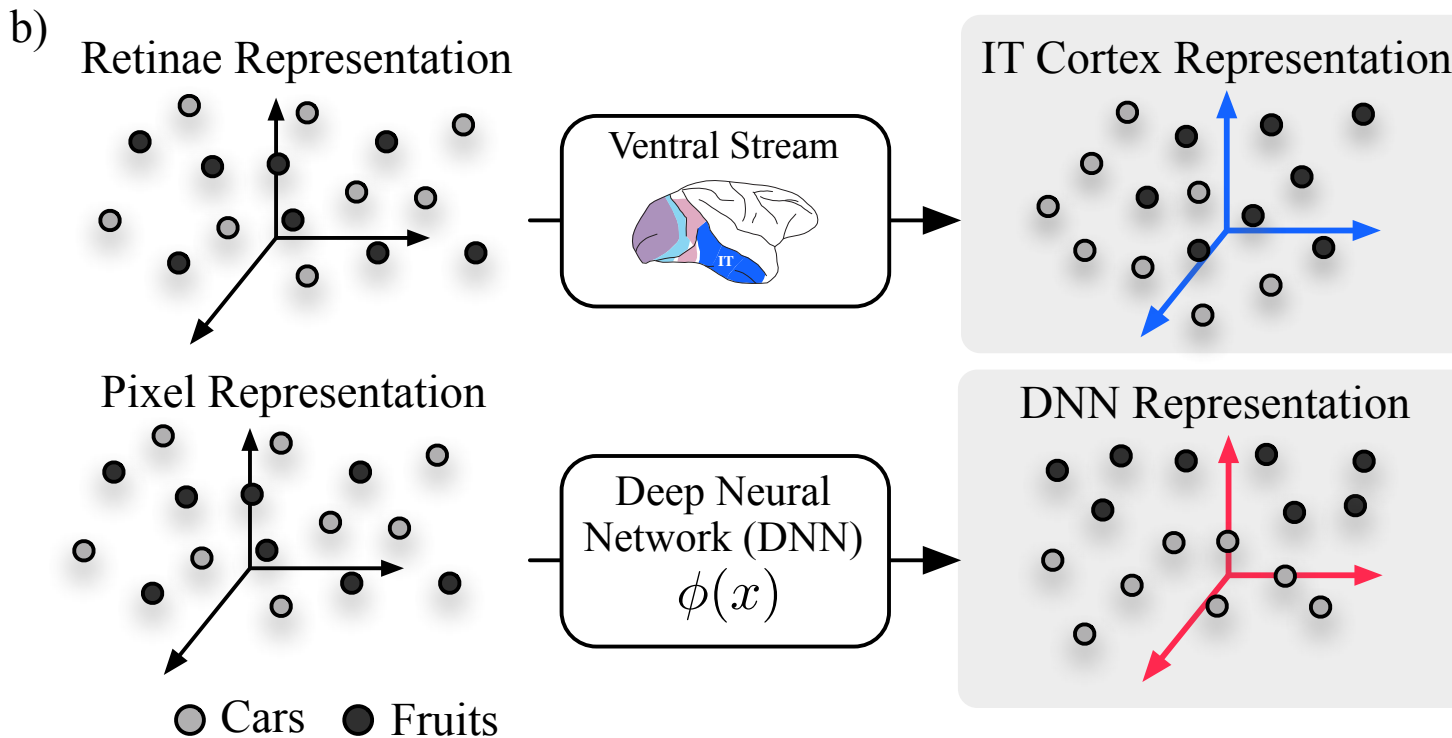
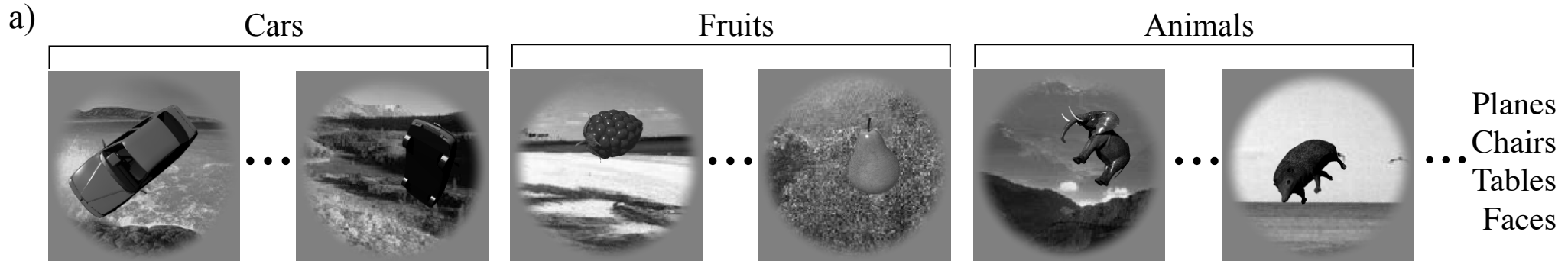
**Suggests that continued optimization within this family of models would lead to even higher neural predictive power.**



Cadieu, Charles F., Ha Hong, Daniel LK Yamins, Nicolas Pinto, Diego Ardila, Ethan A. Solomon, Najib J. Majaj, and James J. DiCarlo. "Deep neural networks rival the representation of primate IT cortex for core visual object recognition." *PLoS Comput Biol* 10, no. 12 (2014): e1003963; <https://doi.org/10.1371/journal.pcbi.1003963>. License CC BY.

Cadieu CF, Hong H, Yamins D, Pinto N, Majaj N, and DiCarlo JJ. *ICLR* (2013);  
 Cadieu CF, Hong H, Yamins D, Pinto N, Majaj N, and DiCarlo JJ. *PLoS Comp Bio* (2014)

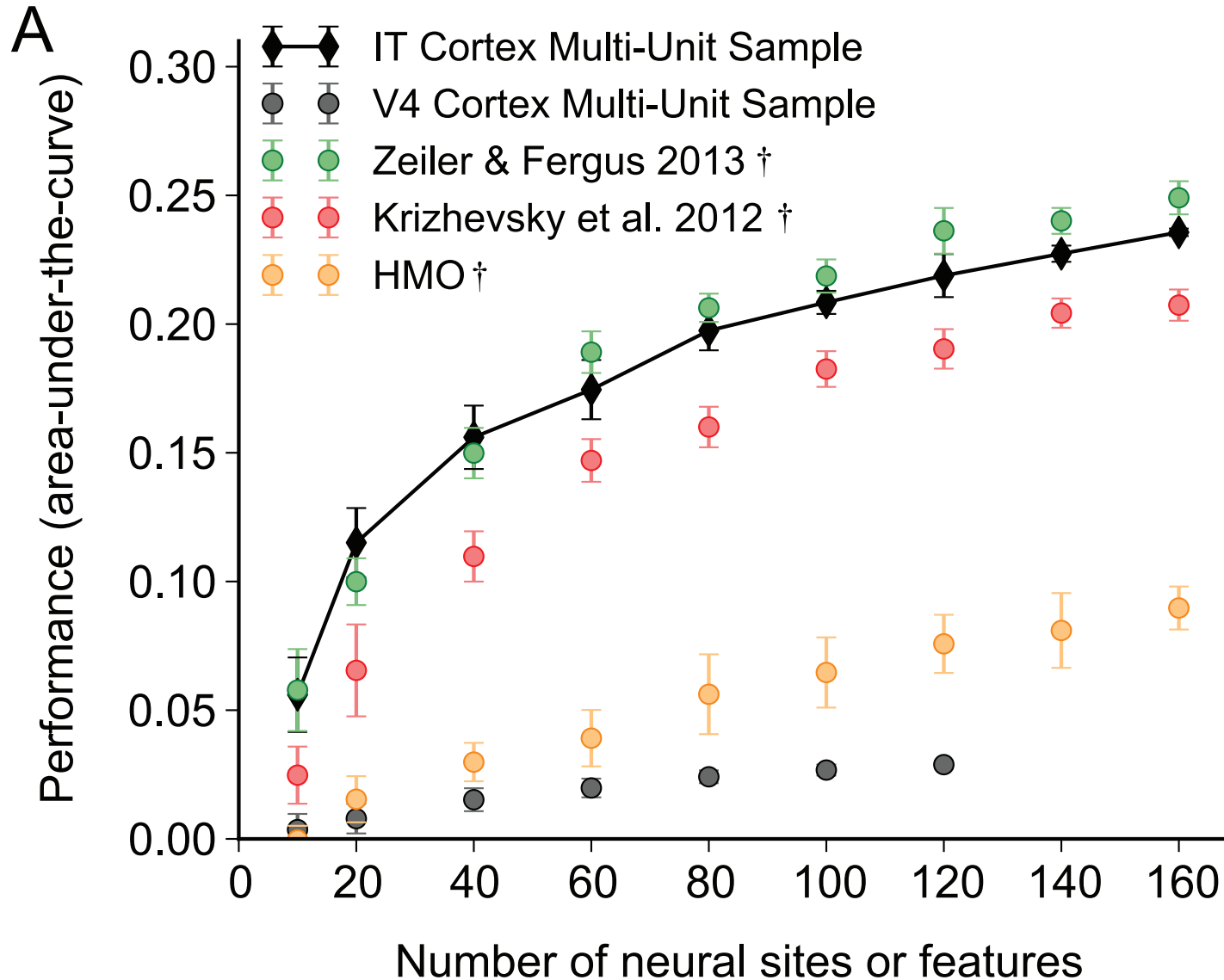
# CNN features vs. IT "features"



Cadieu, Charles F., Ha Hong, Daniel LK Yamins, Nicolas Pinto, Diego Arditia, Ethan A. Solomon, Najib J. Majaj, and James J. DiCarlo. "Deep neural networks rival the representation of primate IT cortex for core visual object recognition." *PLoS Comput Biol* 10, no. 12 (2014): e1003963; <https://doi.org/10.1371/journal.pcbi.1003963>. License CC BY.

Cadieu CF, Hong H, Yamins D, Pinto N, Majaj N, and DiCarlo JJ. *ICLR* (2013);  
Cadieu CF, Hong H, Yamins D, Pinto N, Majaj N, and DiCarlo JJ. *PLoS Comp Bio* (2014)

# CNN features vs. IT "features"

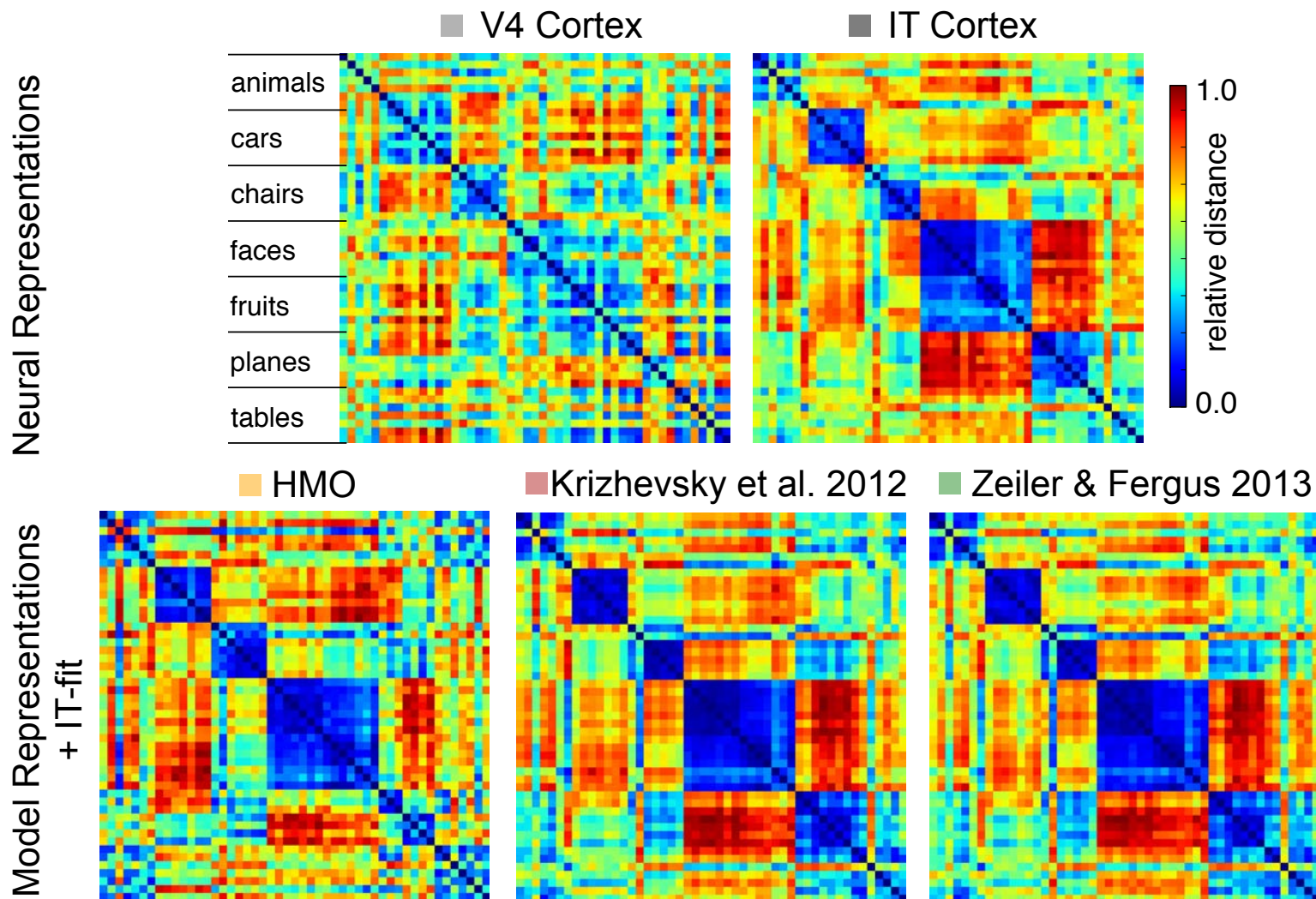


Cadieu, Charles F., Ha Hong, Daniel LK Yamins, Nicolas Pinto, Diego Artila, Ethan A. Solomon, Najib J. Majaj, and James J. DiCarlo. "Deep neural networks rival the representation of primate IT cortex for core visual object recognition." *PLoS Comput Biol* 10, no. 12 (2014): e1003963; <https://doi.org/10.1371/journal.pcbi.1003963>. License CC BY.

Cadieu CF, Hong H, Yamins D, Pinto N, Majaj N, and DiCarlo JJ. *ICLR* (2013);

Cadieu CF, Hong H, Yamins D, Pinto N, Majaj N, and DiCarlo JJ. *PLoS Comp Bio* (2014)

# Better performing deep CNN networks also better predict the patterns of IT neural responses



Cadieu, Charles F., Ha Hong, Daniel LK Yamins, Nicolas Pinto, Diego Artila, Ethan A. Solomon, Najib J. Majaj, and James J. DiCarlo. "Deep neural networks rival the representation of primate IT cortex for core visual object recognition." *PLoS Comput Biol* 10, no. 12 (2014): e1003963; <https://doi.org/10.1371/journal.pcbi.1003963>. License CC BY.

Cadieu CF, Hong H, Yamins D, Pinto N, Majaj N, and DiCarlo JJ. *ICLR* (2013);

Cadieu CF, Hong H, Yamins D, Pinto N, Majaj N, and DiCarlo JJ. *PLoS Comp Bio* (2014)

# Summary of what I presented today (Domain: Core recognition)

**1. Showed that IT firing rates are a feature basis on which learned object judgements naturally predict human/monkey performance; defined parameters.**

**LaWS of RAD IT**  
[70-170ms, 50,000n, 100t]

**Inference: this might be the specific neural code and decoding mechanism that the brain uses to support these tasks.**

**Systematic causal tests of this model ongoing, but results thus far are as predicted by the model ...**

**2. Showed that optimization of deep CNNs (models) for invariant object recognition tasks led to dramatic improvements in our ability to predict IT and V4 neural responses.**

**HMO 1.0, CNN 2.0**

**Inference: the encoding mechanisms in these models are similar to those at work in the ventral stream.**

**This is allowing the field to design experiments to explore what remains unique and powerful about primate object perception.**

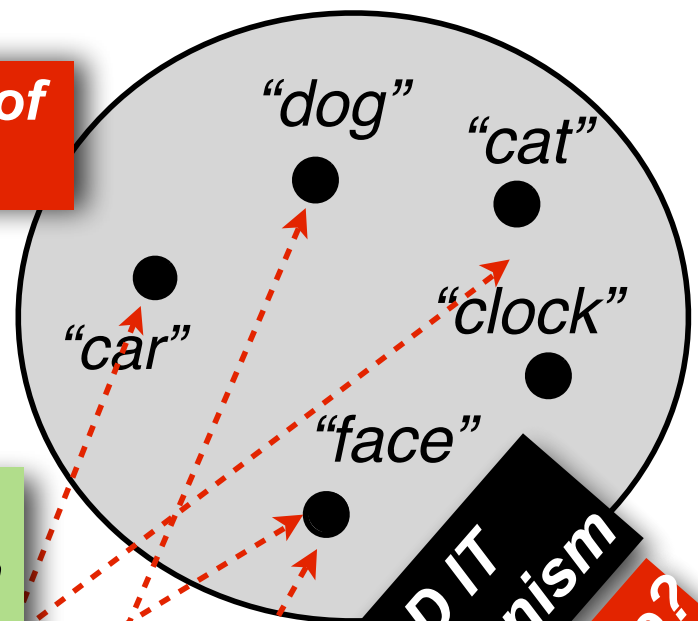
# ONGOING AND FUTURE...

**Behavioral reports ("perception")**



**Images**

**Expand domain of object tasks**



**High level ventral stream neural activity (V4, IT)**

**Learning: Can the next models be less supervised?**

**HMO encoding mechanism**  
**Other deep CNNs**

**LAWS of RAD IT decoding mechanism**

**Predict for each image?**  
**Dynamics, feedback?**

**Ongoing: Predictable effects of direct neural perturbations of IT?**



## Current lab members:

**Arash Afraz**  
Diego Ardila  
**Ha Hong**  
Elias Issa  
Xiaoxuan Jia  
Hyodong Lee

Shay Ohayon  
**Rishi Rajalingham**  
**Kailyn Schmidt**  
Darren Seibert  
Chris Stawarz  
**Dan Yamins**

## Key alumni:

**Charles Cadieu**  
**Najib Majaj**  
**Ethan Soloman**

## Contributing labs:

*Ed Boyden (MIT)*  
*David Cox (Harvard)*  
*Bob Desimone (MIT)*  
*Tomaso Poggio (MIT)*  
*Nancy Kanwisher (MIT)*  
*Wim Vanduffel (MGH, KU L.)*

- *NIH NEI*
- *NSF*
- *DARPA / ONR*
- *Simons Foundation*
- *McGovern Institute*



MIT OpenCourseWare  
<https://ocw.mit.edu>

Resource: Brains, Minds and Machines Summer Course  
Tomaso Poggio and Gabriel Kreiman

The following may not correspond to a particular course on MIT OpenCourseWare, but has been provided by the author as an individual learning resource.

For information about citing these materials or our Terms of Use, visit: <https://ocw.mit.edu/terms>.